

# Top Quark Physics I

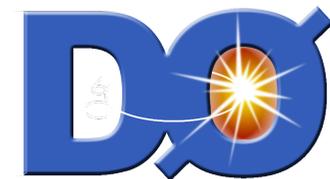


**Christian Schwanenberger**

**Deutsches Elektronensynchrotron (DESY)  
Hamburg**

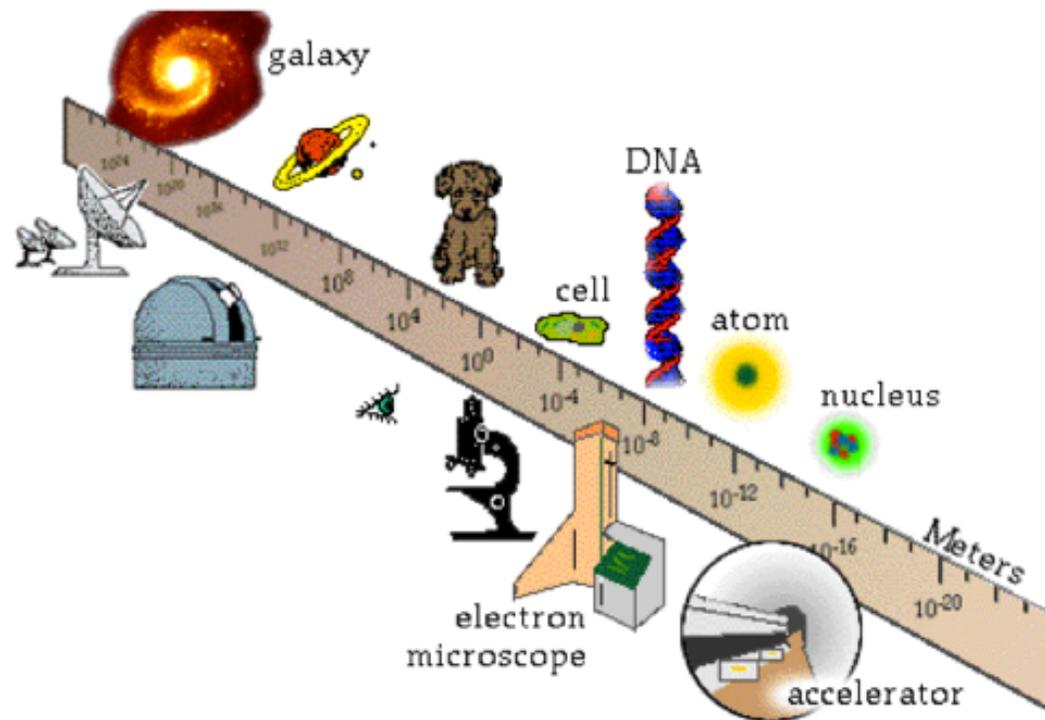
**DESY Summer Student Programme  
2016**

[http://www-d0.fnal.gov/~schwanen/top\\_desy\\_summer16/Top\\_lecture1.pdf](http://www-d0.fnal.gov/~schwanen/top_desy_summer16/Top_lecture1.pdf)



# Objective of Elementary Particle Physics

**"So that I may perceive whatever holds the world together in its inmost folds."**

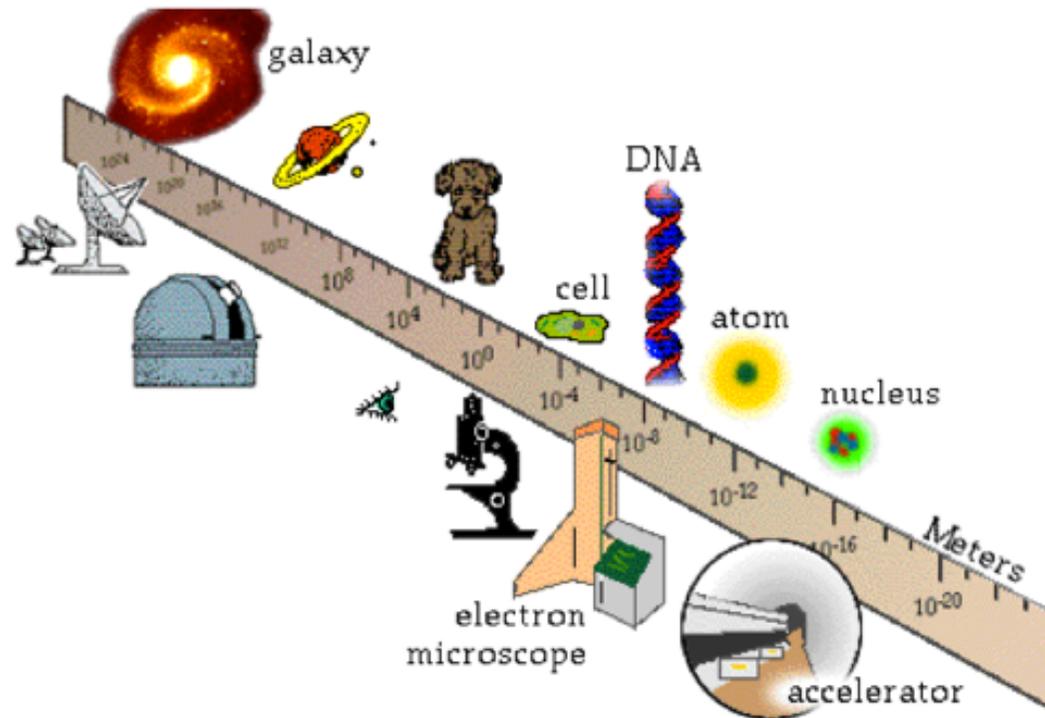


**→ from the smallest dimensions in microcosm to the largest dimensions in the Universe**

# Objective of Elementary Particle Physics

**“Dass ich erkenne, was die Welt  
im Innersten zusammenhält.”**

**Goethe, Faust**



**→ from the smallest dimensions in microcosm  
to the largest dimensions in the Universe**

# Objective of Elementary Particle Physics

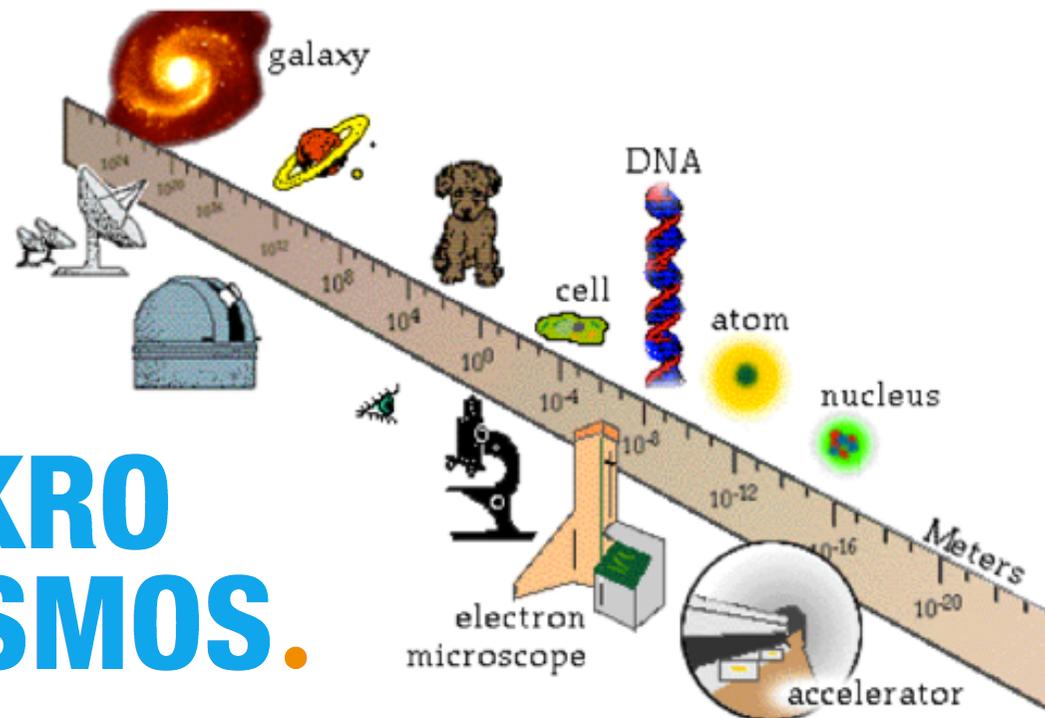
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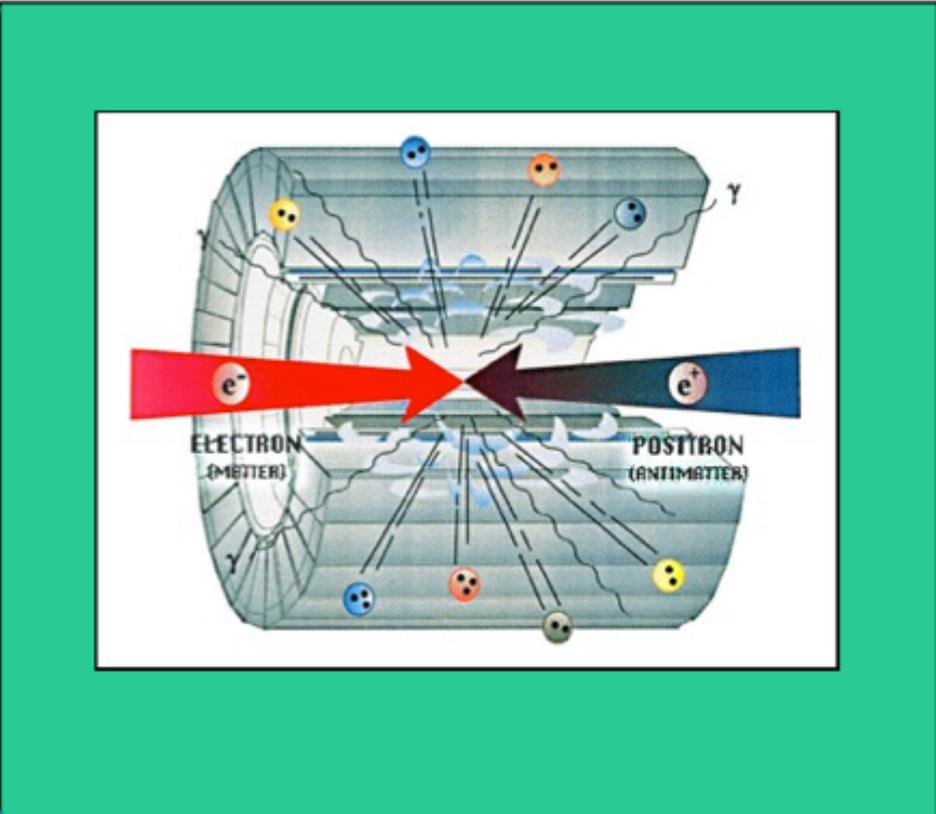
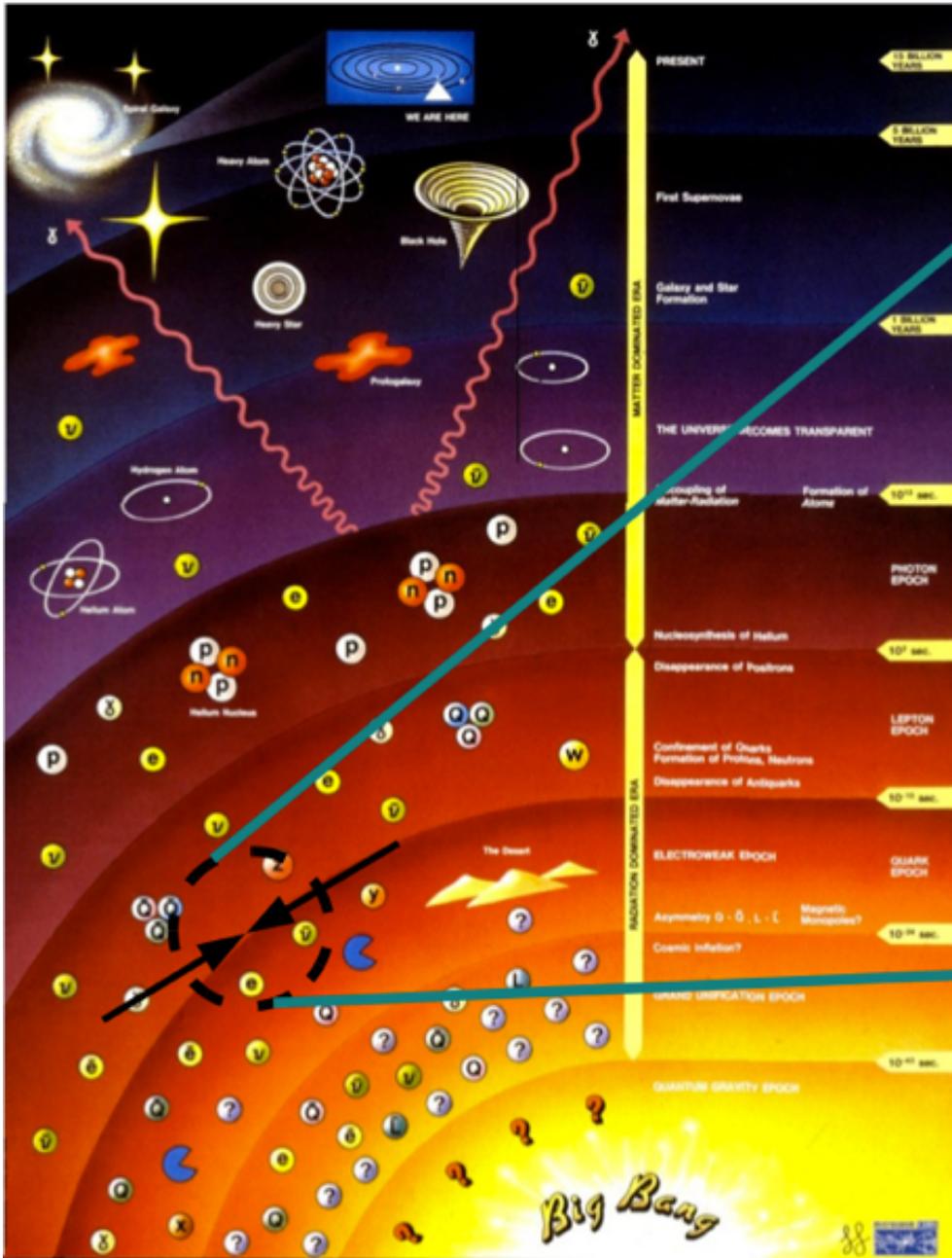
**MIKRO  
KOSMOS.**

DESY erforscht,  
was die Welt im Innersten zusammenhält

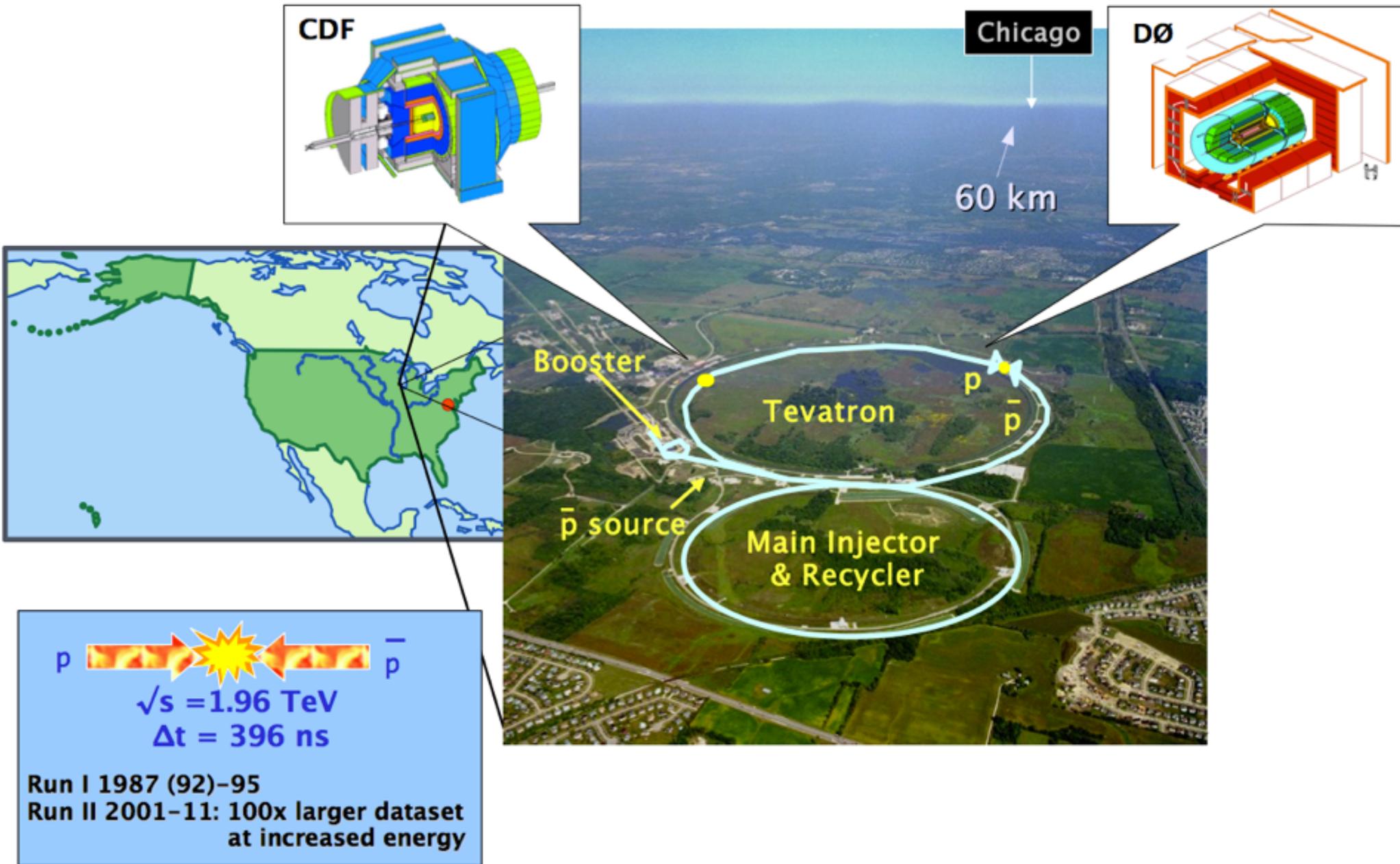


**→ from the smallest dimensions in microcosm  
to the largest dimensions in the Universe**

# Big Bang in the Lab?



# The Tevatron $p\bar{p}$ Collider at Fermilab



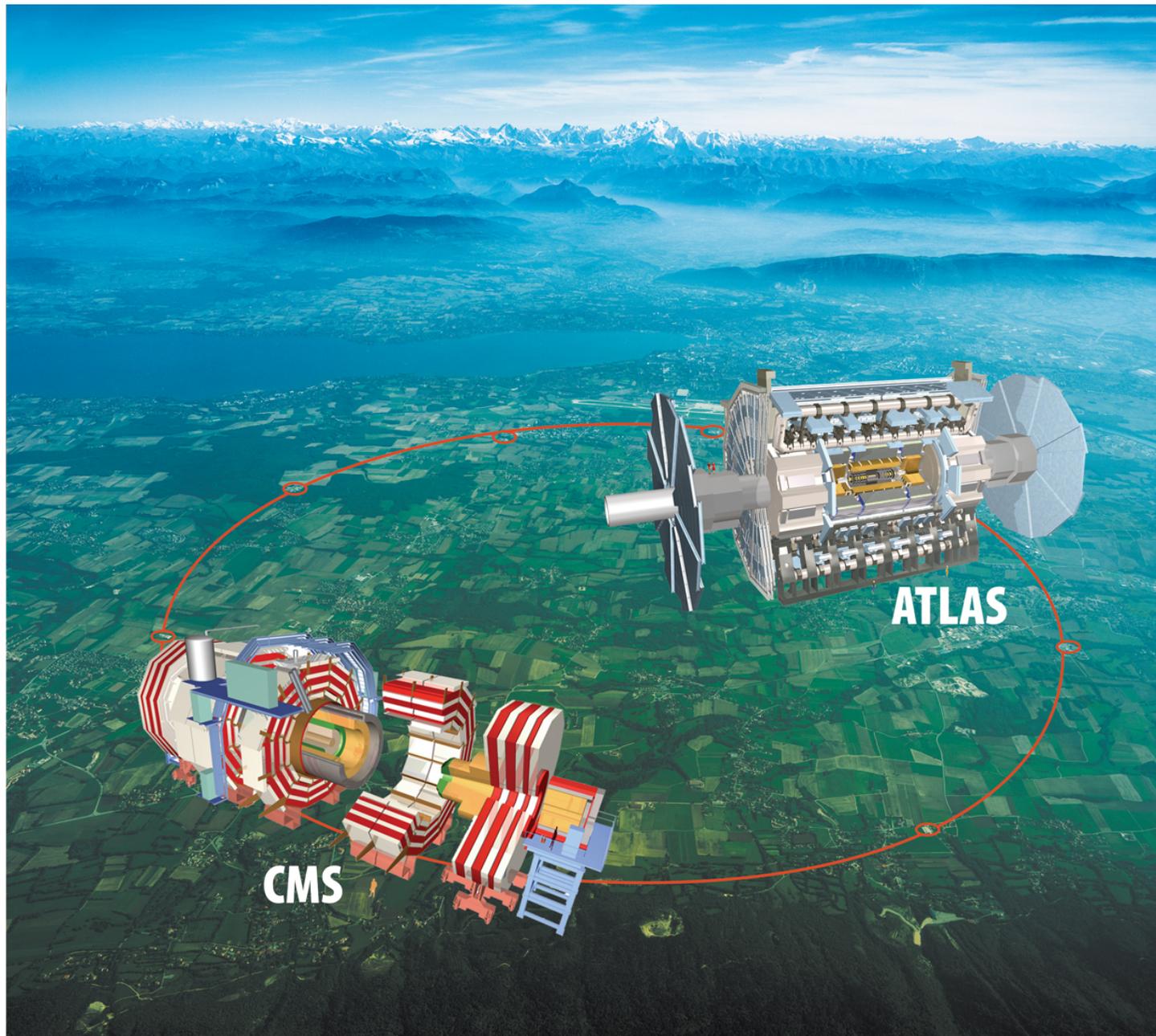
# 30 September 2011



**Tevatron complex shut down after 26 years of successful operation.**



# The LHC pp Collider at CERN

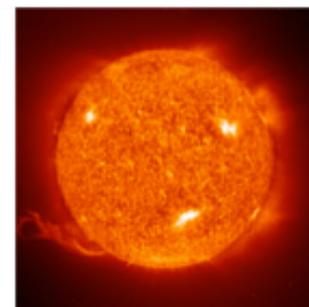
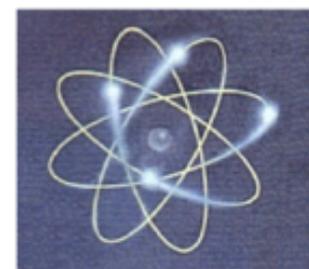
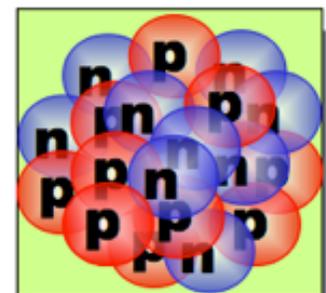
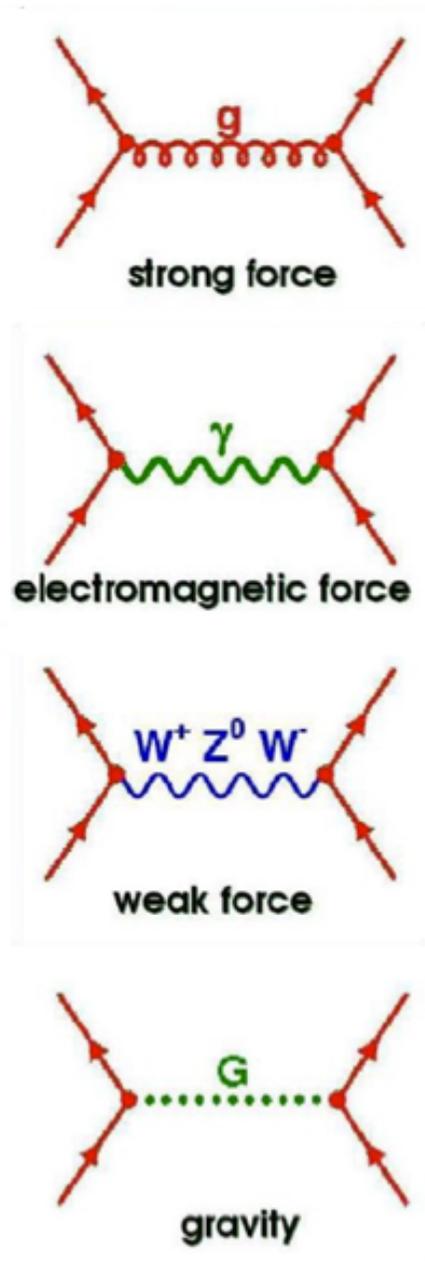
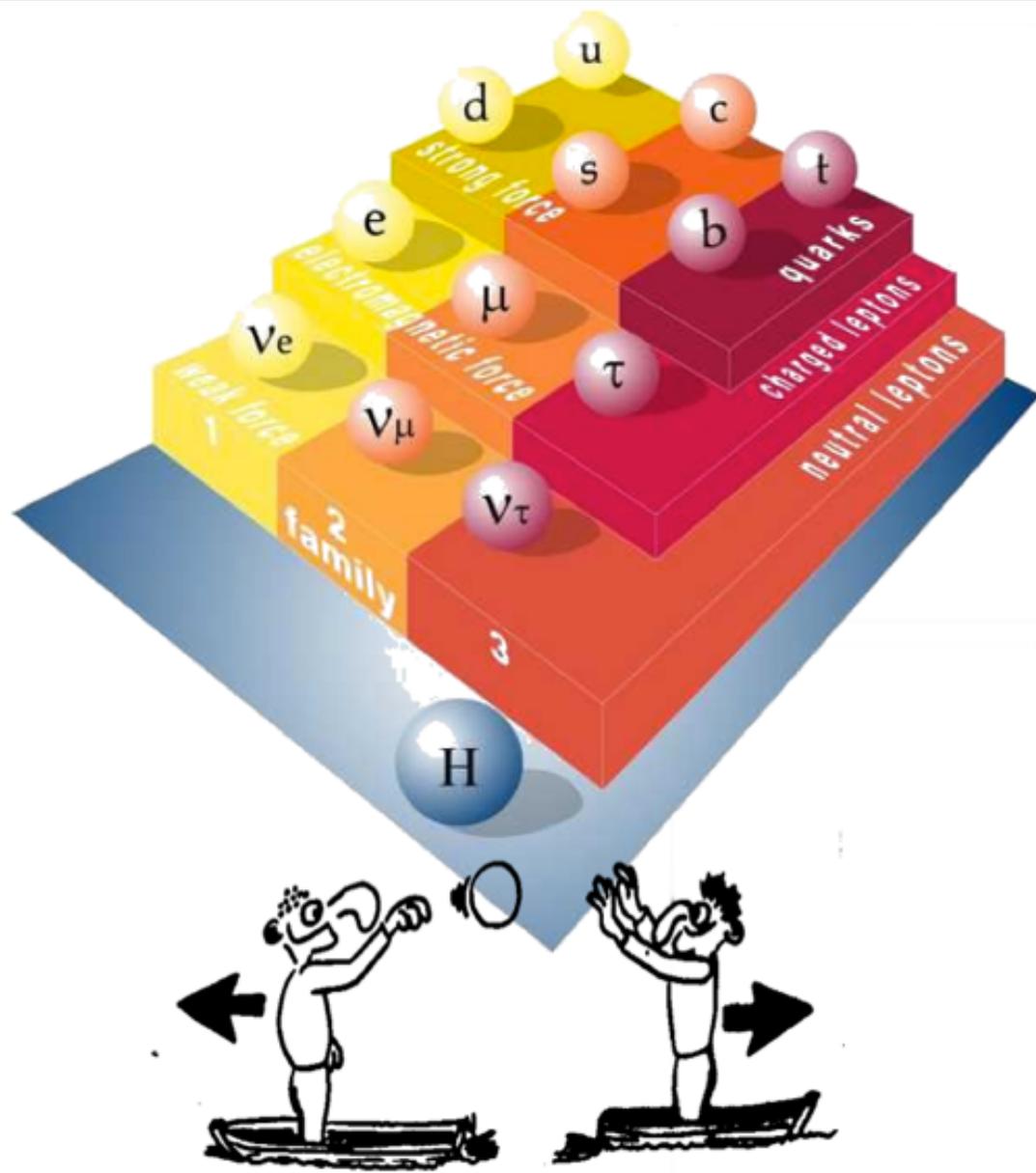


	$\sqrt{s}$ [TeV]	years	Ldt (rec.)
pp	7	2010-11	5.1 fb <sup>-1</sup>
pp	8	2012	21.3 fb <sup>-1</sup>
Pb+Pb	2.76	2010-11	160 $\mu$ b <sup>-1</sup>
Pb+p	5	2013	30 nb <sup>-1</sup>

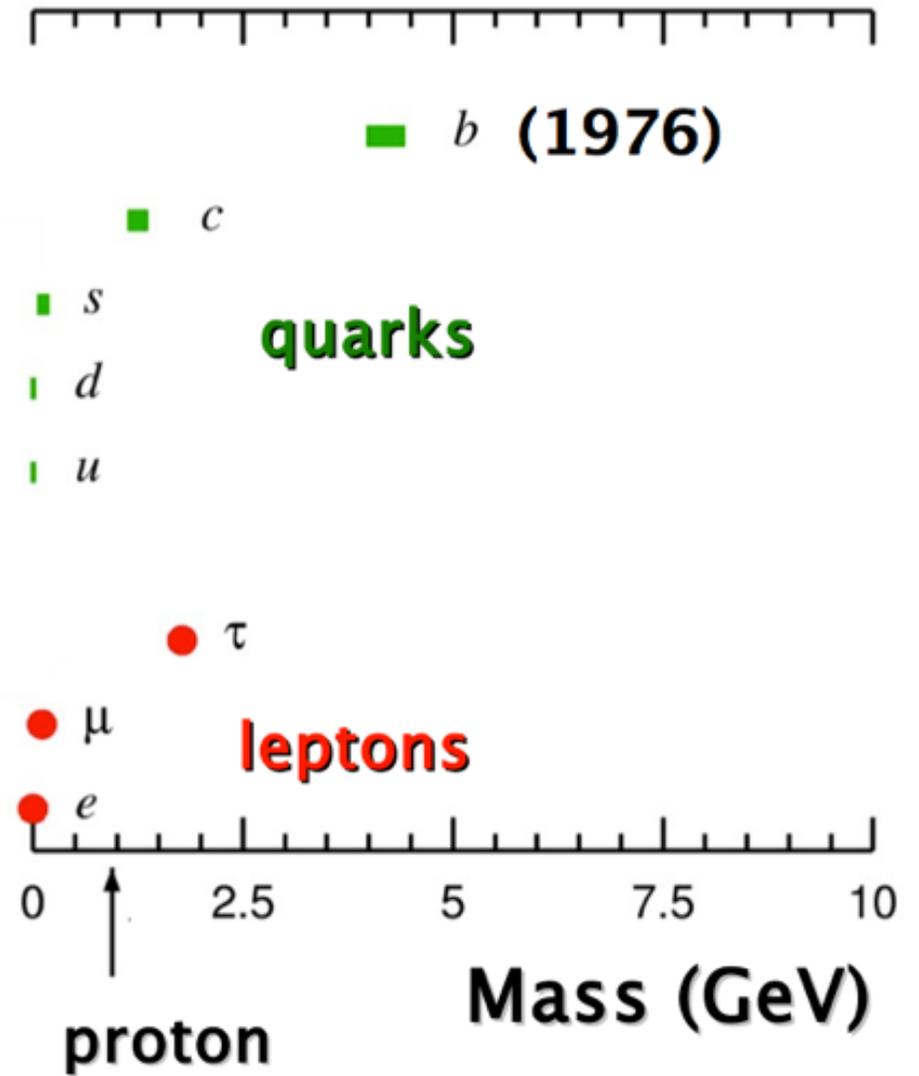
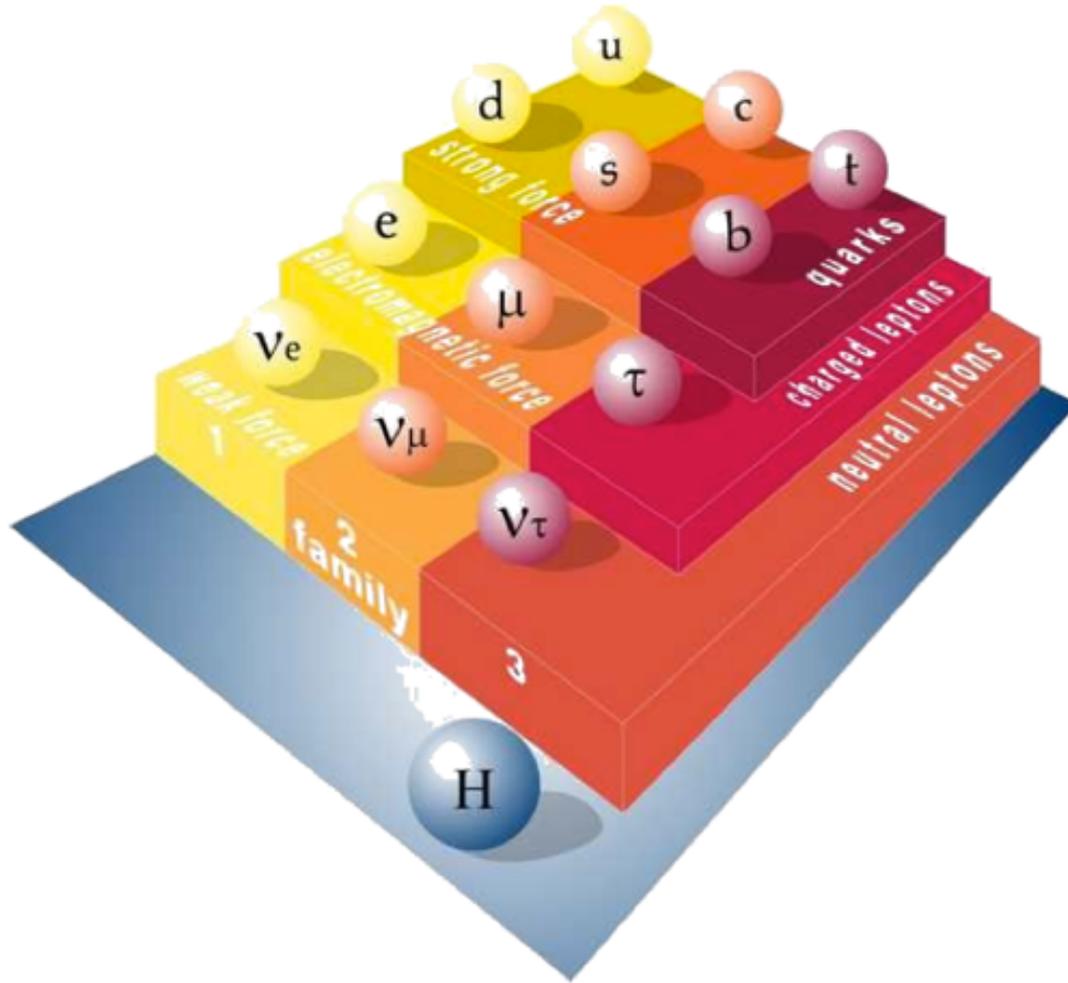
# June 3rd, 2015: First Collisions @ 13 TeV



# The Standard Model of Particle Physics

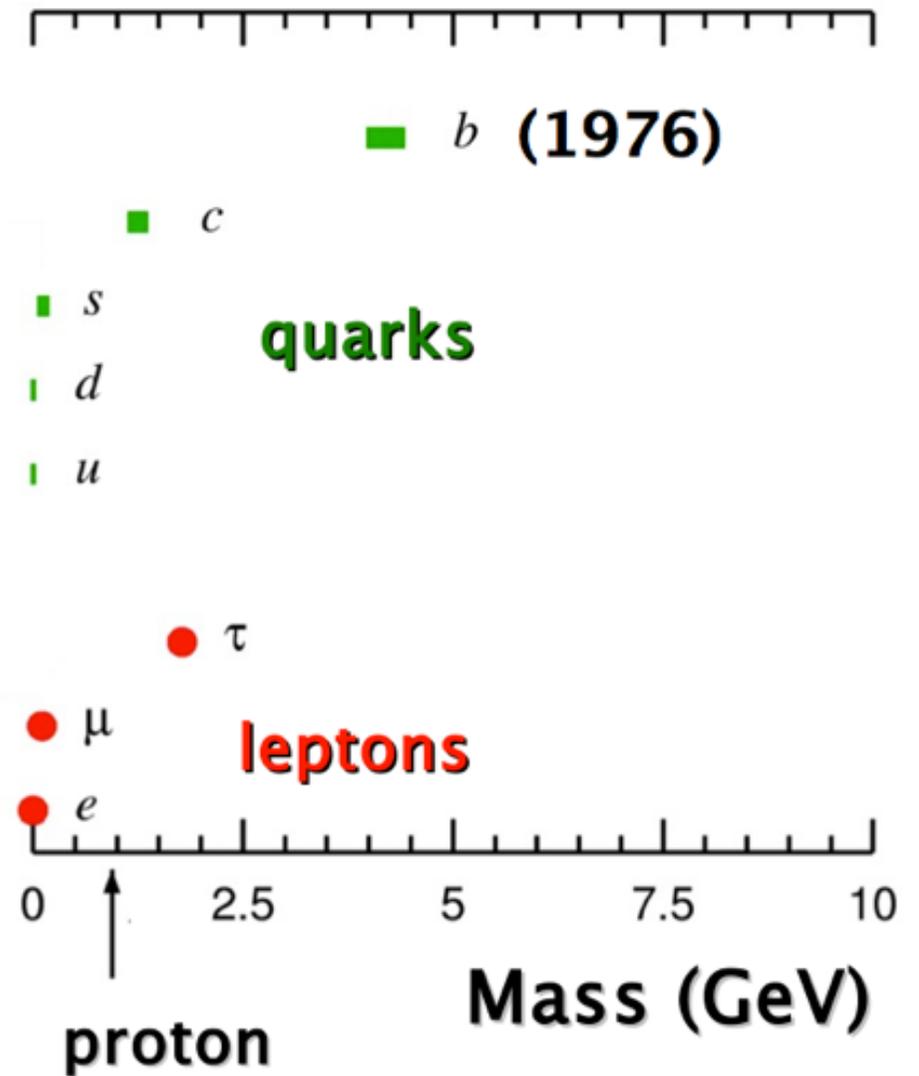
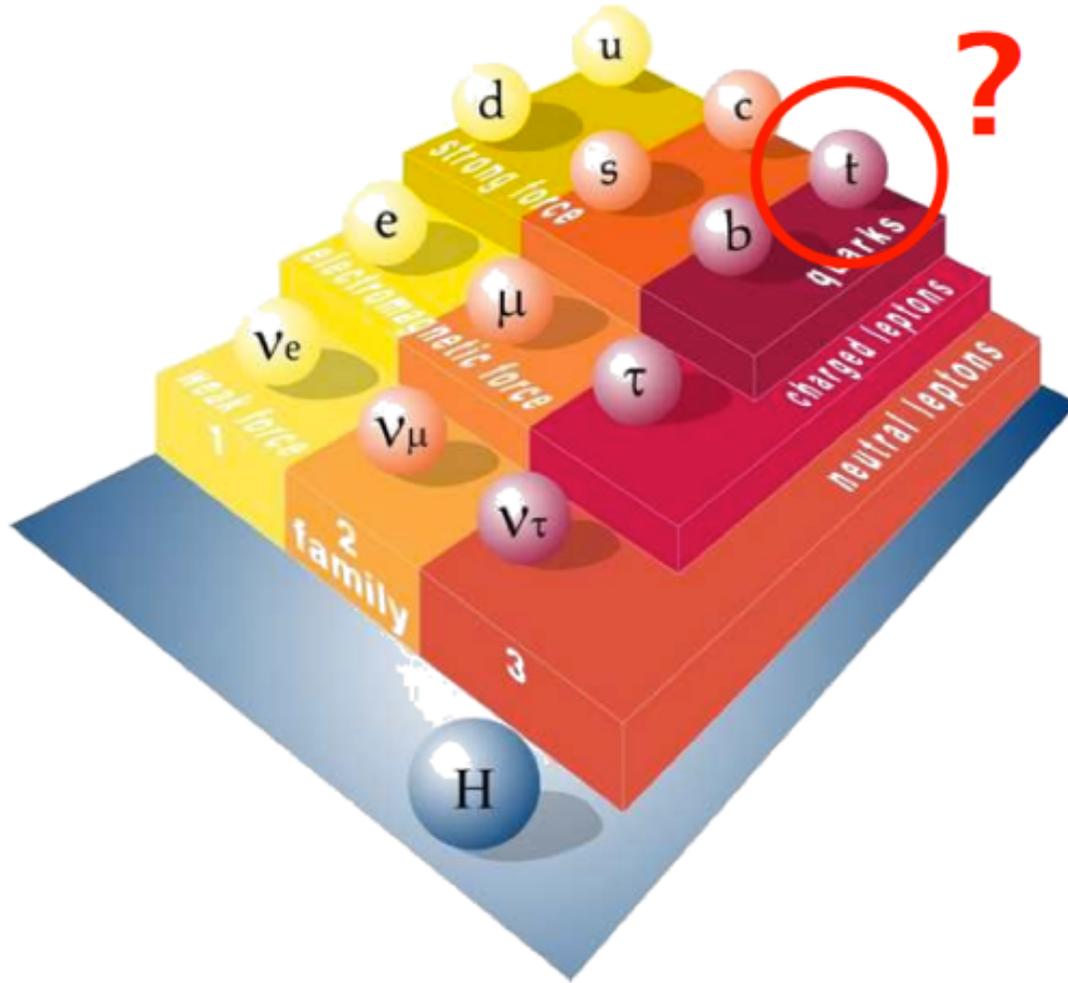


# Particle Masses



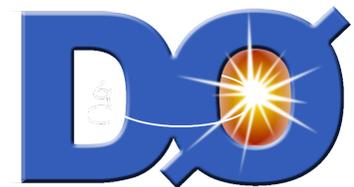
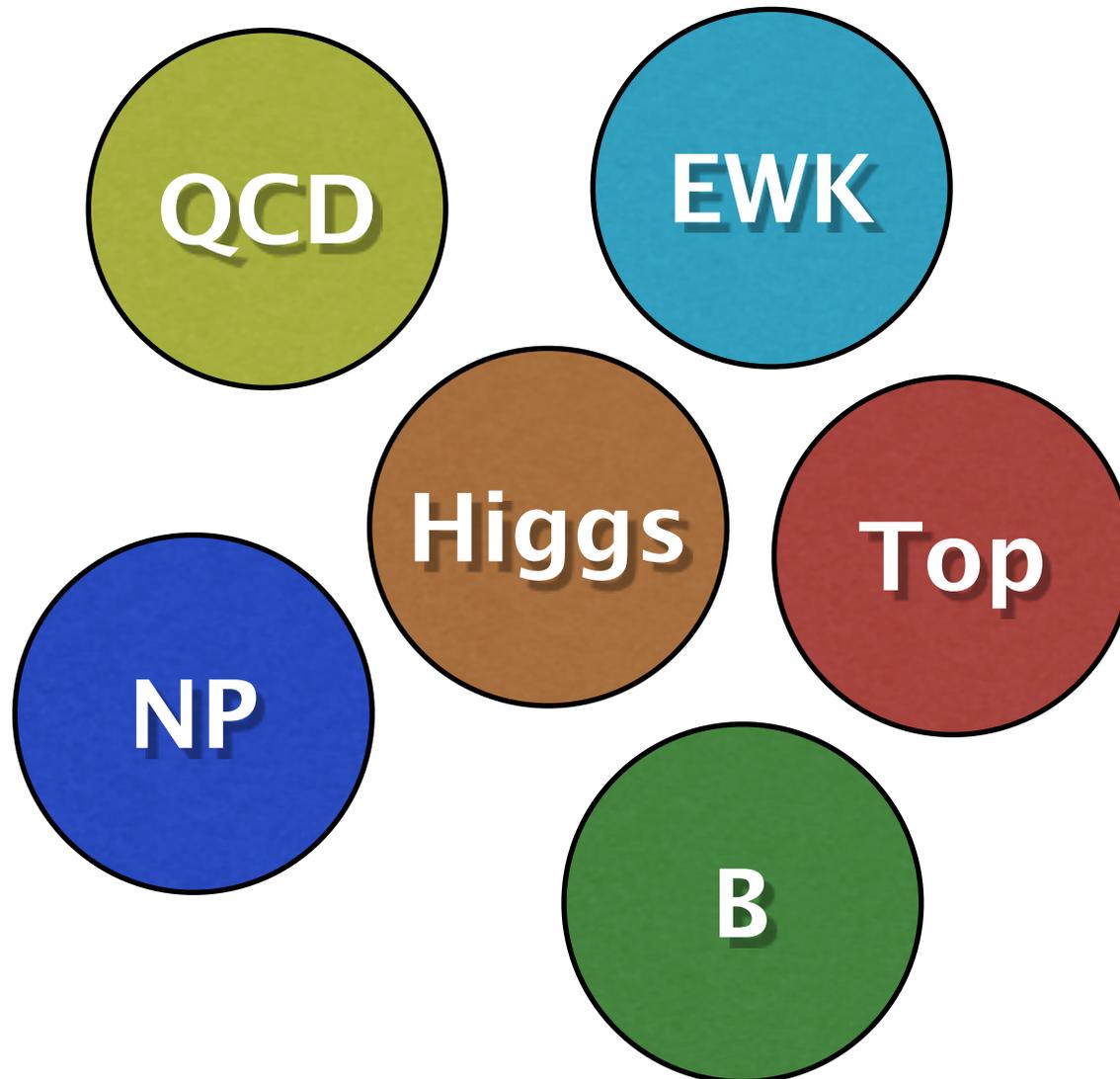
neutrino masses  $\ll 1$  eV

# The Top Quark

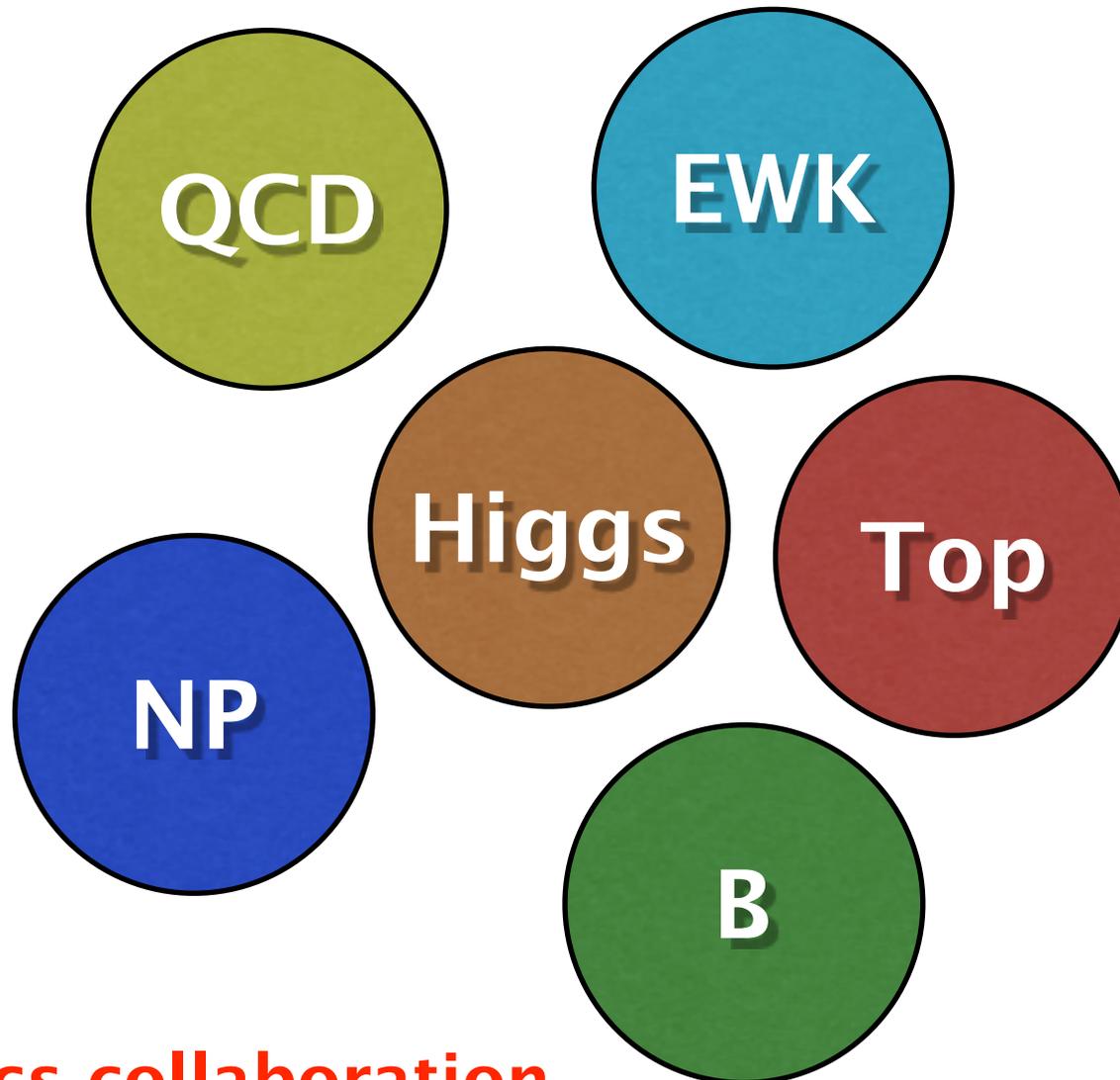


neutrino masses  $\ll 1$  eV

# Physics Groups: DØ Collaboration

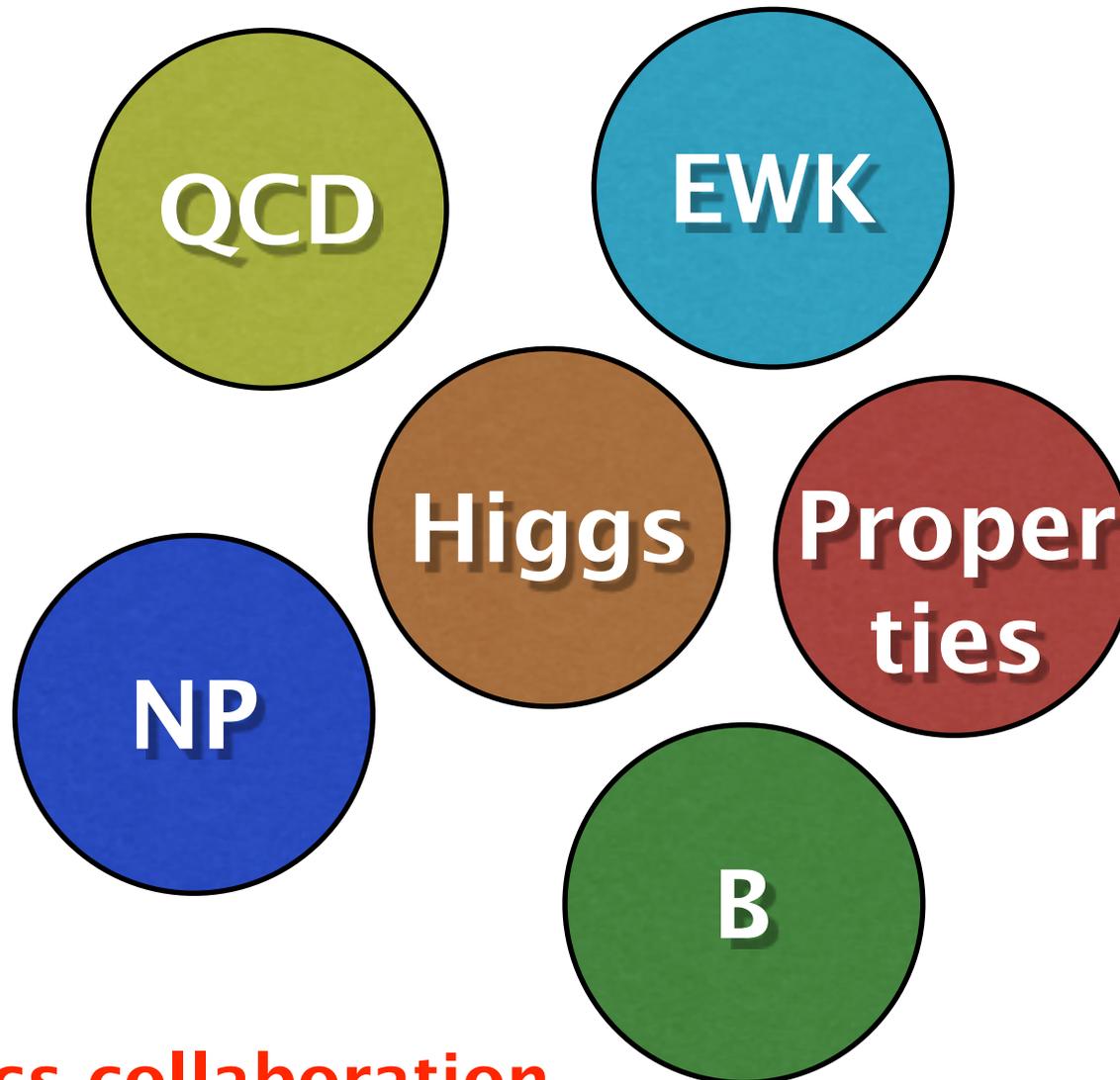


# Top Quark Physics Topics



→ top physics collaboration

# Top Quark Physics Topics



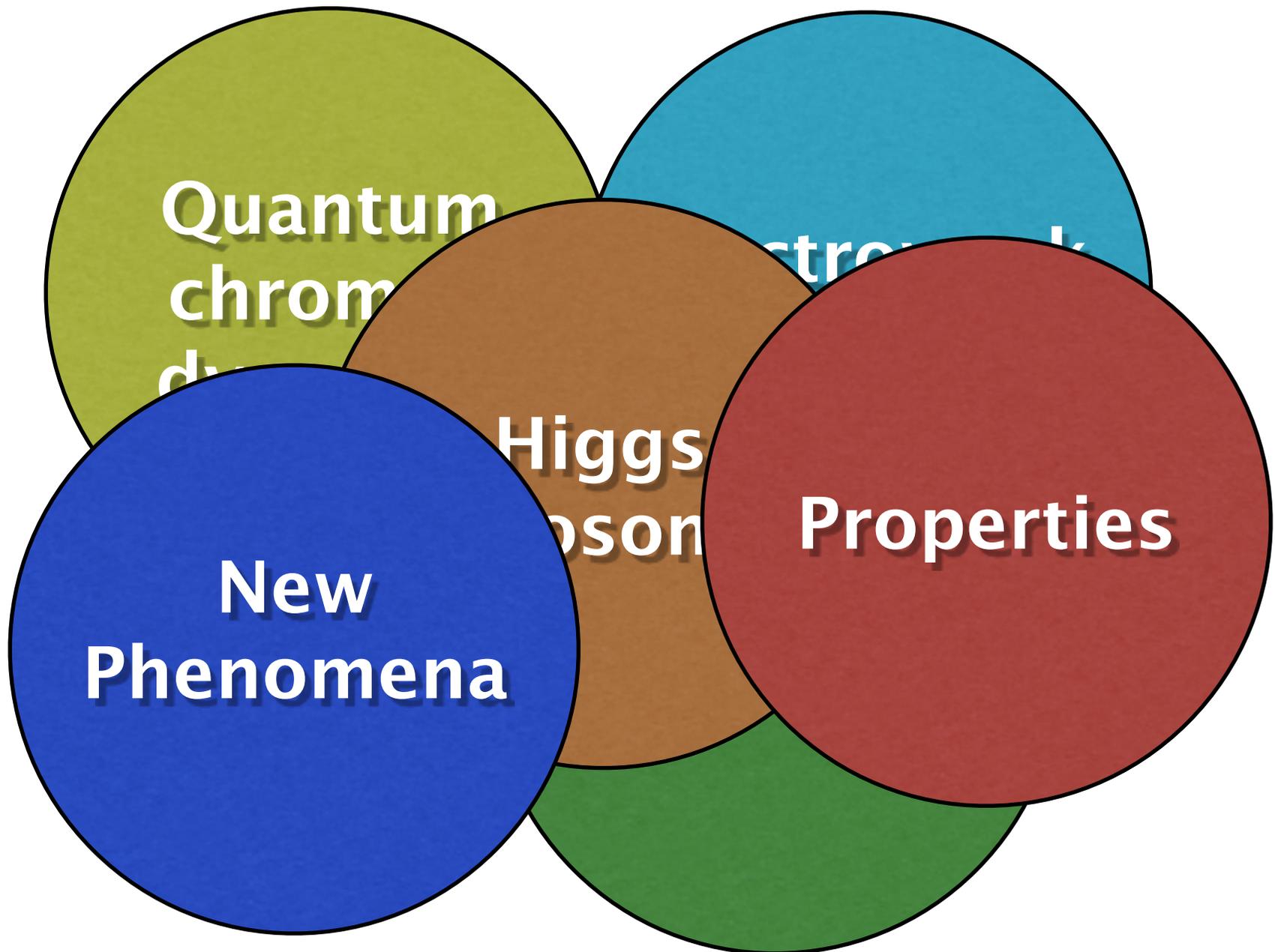
→ top physics collaboration

# Top Quark Physics Topics

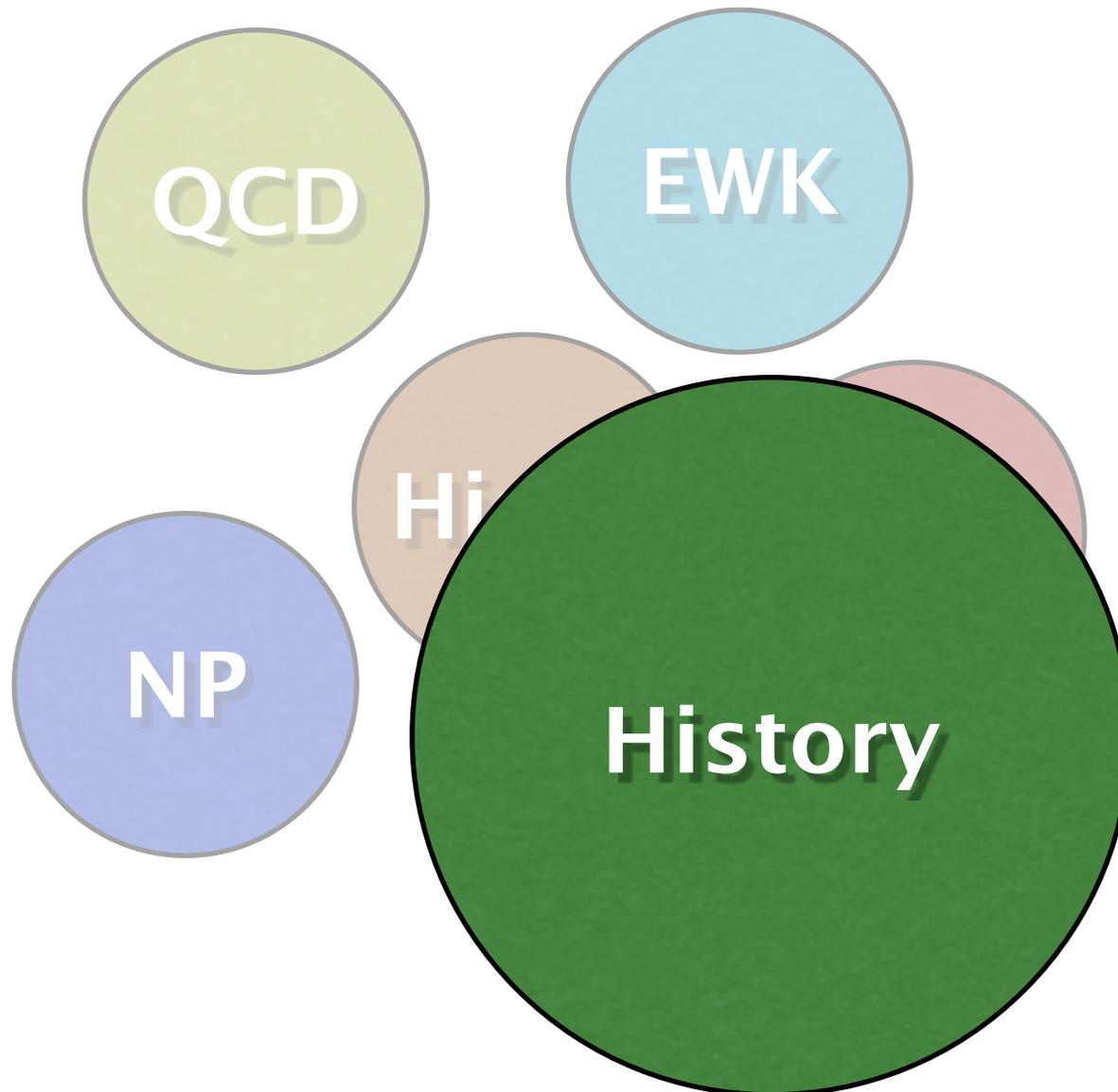


→ top physics collaboration

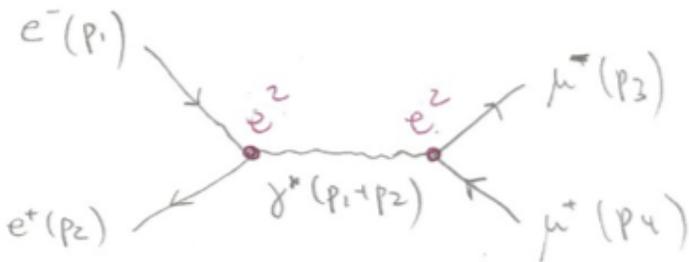
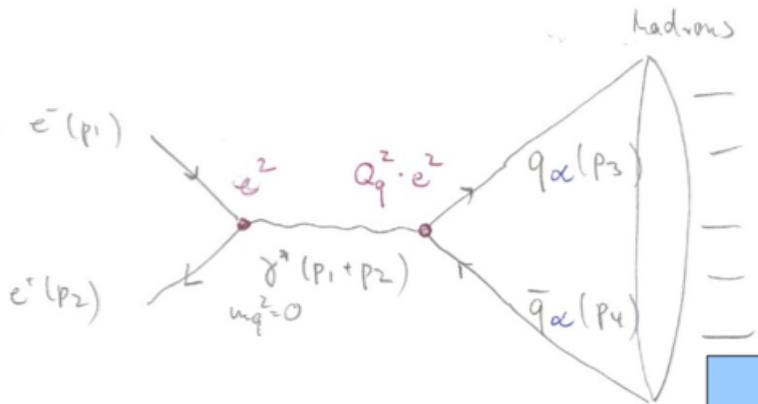
# Top Quark Physics Topics



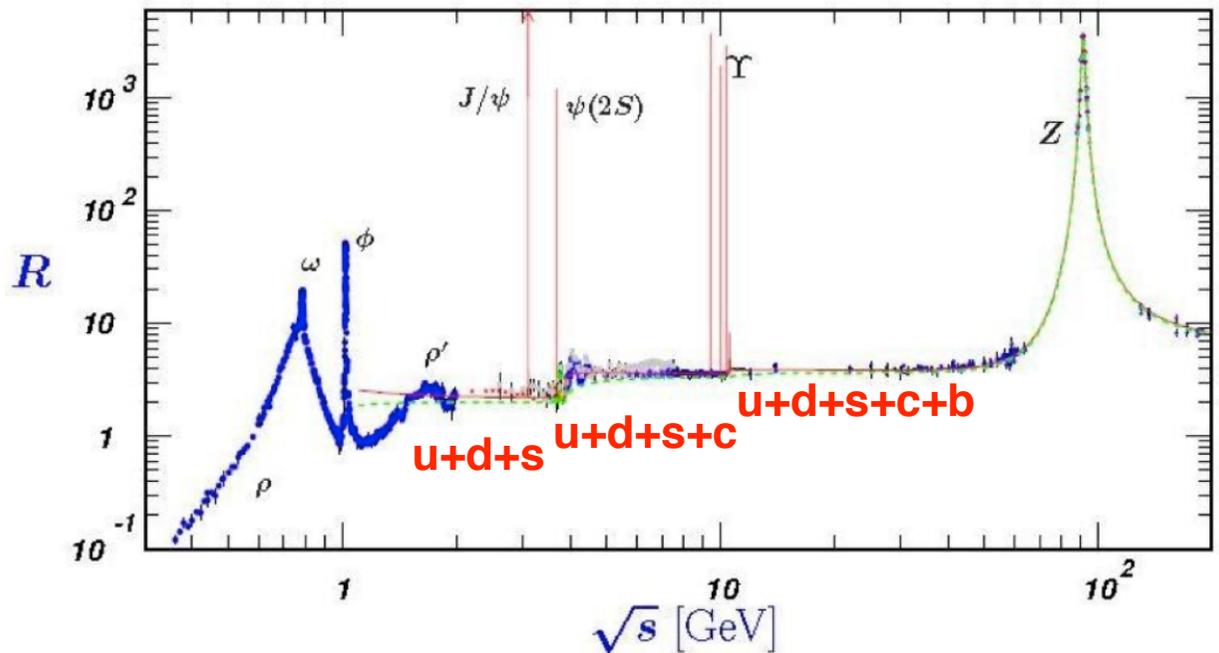
# Top Quark Physics Topics



# History of the Top Quark Search



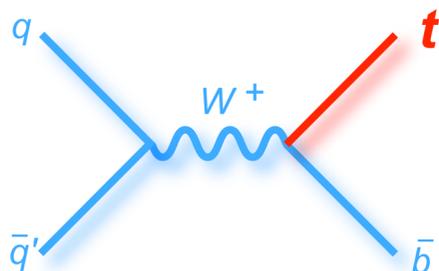
$$R = \frac{\sigma(e^+ + e^- \rightarrow \text{hadrons})}{\sigma(e^+ + e^- \rightarrow \mu^+ + \mu^-)} = \frac{3 \sum (\text{quark charge})^2}{1^2}$$



→ structure of quark families suggested  
existence of a 6<sup>th</sup> quark:  
**where is the top?**

# History of the Top Quark Search

- From here on the race to find the top began
    - Petra ( $e^+e^-$ ) at DESY, Hamburg:  $m_t > 23.3$  GeV in 1984
    - Tristan ( $e^+e^-$ ) in Japan:  $m_t > 30.2$  GeV in late 80s
    - UA1&UA2@SPS ( $p\bar{p}$ ) at CERN: discovery of W and Z in 1983
    - UA1:  $m_t > 44$  GeV in 1988  
(after having an excess in 1984 which they thought was evidence for top)
    - LEP ( $e^+e^-$ ) at CERN :  $m_t > 45.8$  GeV in 1990
    - UA2:  $m_t > 69$  GeV
- ⇒  $W \rightarrow tb$  search channel closed down



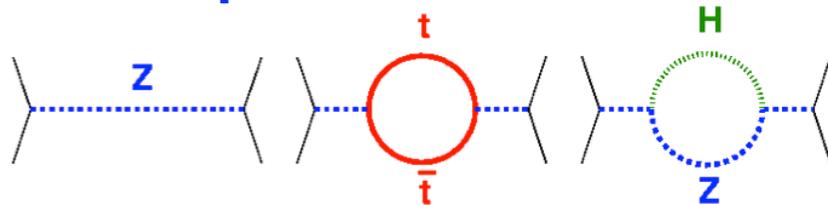
$$M_W > m_t + m_b$$



# History of the Top Quark Search

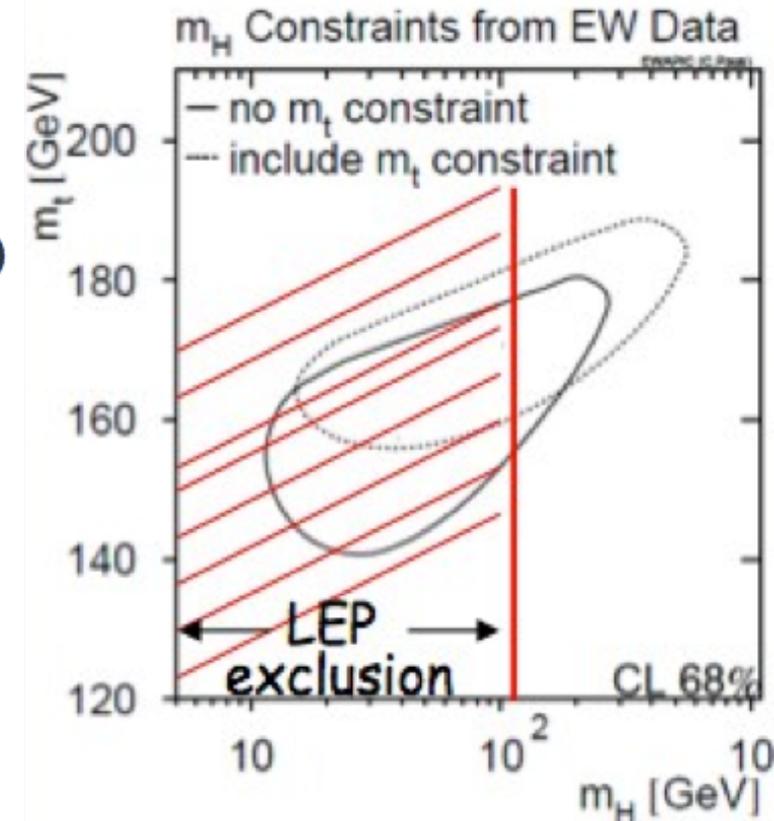
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## Electroweak precision data



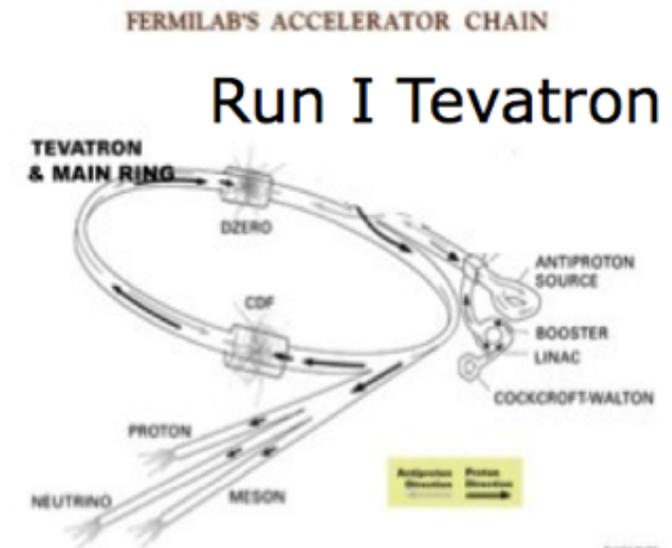
$$M_Z^2 = M_Z^{2 \text{ 0.order}} / (1 - \Delta)$$

$$\Delta \approx \dots m_t^2 \dots + \dots \ln m_h \dots$$



# History of the Top Quark Search

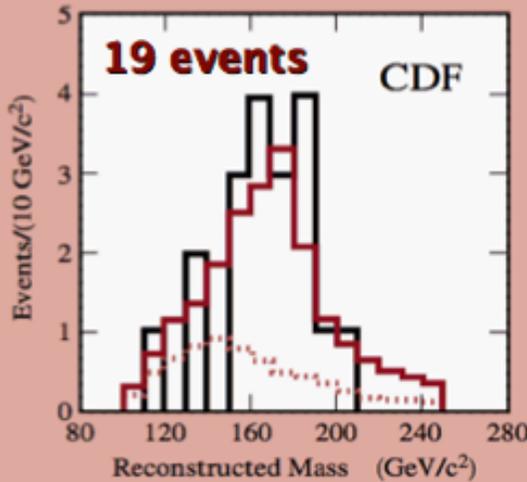
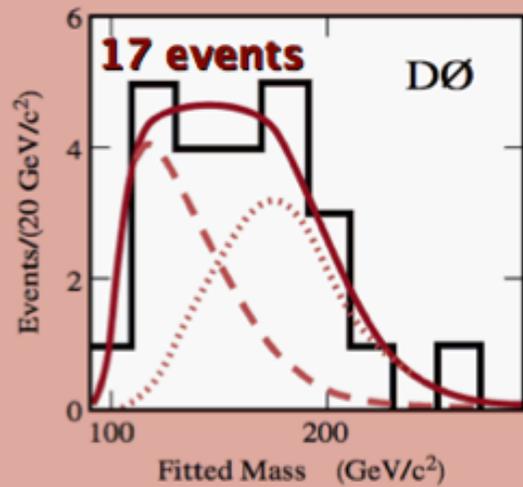
- **1984/85**: Tevatron collider commissioned and dedicated
- **October 1985**: First collisions recorded by CDF
  - DØ: still in construction
- 1987: CDF Run-0
- **1992**: First collisions by DØ
- **Run I (1.8 TeV): 1992–1996**
  - **1995: Discovery of the top quark!**
  - In total  $\sim 120\text{pb}^{-1}$  per experiment
  - DØ: more focused on calorimetry
  - CDF: more focused on tracking



# The Top Quark

**discovery**

PRL 74, 2632 (1995)  
PRL 74, 2626 (1995)

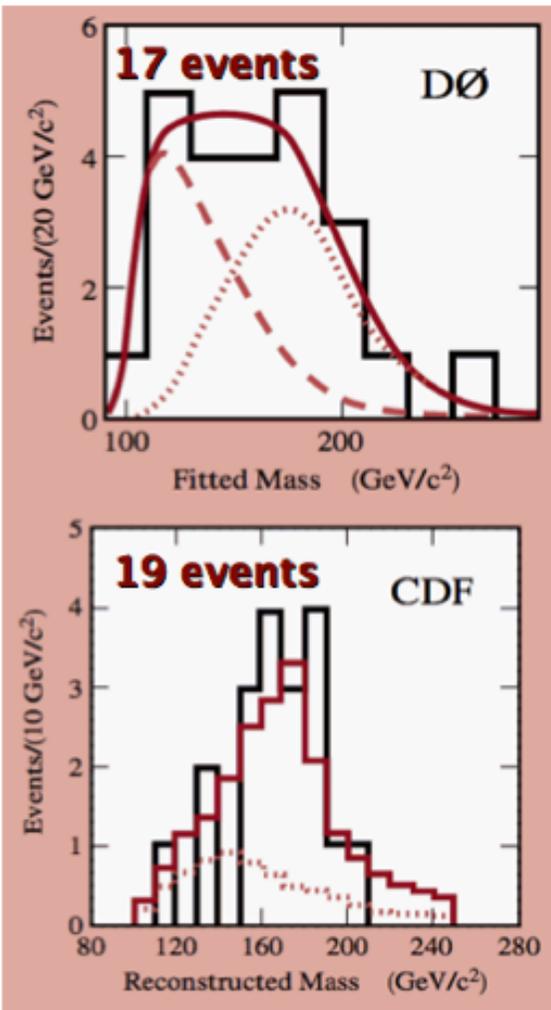


**1995, CDF and DØ  
experiments, Fermilab**

# The Top Quark

**discovery**

PRL 74, 2632 (1995)  
PRL 74, 2626 (1995)



March 2nd, 1995:  
**First announcement of Top Discovery**  
in public seminar at Fermilab

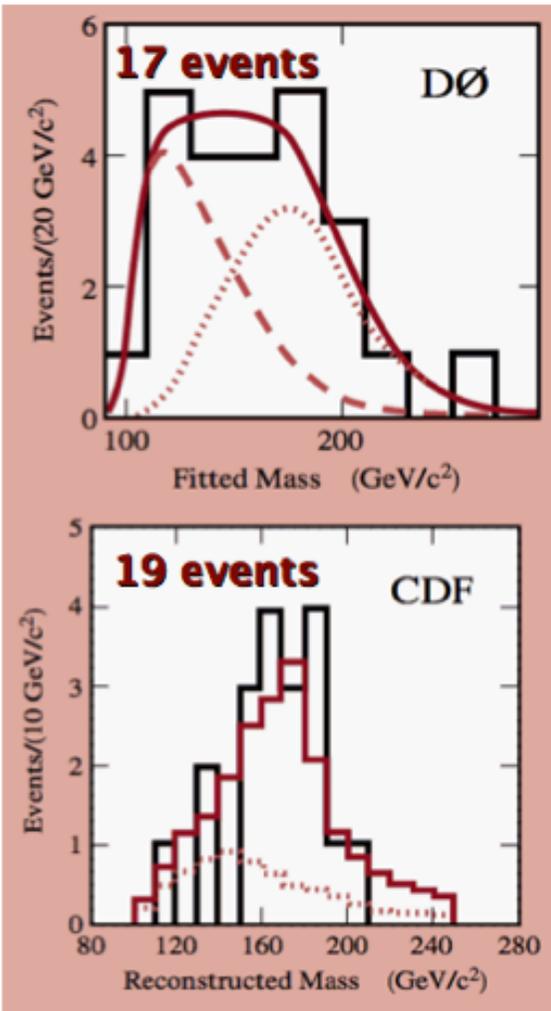


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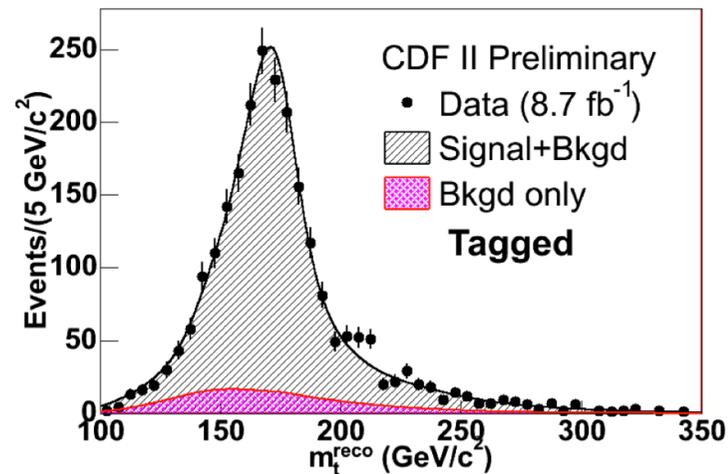
**discovery**

PRL 74, 2632 (1995)  
PRL 74, 2626 (1995)



**today**

**1000s of events**

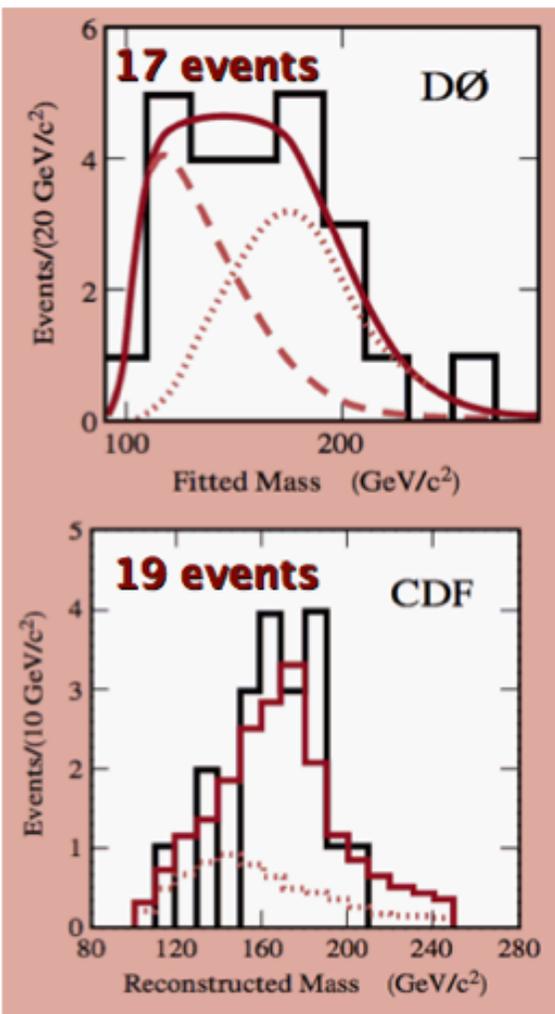


**1995, CDF and DØ  
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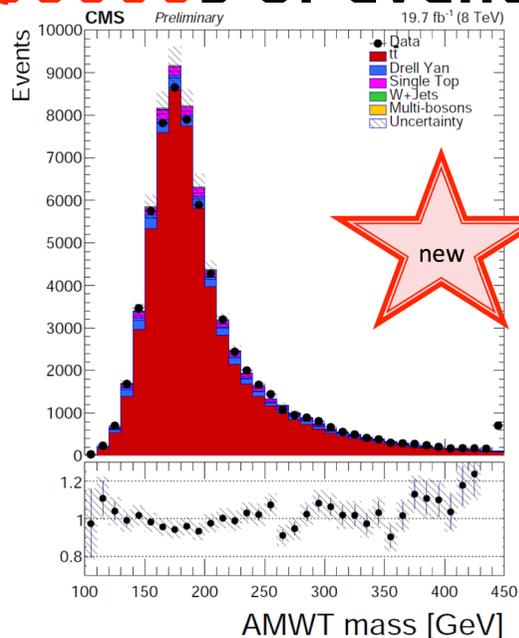
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**1995, CDF and DØ  
experiments, Fermilab**

**today**

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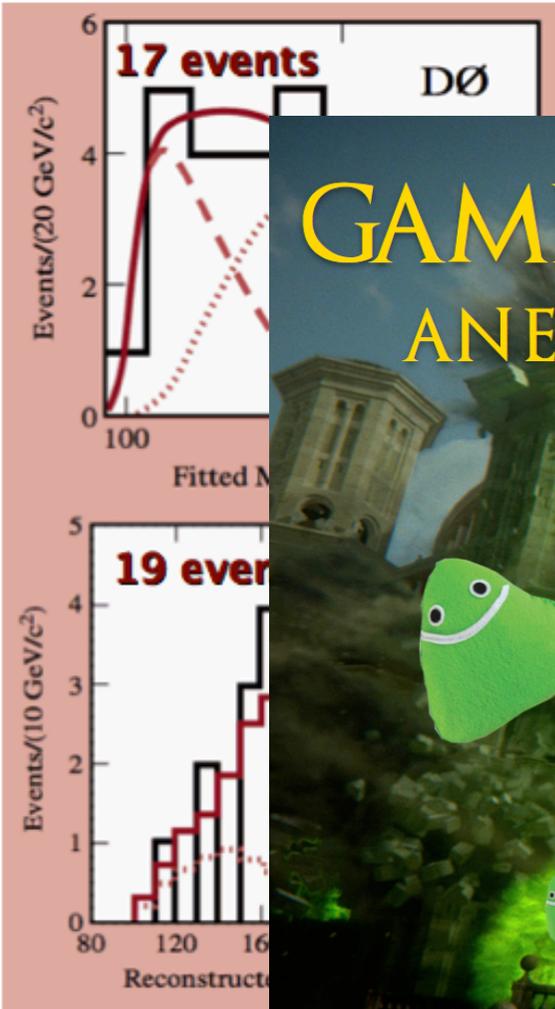
**LHC:  
top quark  
factory**

# The Top Quark

**discovery**

PRL 74, 2632 (1995)  
PRL 74, 2626 (1995)

**today**



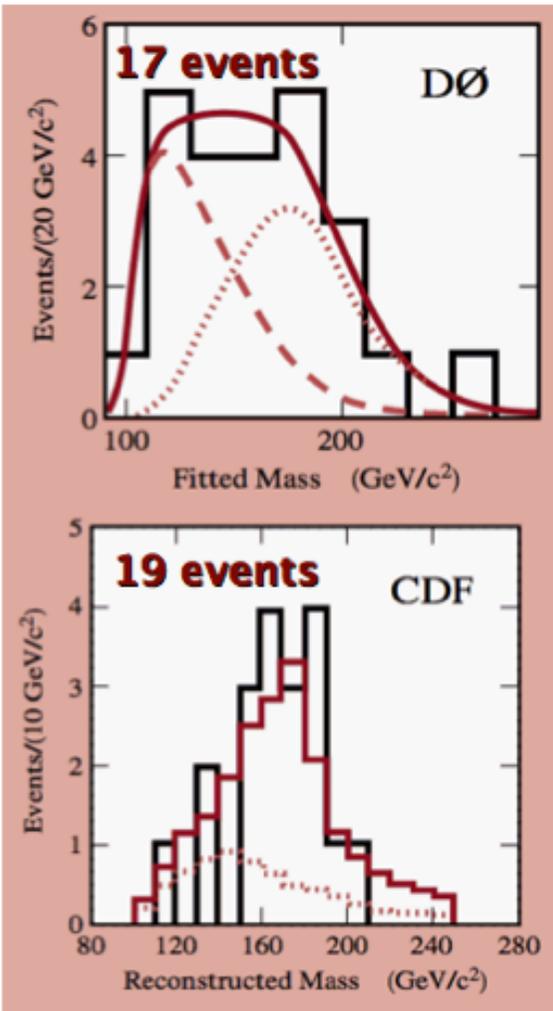
1995, CDF  
experim

# The Top Quark

**discovery**

PRL 74, 2632 (1995)  
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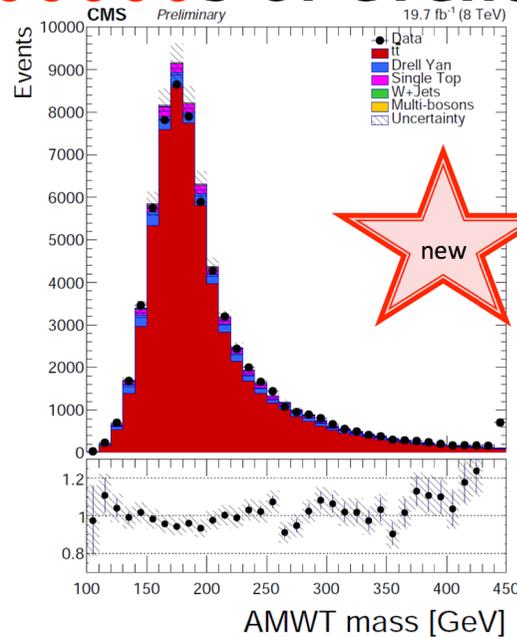
**precision**



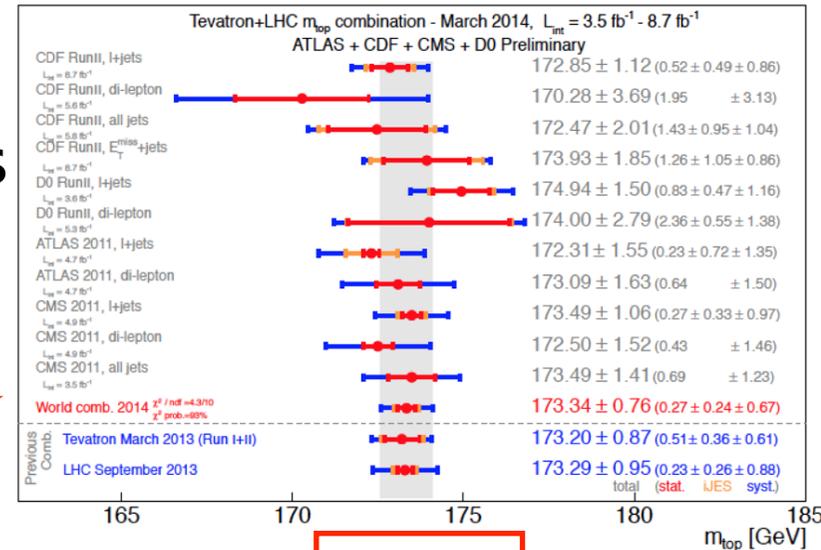
**1995, CDF and DØ experiments, Fermilab**

**today**

**100000s of events**



**LHC: top quark factory**

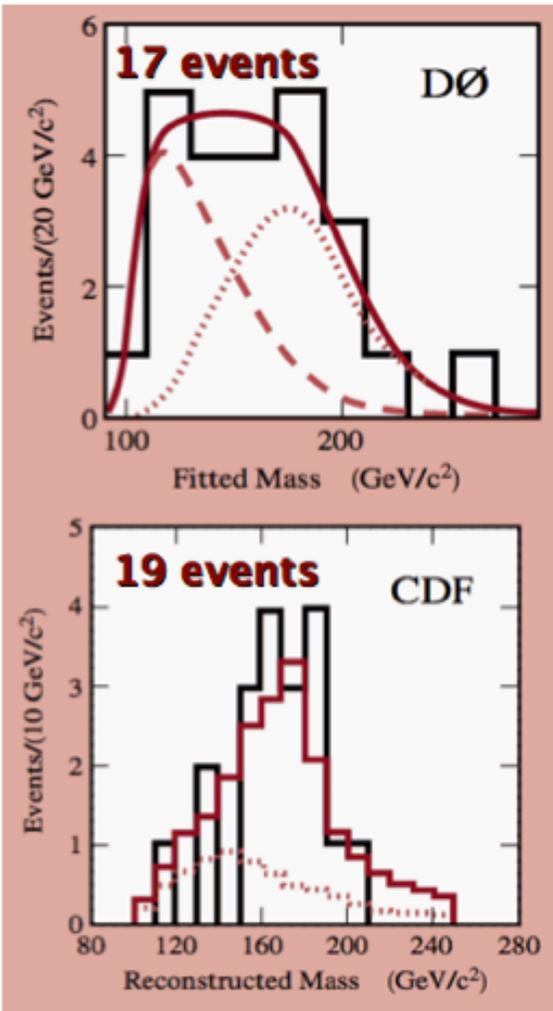


**±0.44%**

# The Top Quark

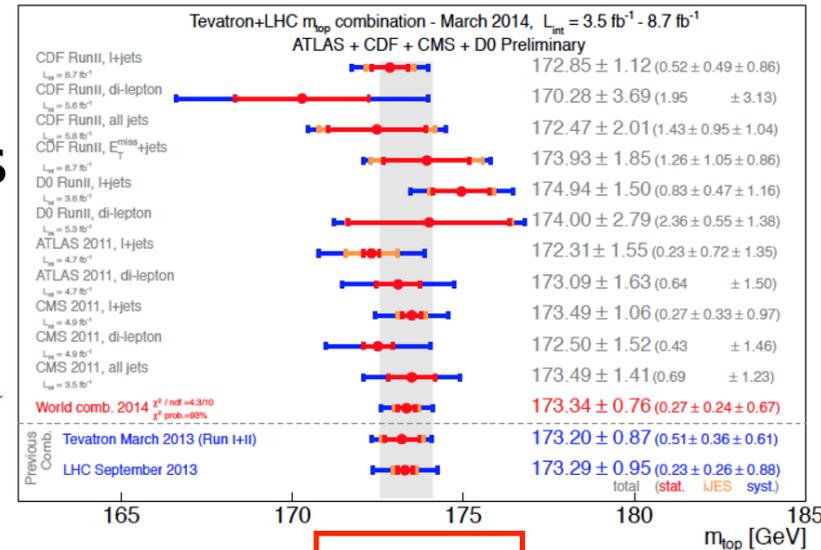
**discovery**

PRL 74, 2632 (1995)  
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1995, CDF and DØ experiments, Fermilab

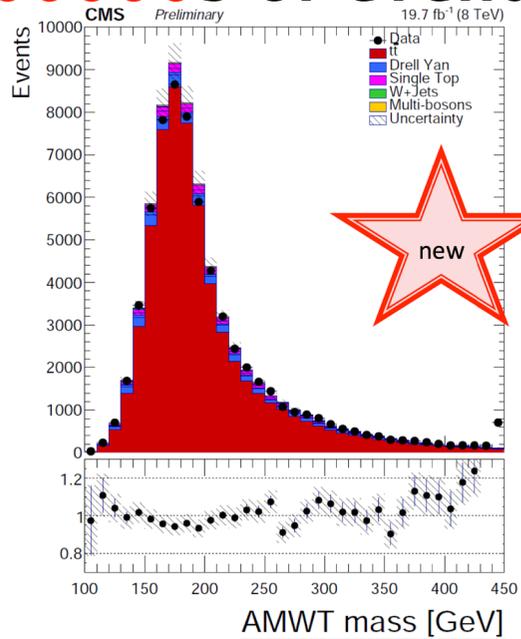
**precision**



**±0.44%**

**today**

100000s of events

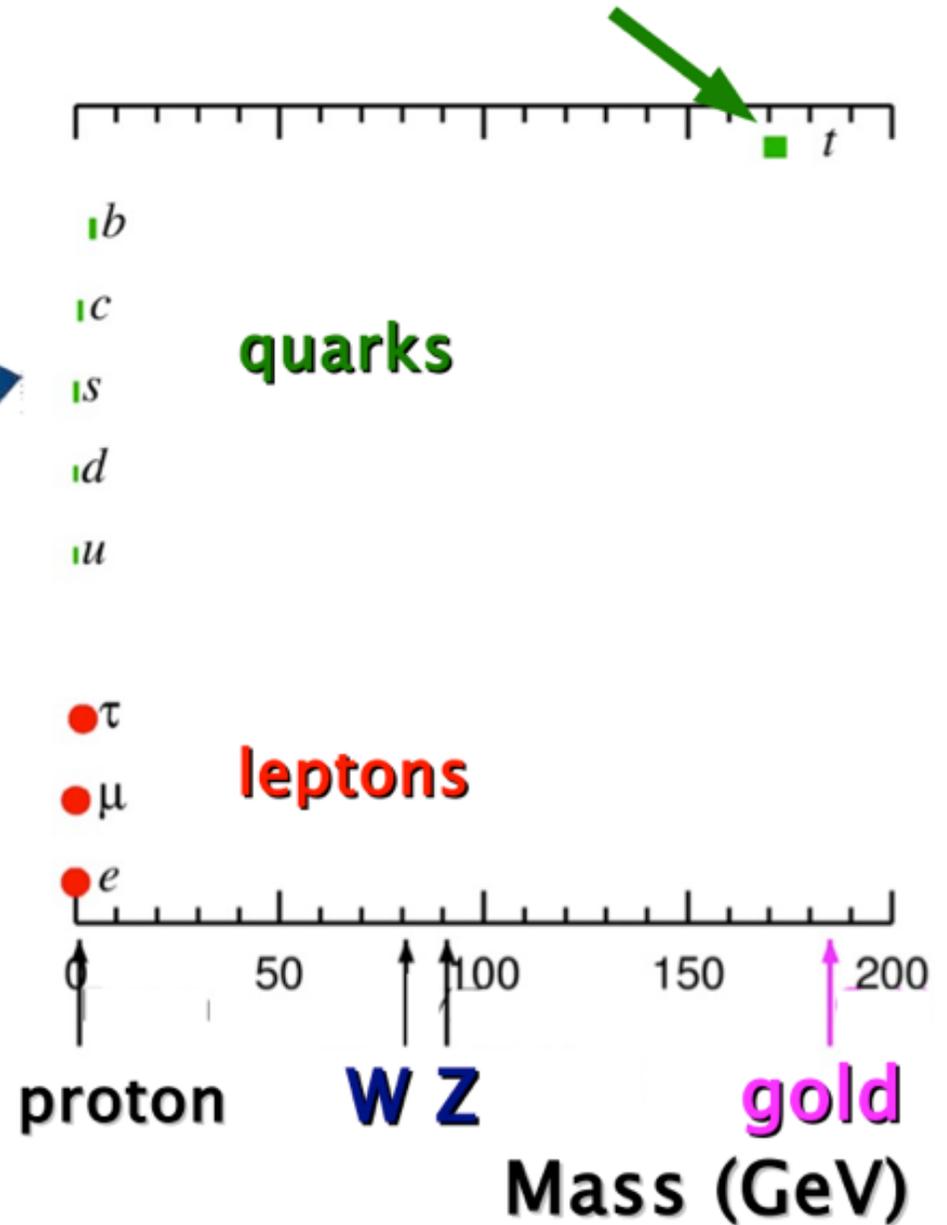
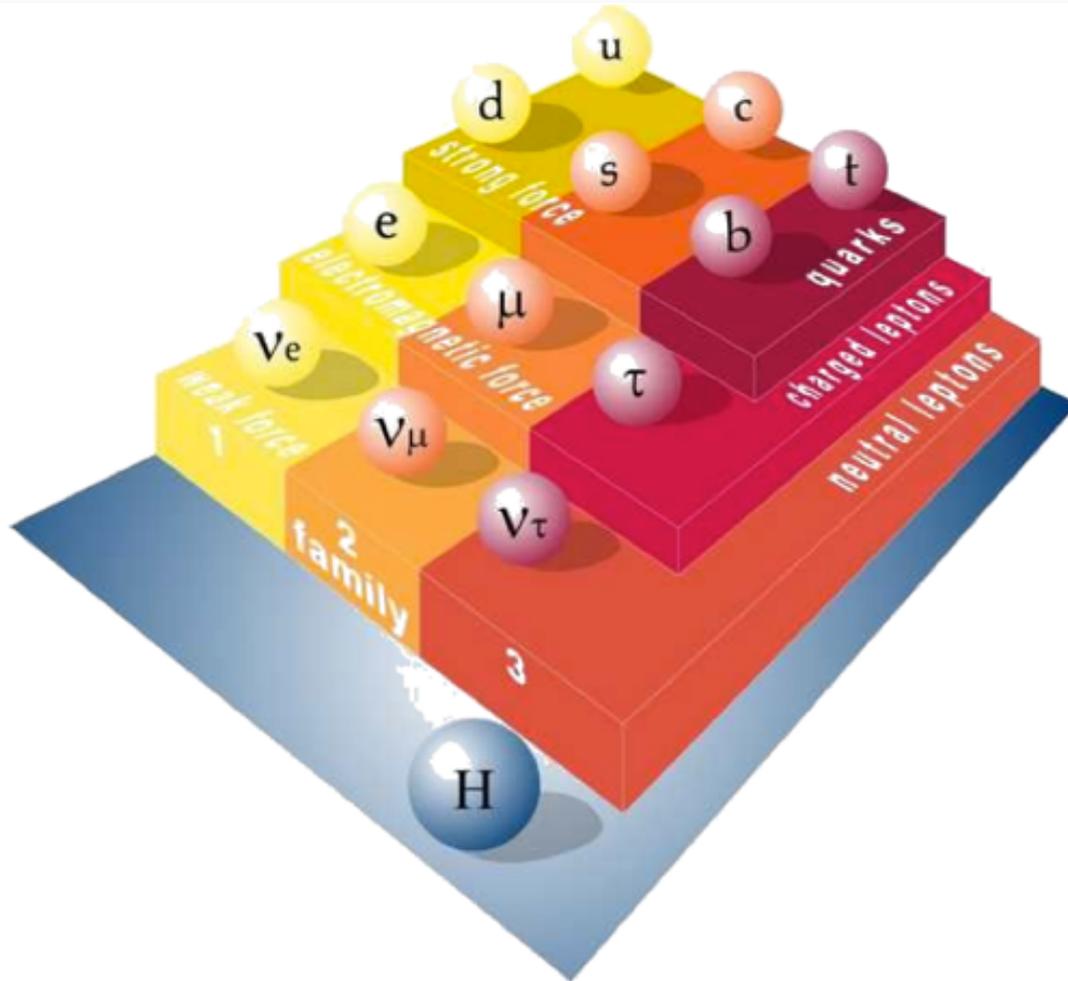


**LHC: top quark factory**

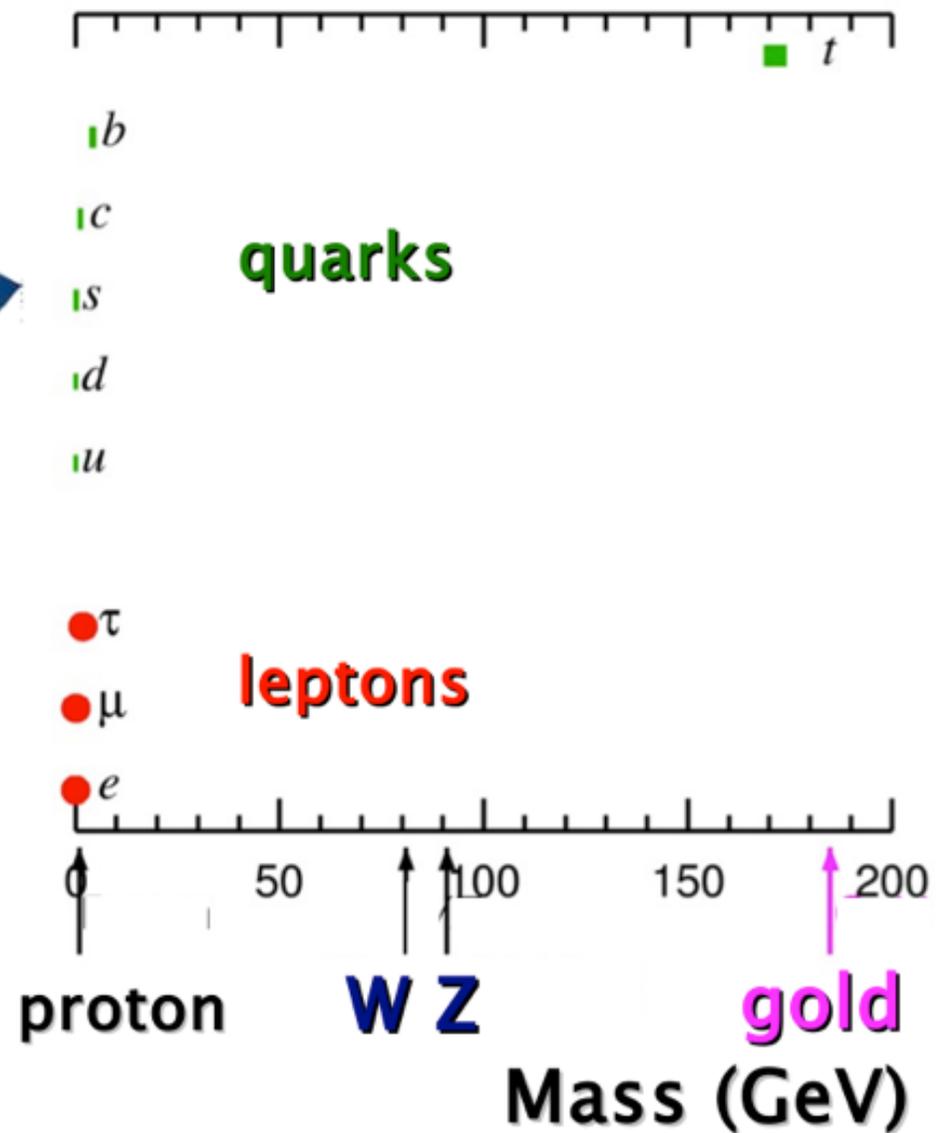
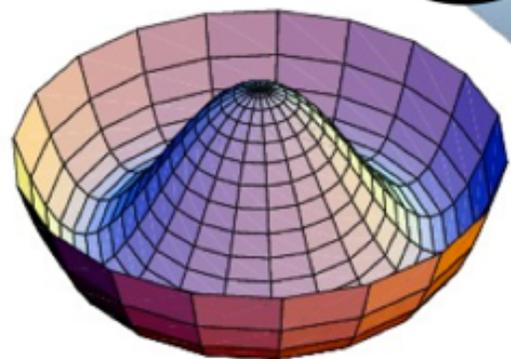
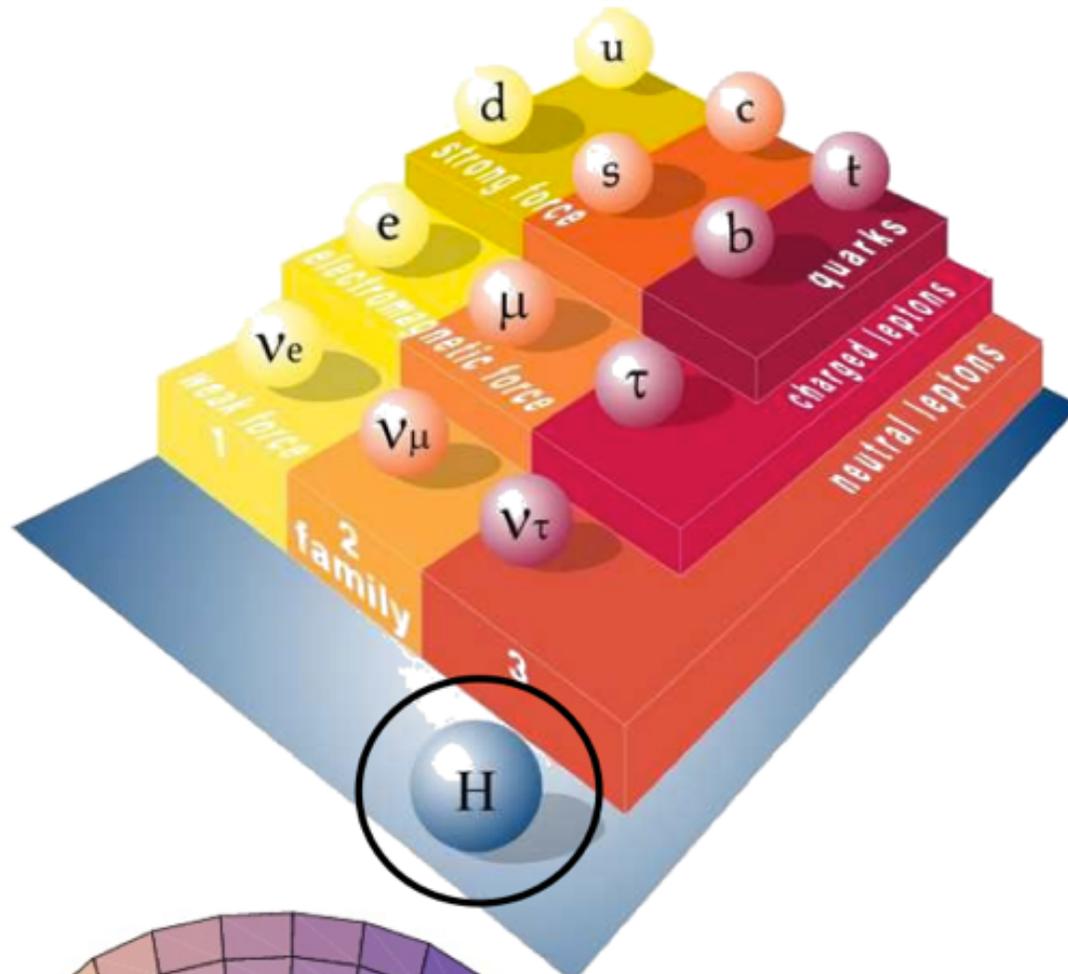
**searches**



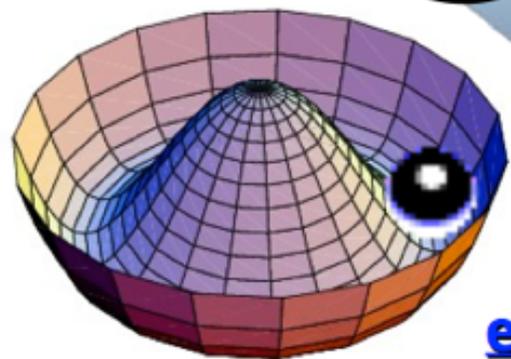
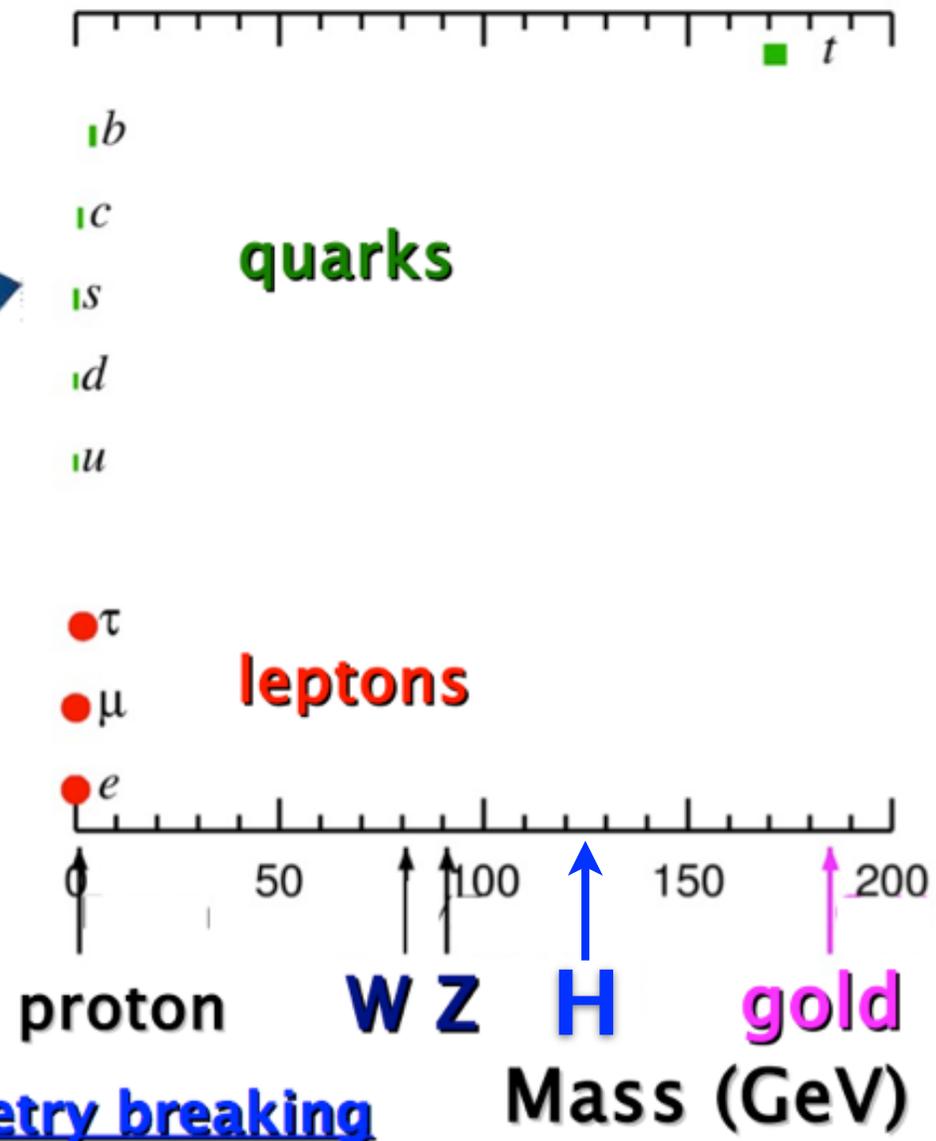
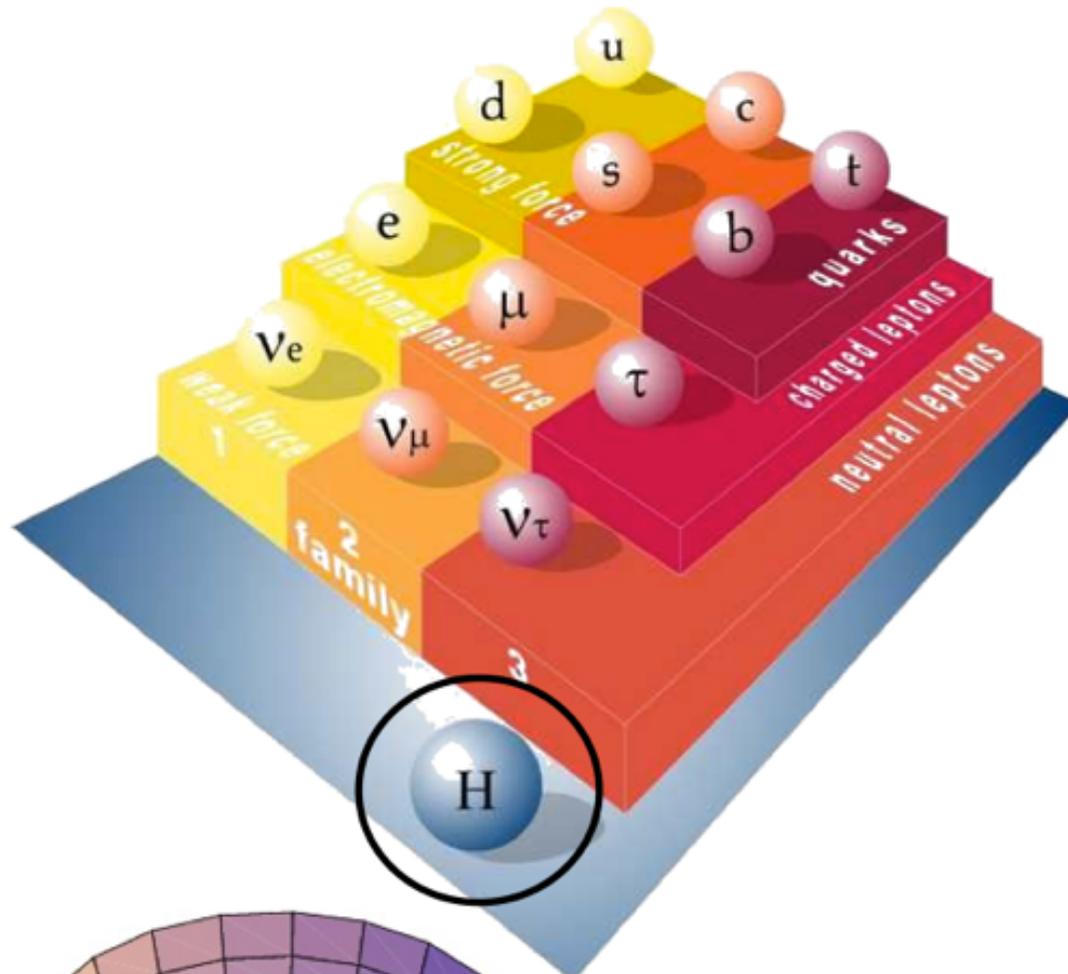
# The Top Quark



# The Top Quark

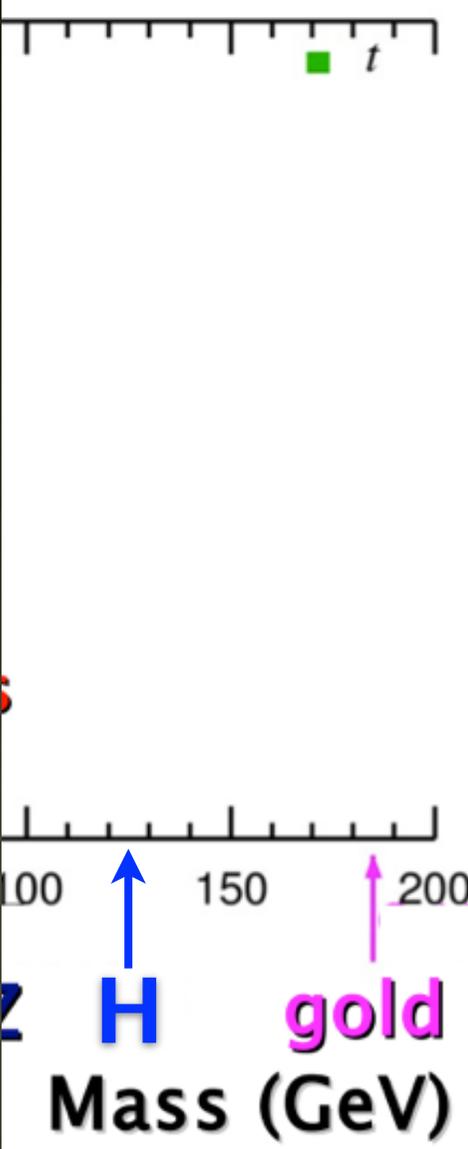
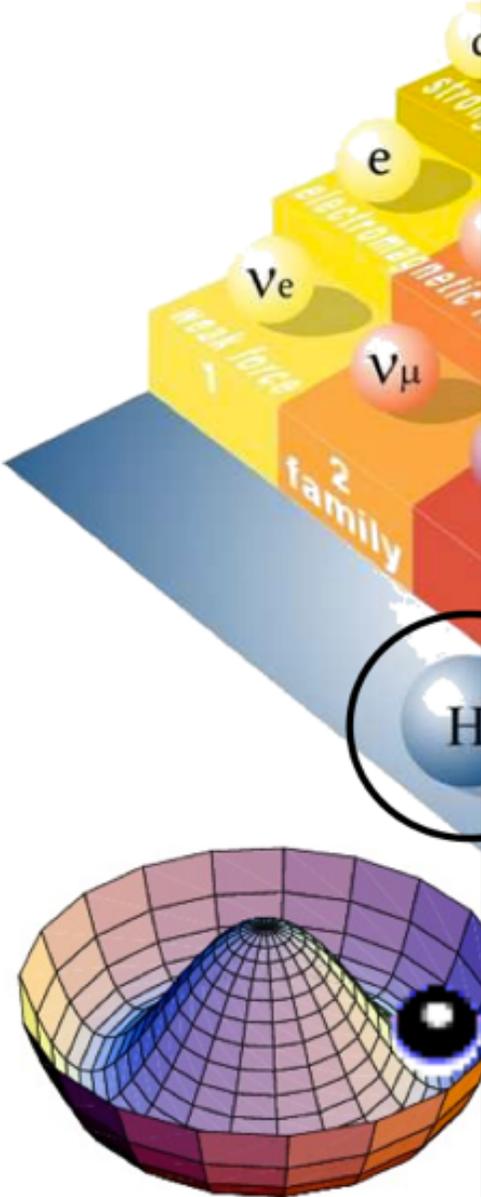


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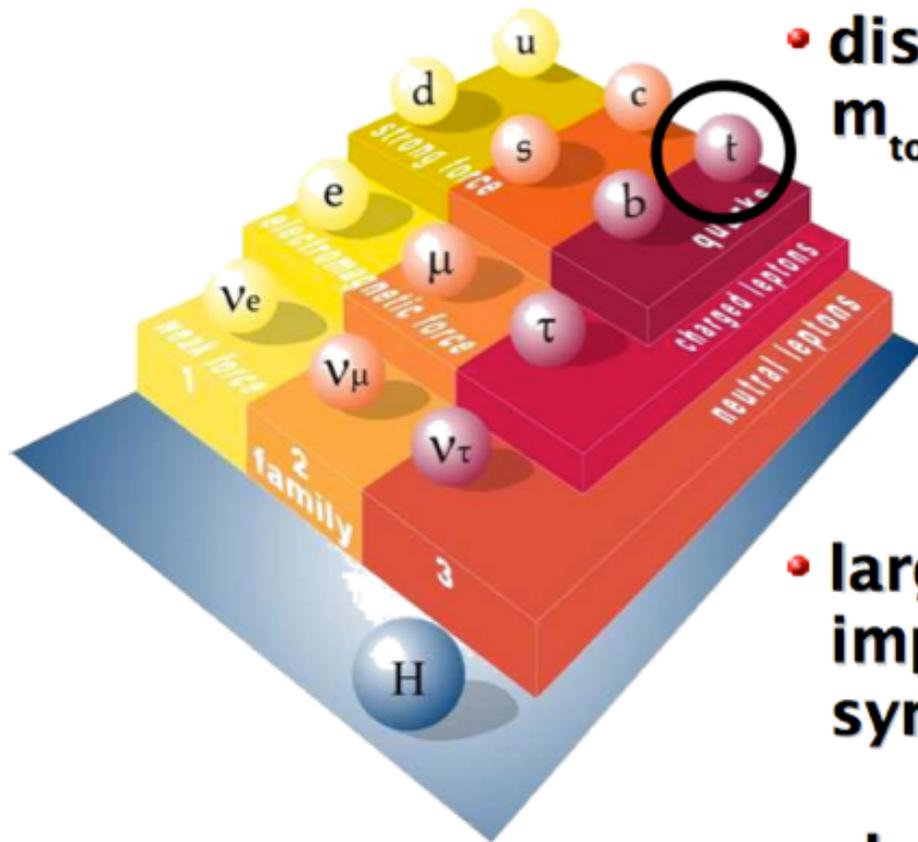


electroweak symmetry breaking

# The Top Quark

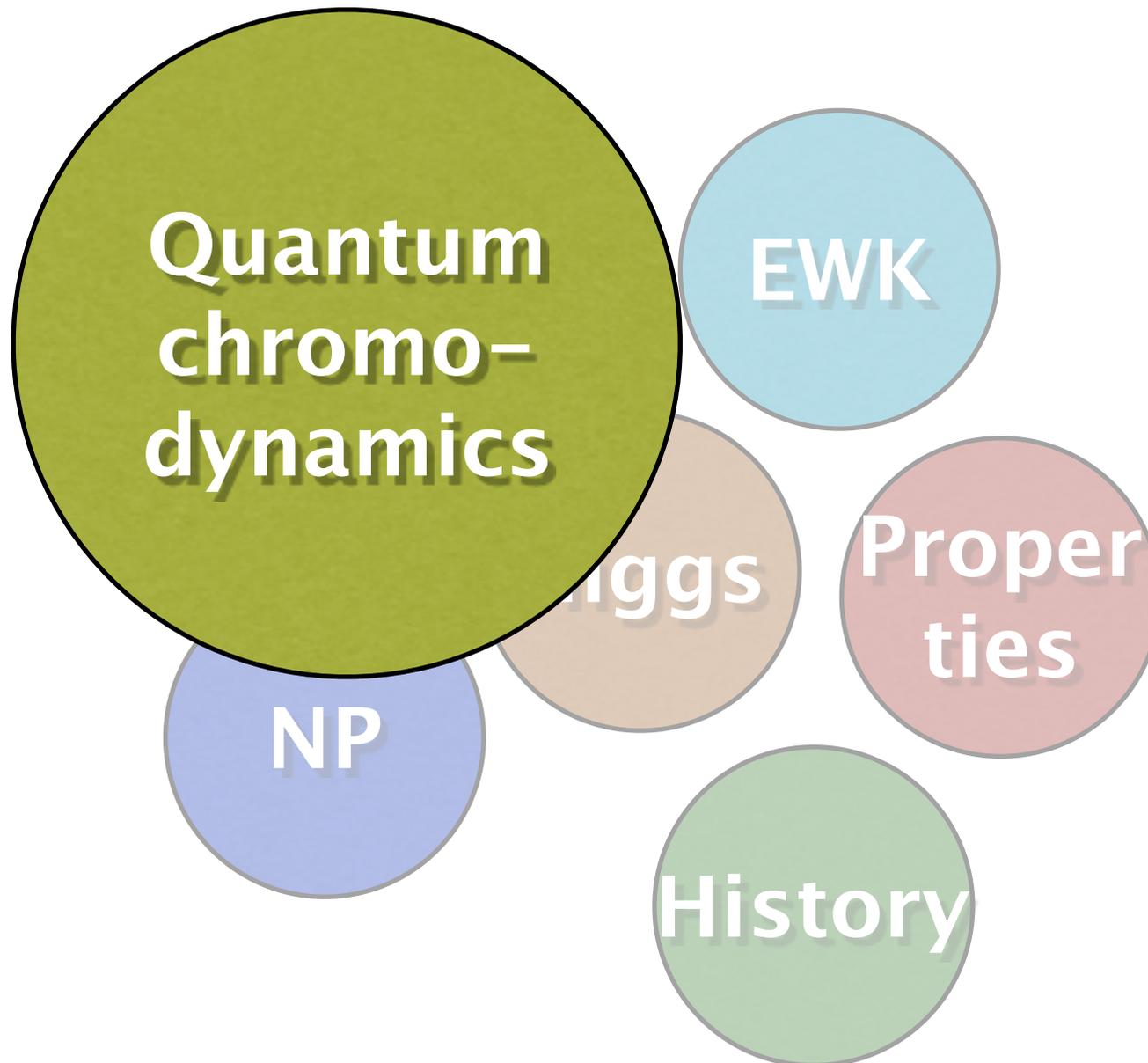


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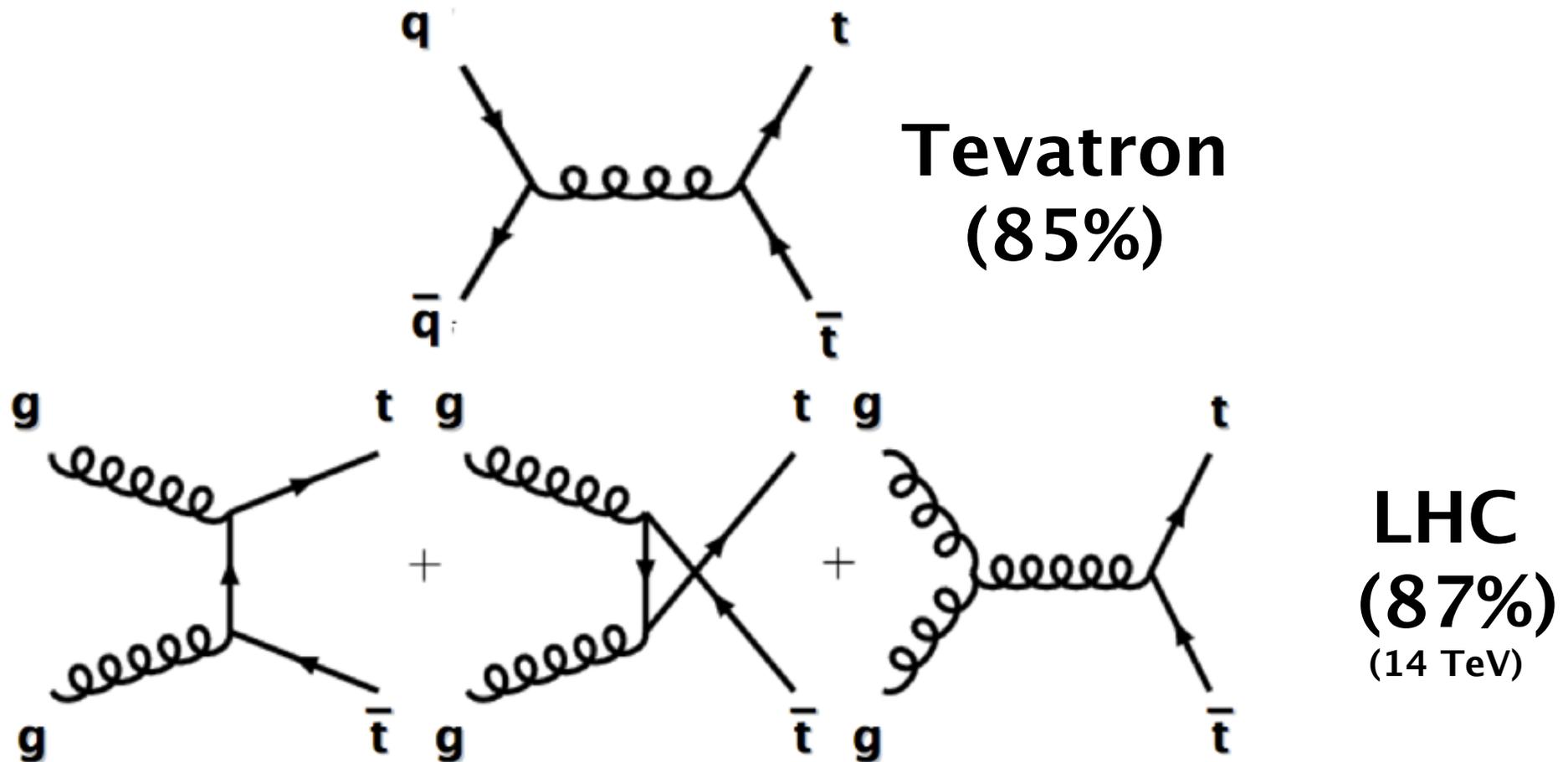


- needed as isospin partner of bottom quark
- discovered in 1995 by CDF and DØ:  $m_{\text{top}} \sim$  gold atom
- large coupling to Higgs boson  $\sim 1$ : important role in electroweak symmetry breaking?
- short lifetime:  $\tau \sim 5 \cdot 10^{-25} \text{s} \ll \Lambda_{\text{QCD}}^{-1}$ : decays before fragmenting  
→ observe “naked” quark

# Top Quark Physics Topics



# Top Quark Pair Production



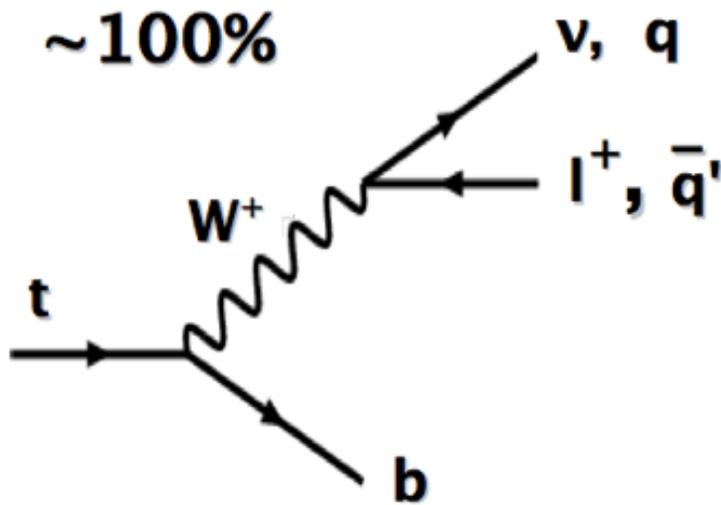
# Top Quark Pair Signatures

$\tau$ 's  
14%

alljets  
46%

## Top Pair Decay Channels

### top decay:



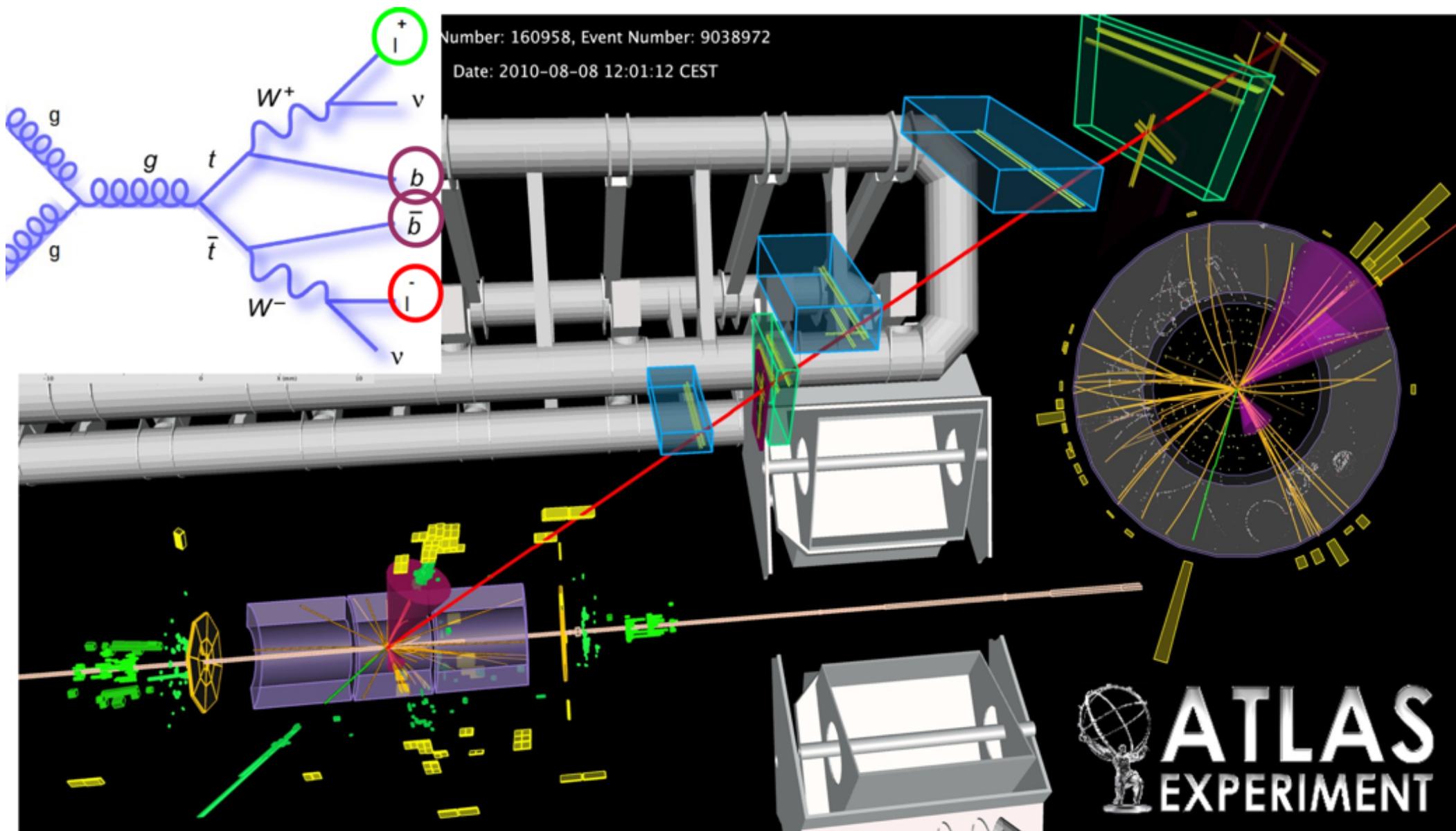
- high  $p_T$  leptons, missing  $E_T$
- jets
- b-jets

$\bar{c}s$	electron+jets	muon+jets	tau+jets	all-hadronic	
$\bar{u}d$					
$\tau^-$	$e\tau$	$\mu\tau$	$\tau\tau$		
$\mu^-$	$e\mu$	$\mu\mu$	$\mu\tau$	muon+jets	
$e^-$	$e\tau$	$e\mu$	$e\tau$	electron+jets	
$W^-$ decay	$e^+$	$\mu^+$	$\tau^+$	$u\bar{d}$	$c\bar{s}$

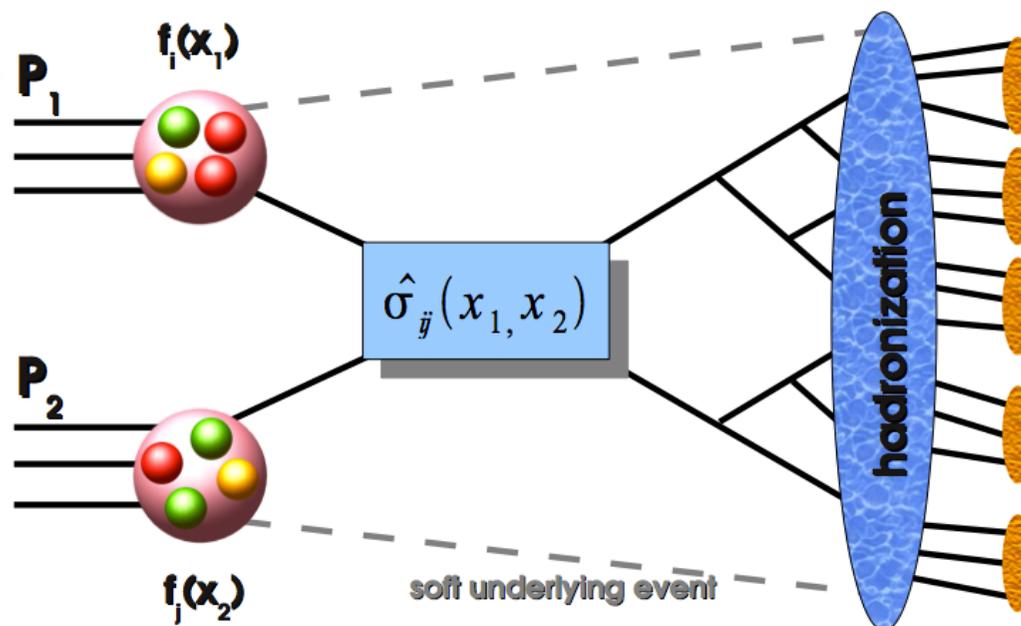
dilepton (e/ $\mu$ )  
6%

e/ $\mu$ +jets  
34%

# Dilepton Event



# Cross Section in Hadron Hadron Scattering



$$\sigma = \sum_{i,j=q,\bar{q},g} \int dx_1 dx_2 f_i(x_1, Q^2) \cdot \bar{f}_j(x_2, Q^2) \cdot \hat{\sigma}(Q^2)$$

Sum over incoming partons  $i, j$

Momentum fraction for incoming parton

PDF for incoming parton  $i$

"partonic" cross section

# What is a Cross Section?

- **differential cross section:  $d\sigma/d\Omega$ :**
  - probability of a scattered particle in a given quantum state per solid angle  $d\Omega$
  - e.g. Rutherford scattering experiment



Geiger and Rutherford in Manchester

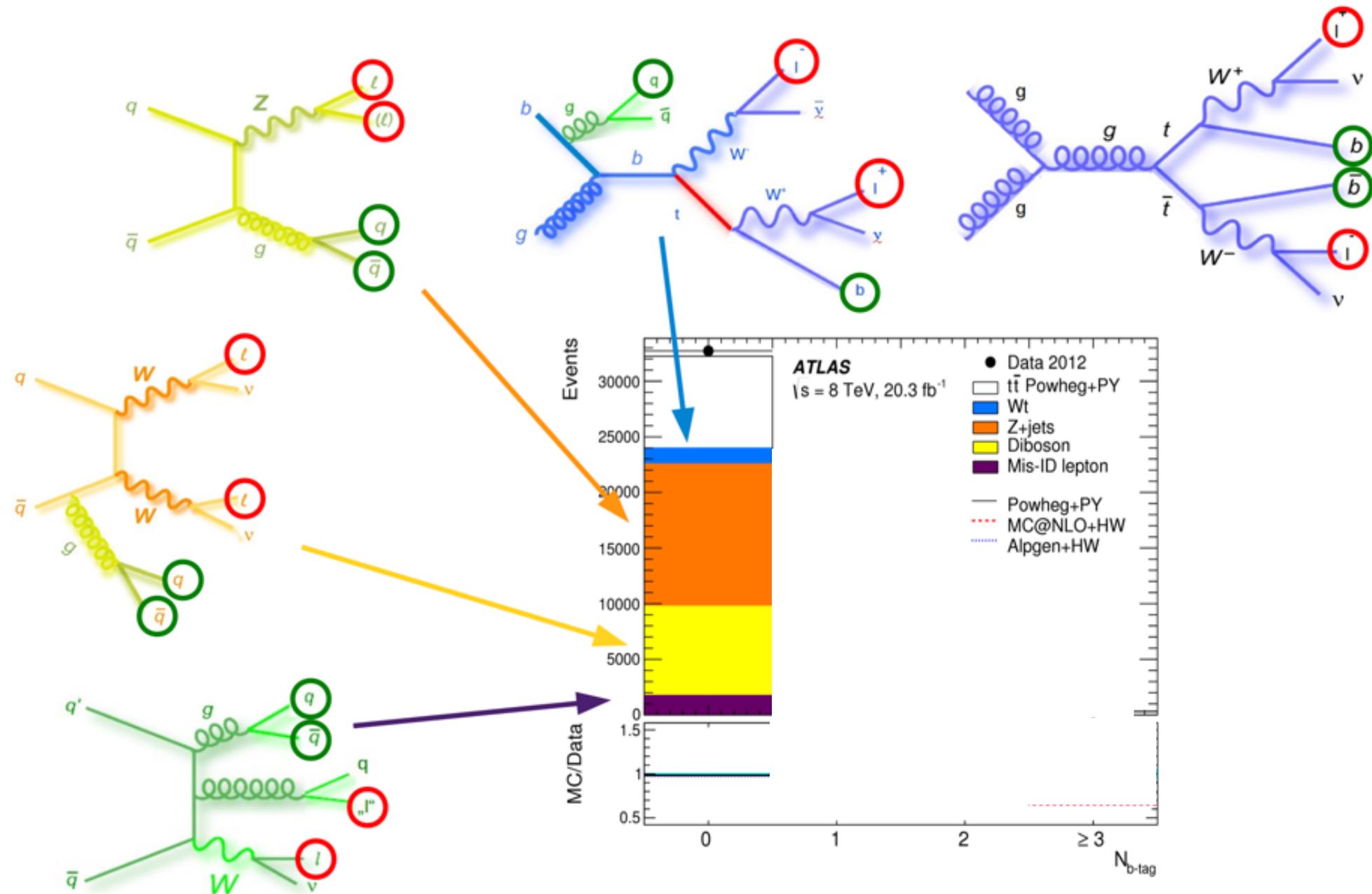
- **integrated cross section:  $\sigma = \int d\sigma/d\Omega d\Omega$**

Measurement:

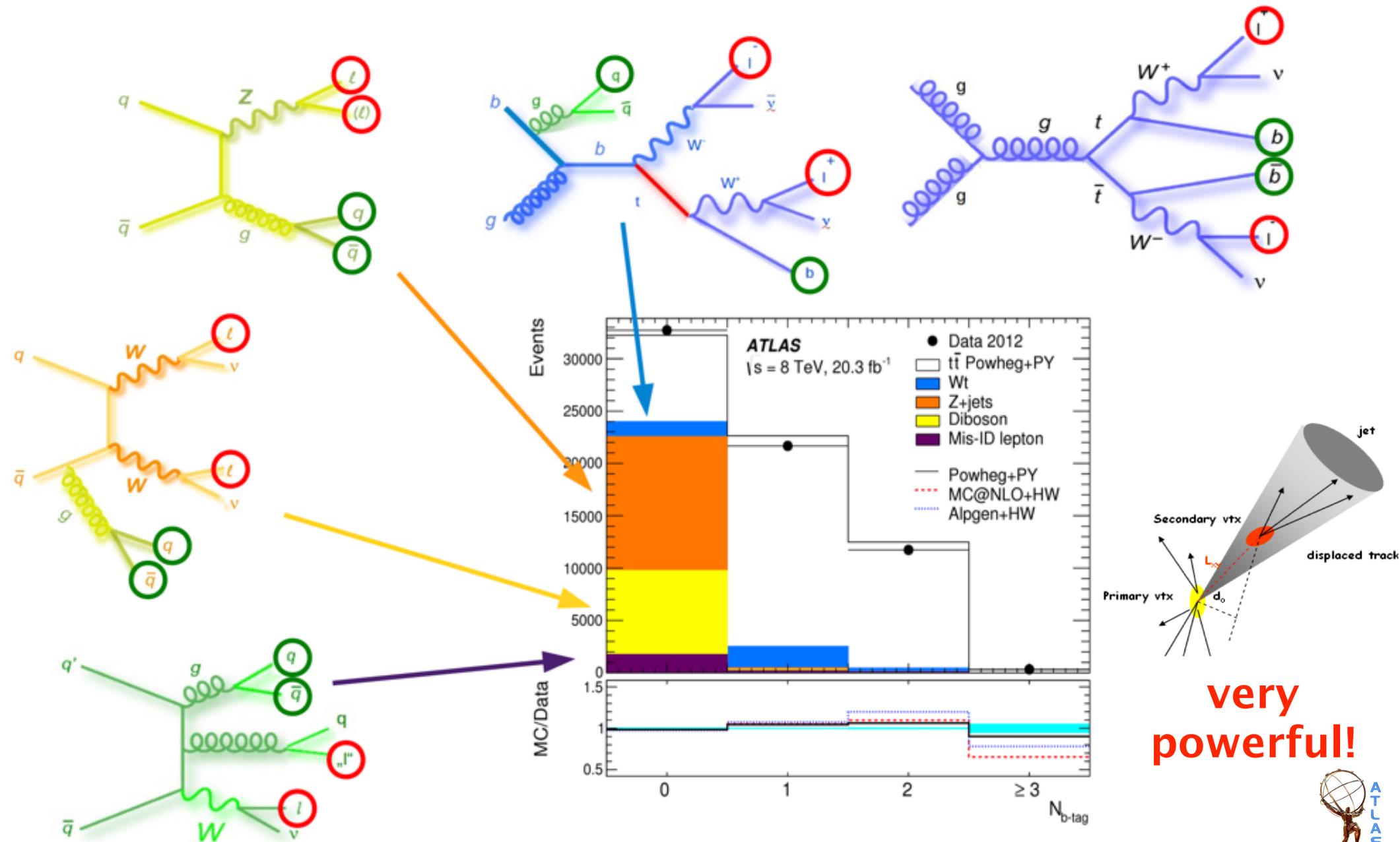
$$\sigma = (N_{\text{obs}} - N_{\text{bg}}) / (\epsilon L)$$

Luminosity

# Dilepton Signatures

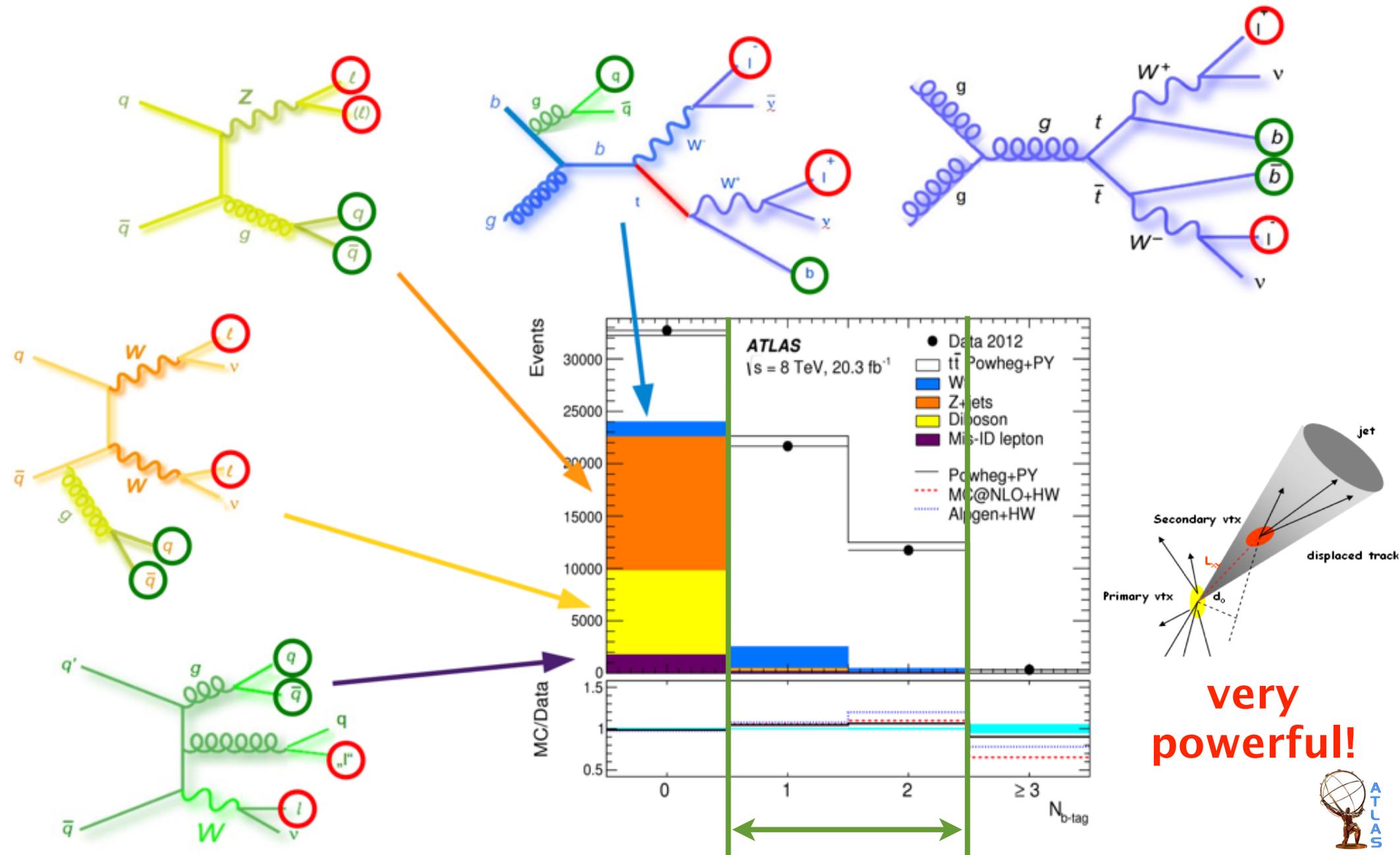


# Dilepton Signatures



**very powerful!**

# Dilepton Signatures with b-tagging



**very powerful!**

# Dilepton cross section

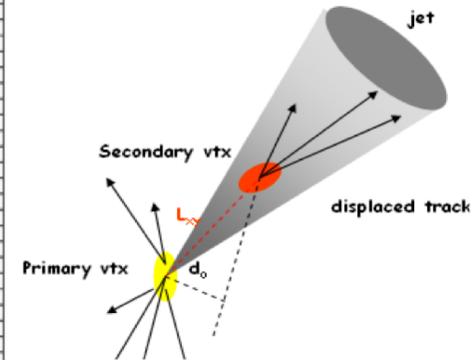
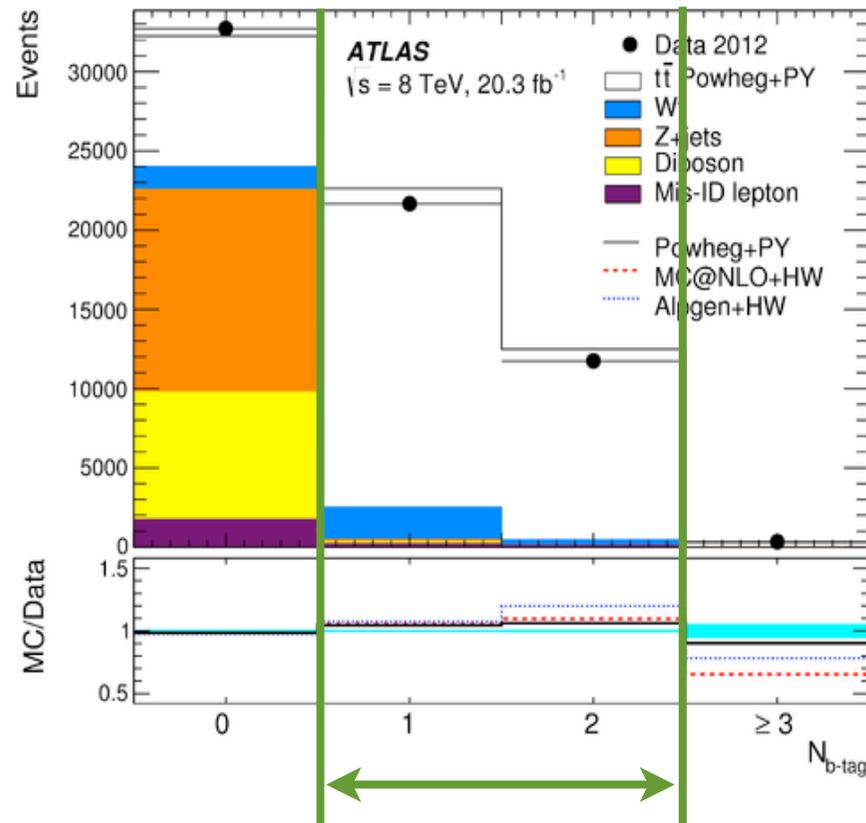
$$\sigma_{t\bar{t}} = 182.9 \pm 3.1 \pm 4.2 \pm 3.6 \pm 3.3 \text{ pb } (\sqrt{s} = 7 \text{ TeV}) \pm 3.9\%$$

$$\sigma_{t\bar{t}} = 242.4 \pm 1.7 \pm 5.5 \pm 7.5 \pm 4.2 \text{ pb } (\sqrt{s} = 8 \text{ TeV}) \pm 4.3\%$$

(stat) (syst) (lumi) (beam)

Eur. Phys. J. C74 3109 (2014)

basically background free: just counting...



very powerful!

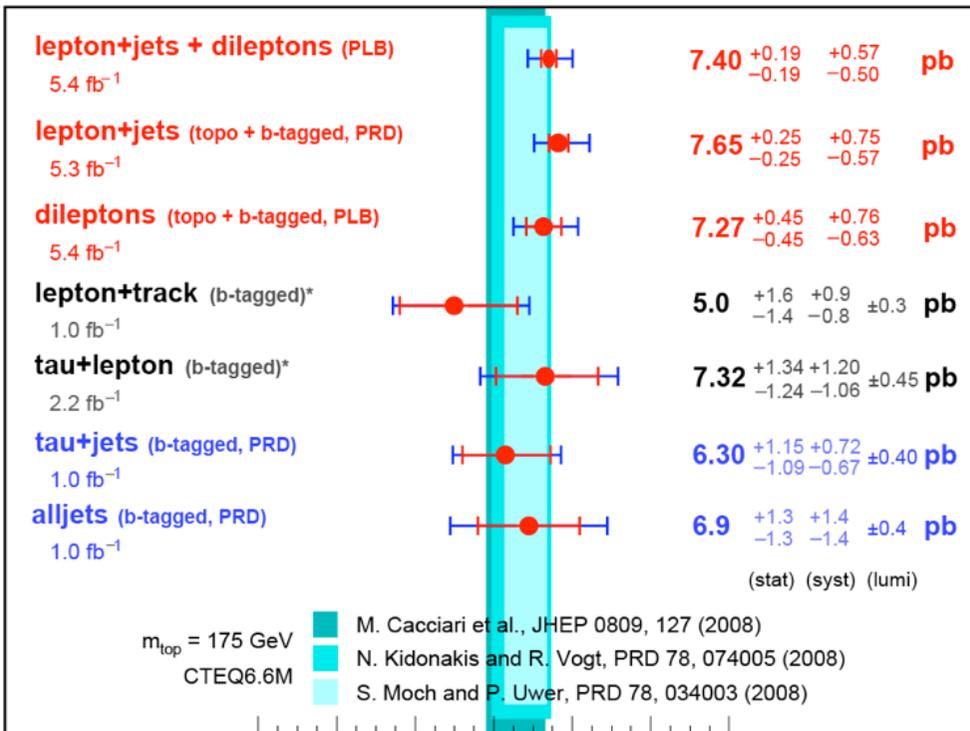


# Top Pair Production Cross Section

DØ Run II

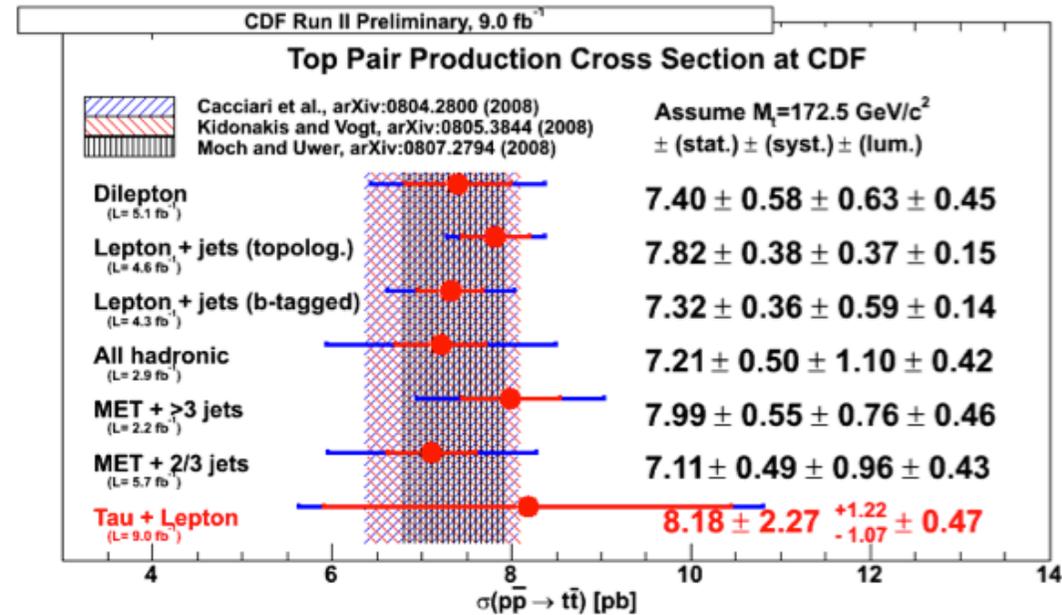


July 2011



\* = preliminary  
 red = 2011 result  
 blue = 2010 results

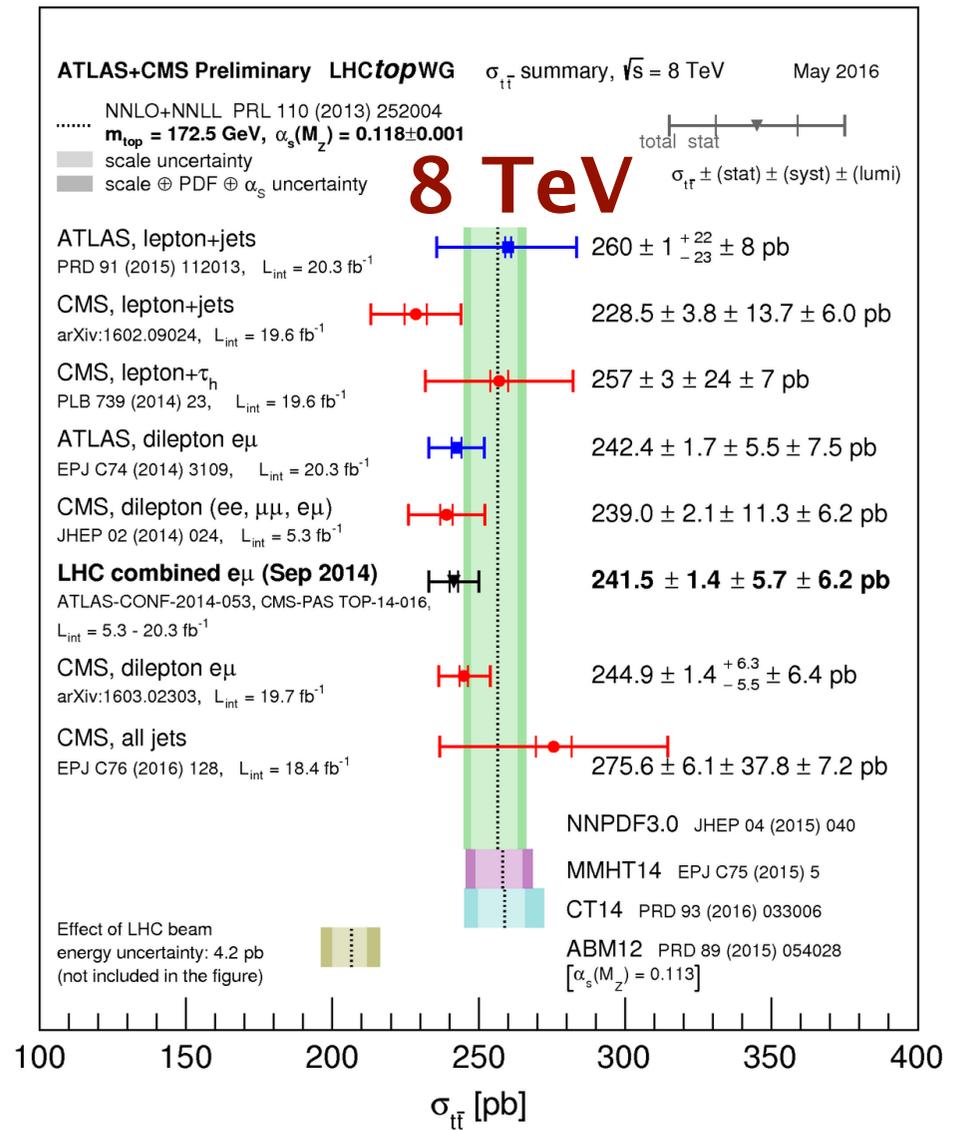
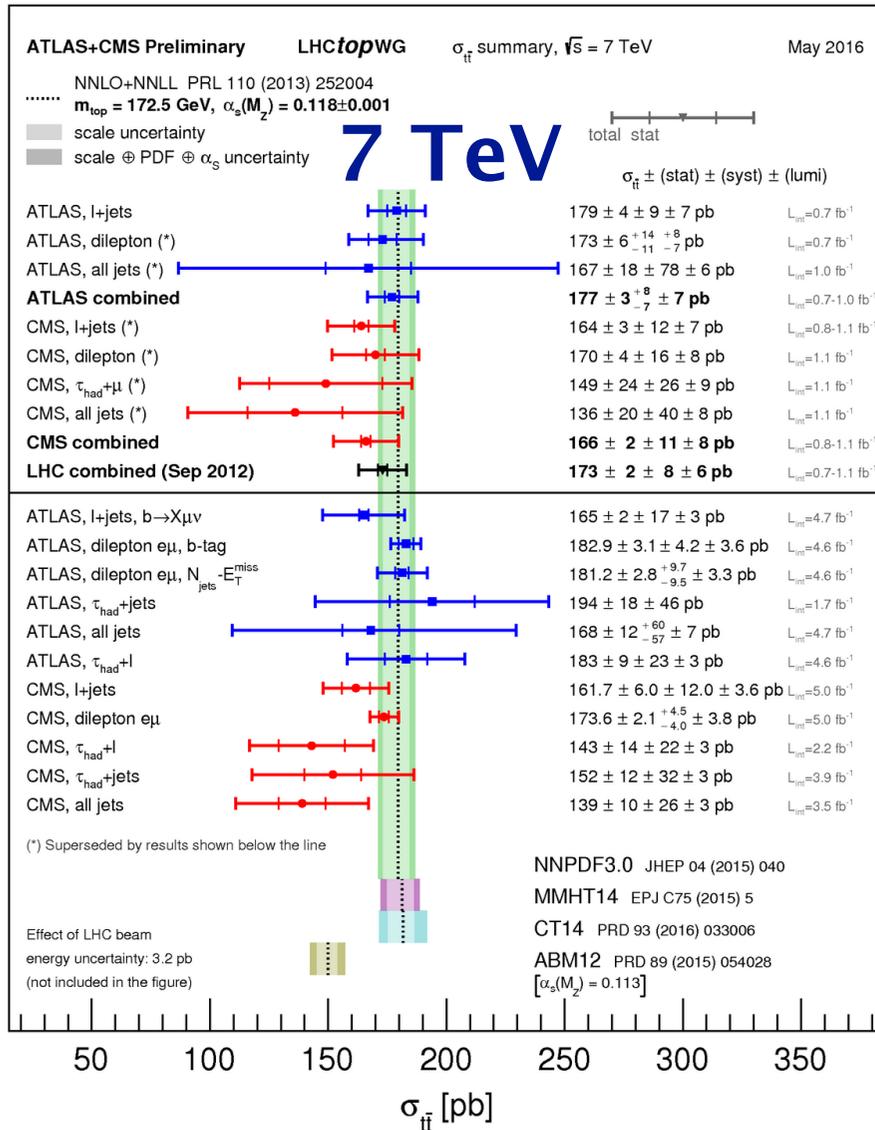
$\sigma(p\bar{p} \rightarrow t\bar{t} + X)$  [pb]



all channels measured except for  $\tau_{\text{had}}$   $T_{\text{had}}$

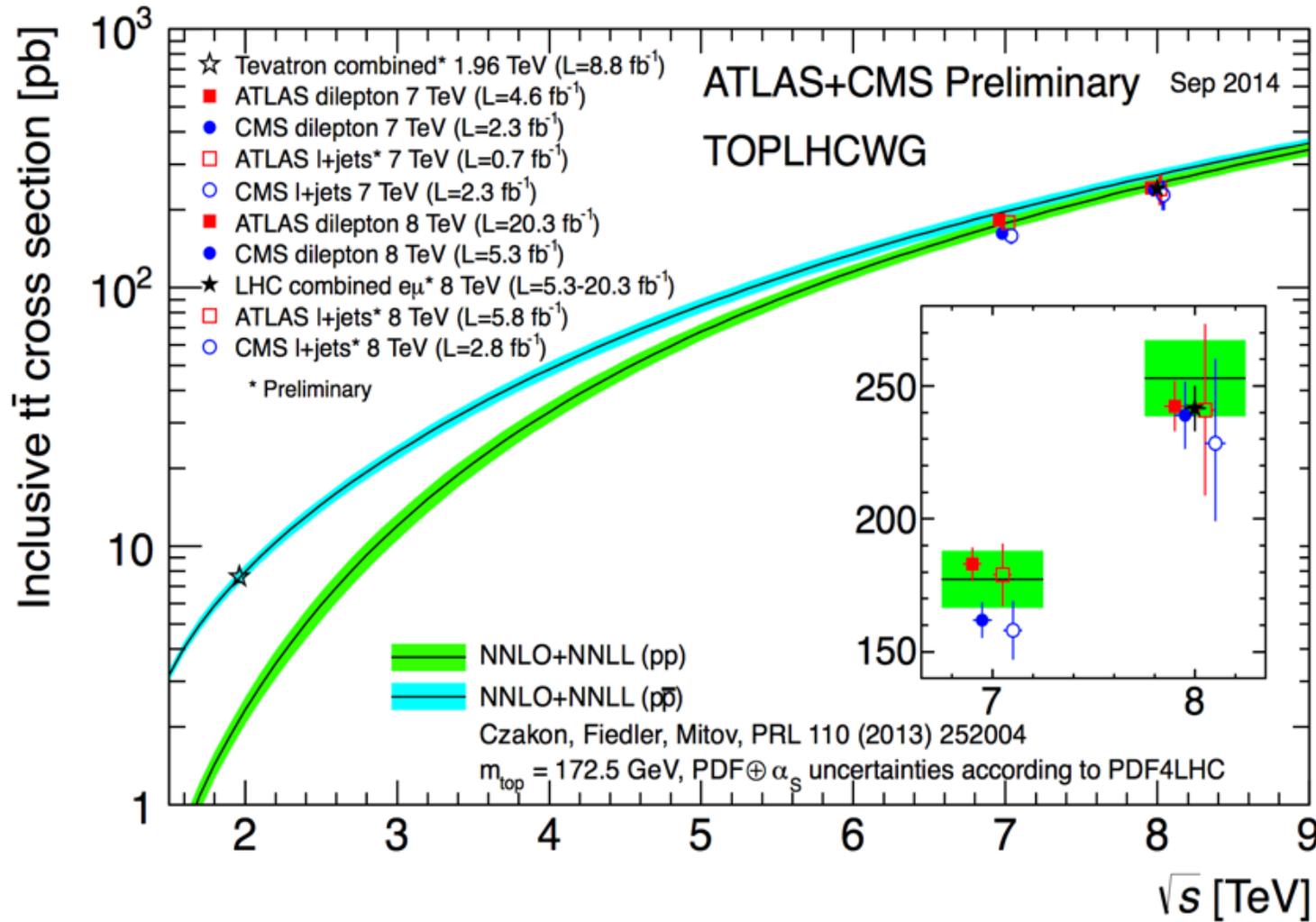
→ good agreement with each other

# Top pair production cross section

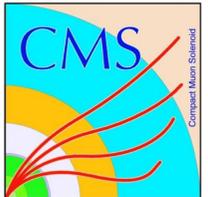


→ (all) channels measured to look for the unexpected  
 → no new physics

# Top pair production at hadron colliders

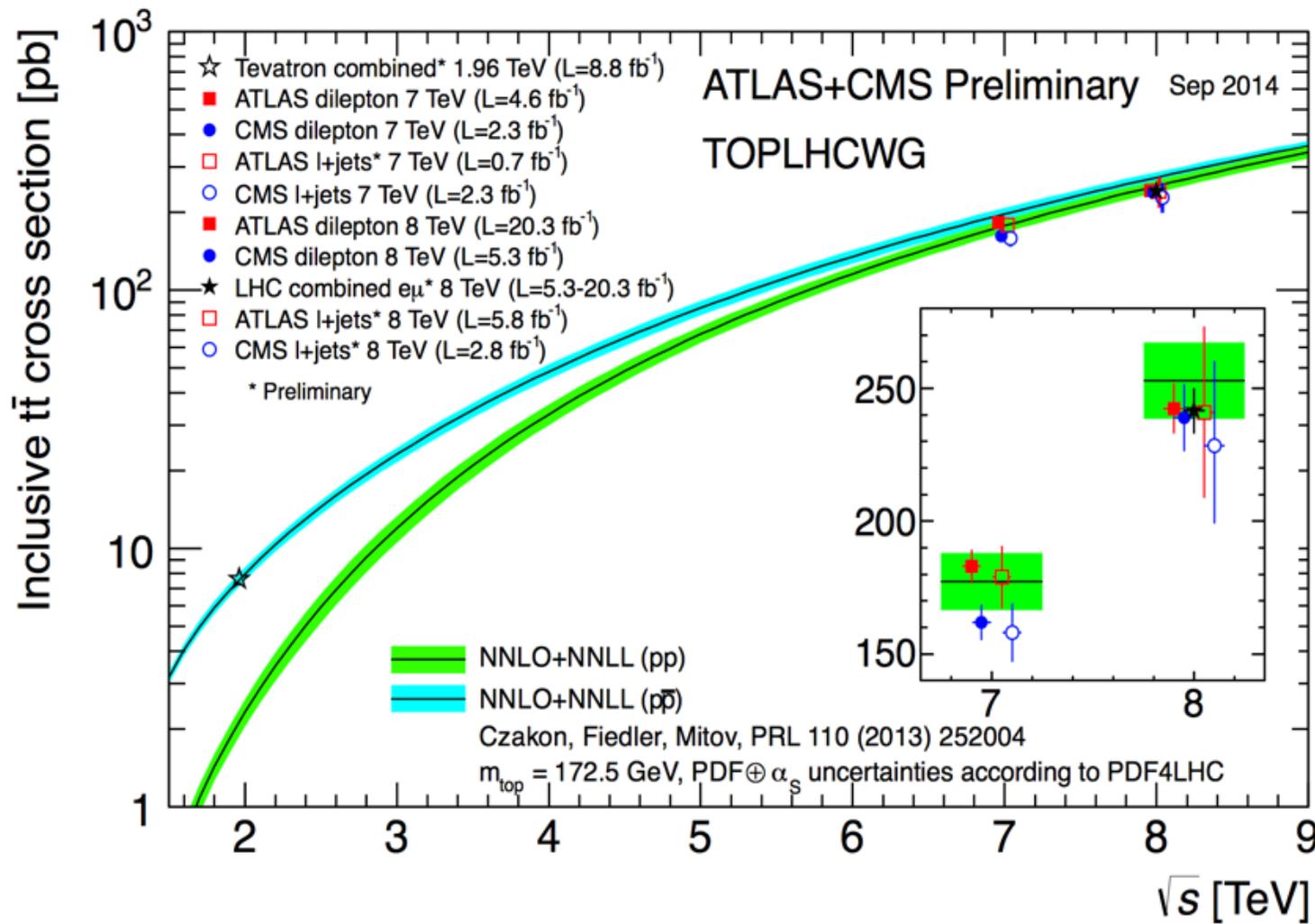


$\pm 5.7\%$   
 (theo)  
 $\pm 3.9\%$   
 ( $e\mu$ )



→ experiments challenge theory again

# Top pair production at hadron colliders



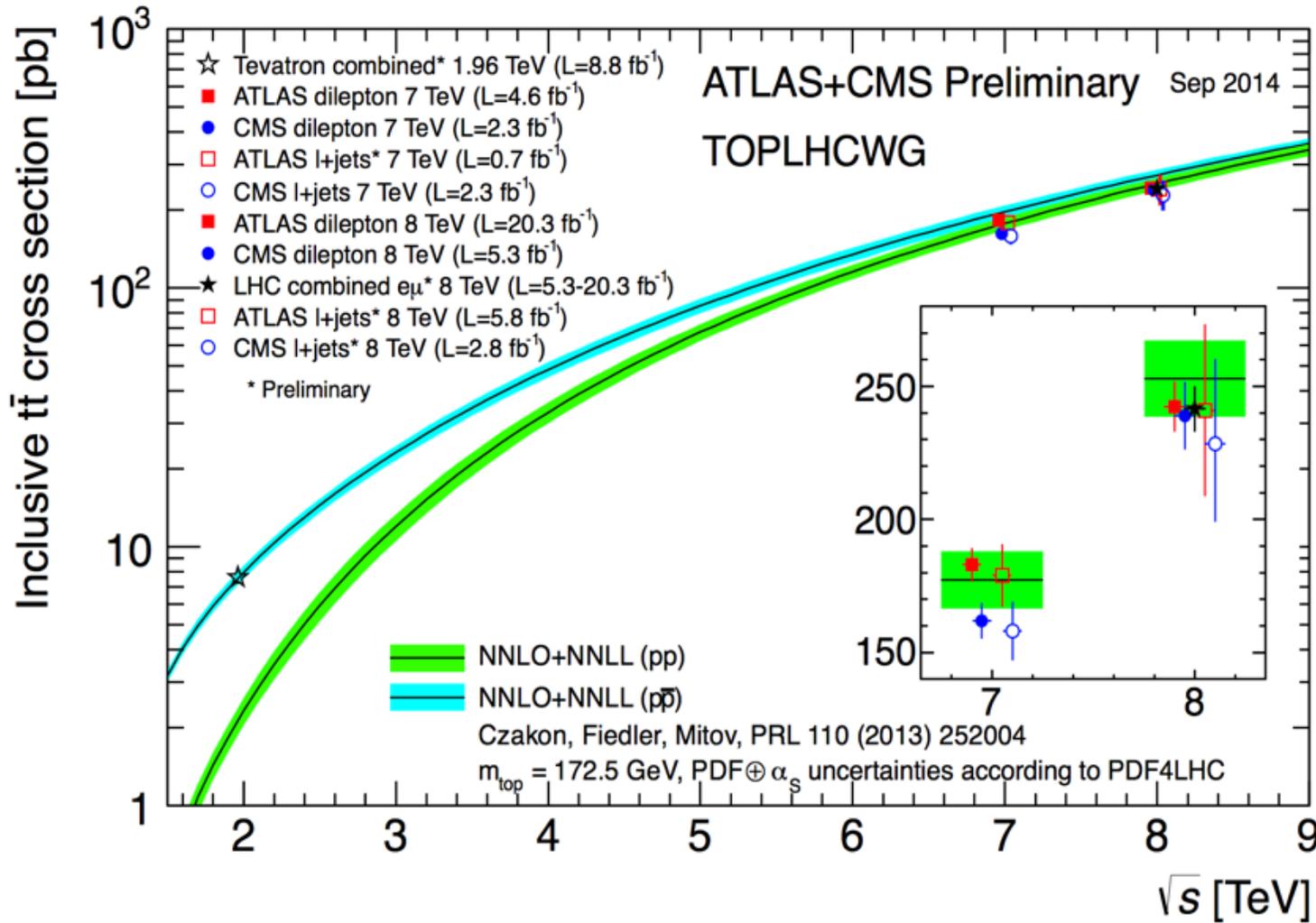
eager to put a point here

$\pm 5.7\%$   
 (theo)  
 $\pm 3.9\%$   
 ( $e\mu$ )

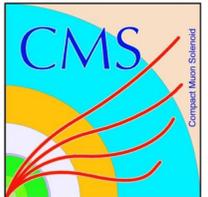


→ experiments challenge theory again

# Top pair production at hadron colliders



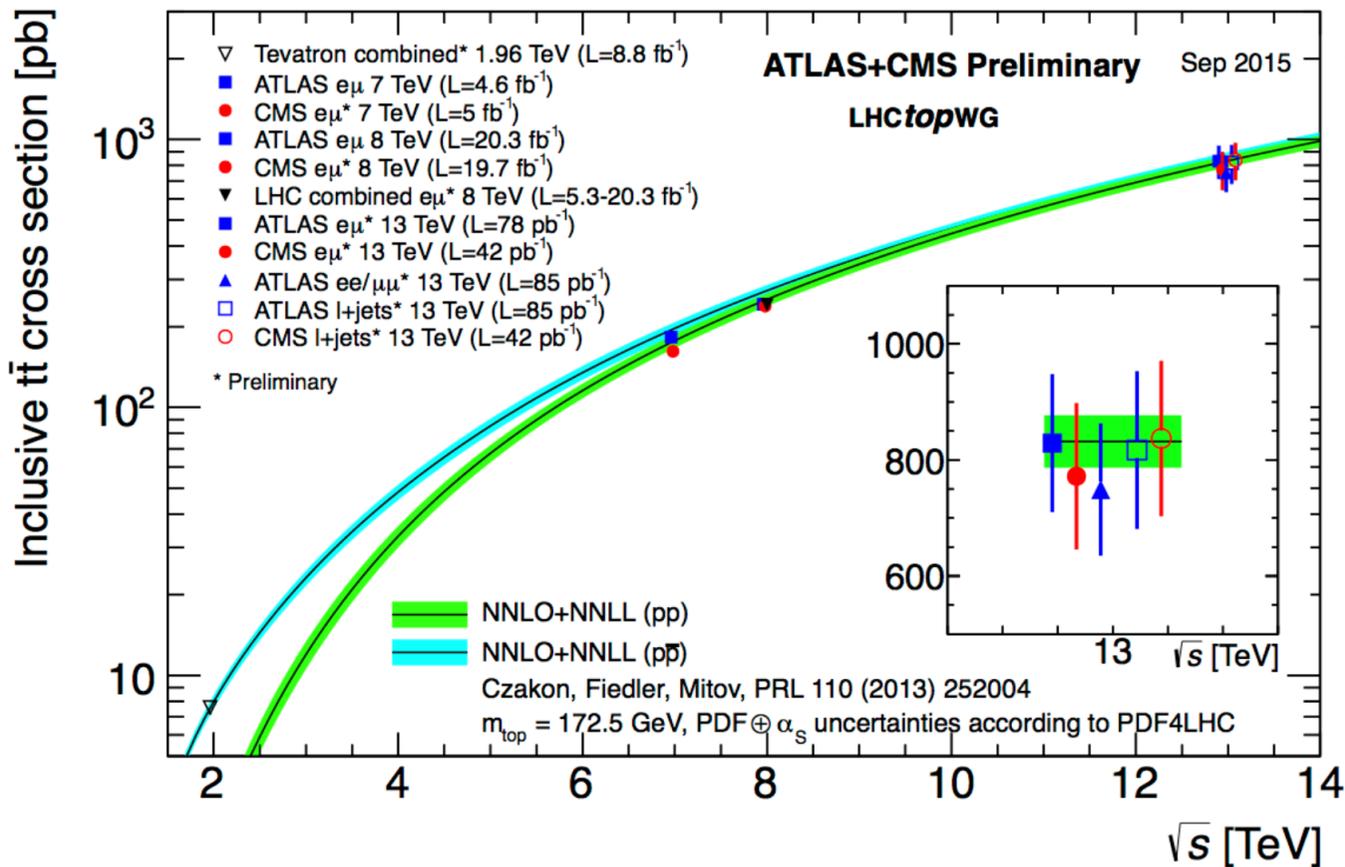
**boldly go  
where no  
man has  
gone before**



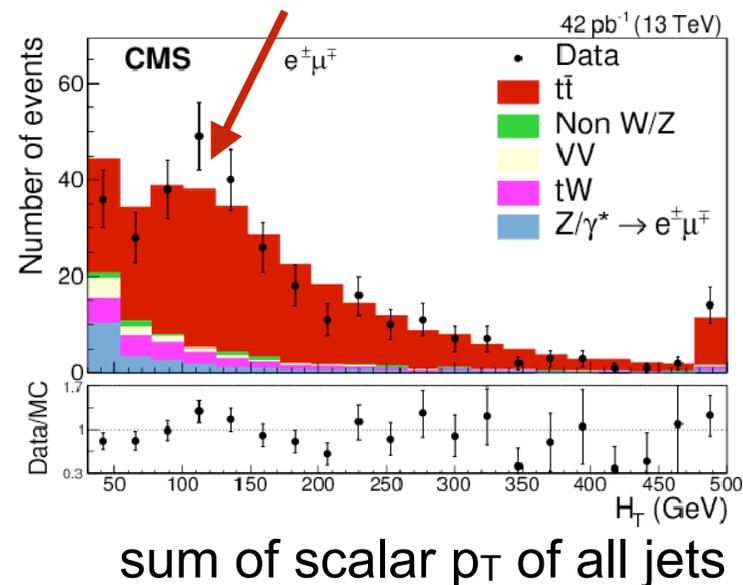
**→ experiments challenge theory again**

# Top pair production at 13 TeV

## first look at the new world energy frontier

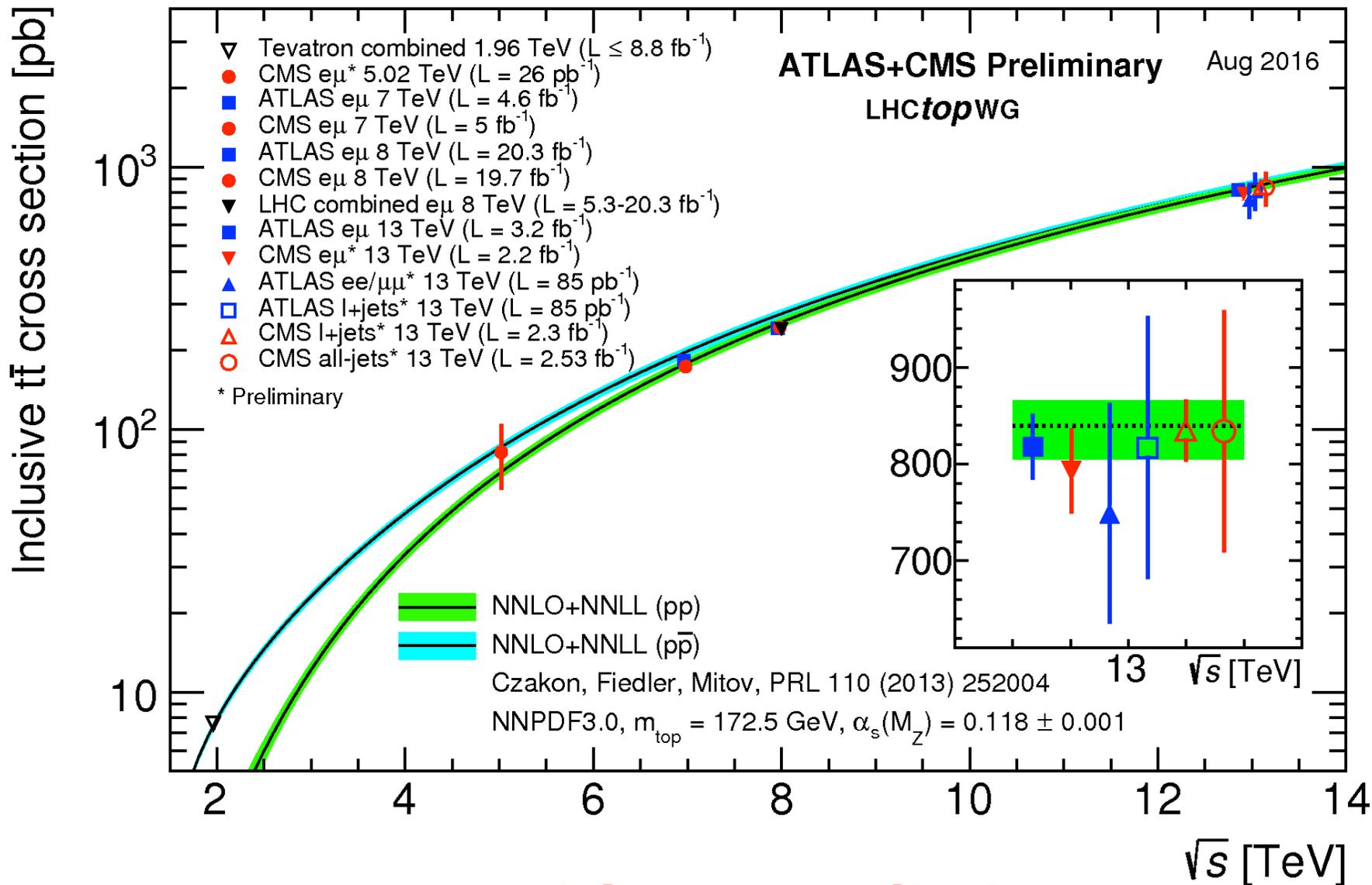


“top quark peak” July 2015



→ agreement with SM prediction

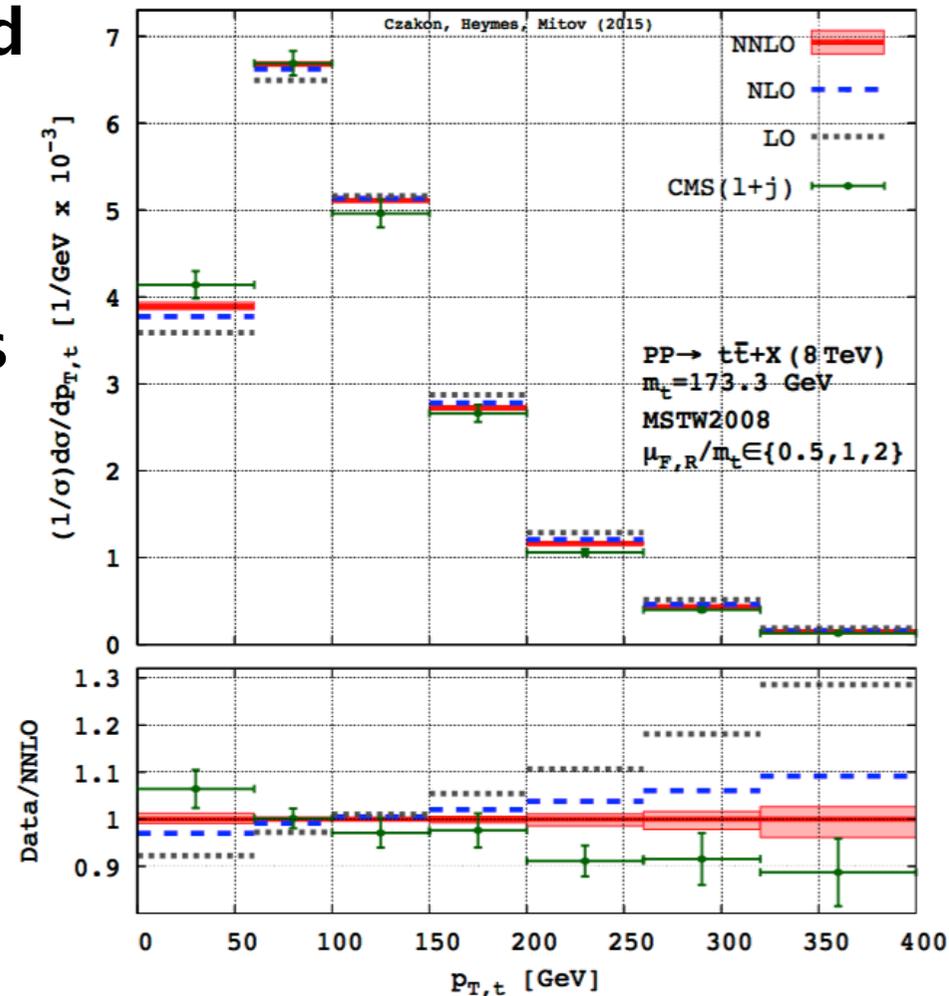
# Top pair production at 13 TeV



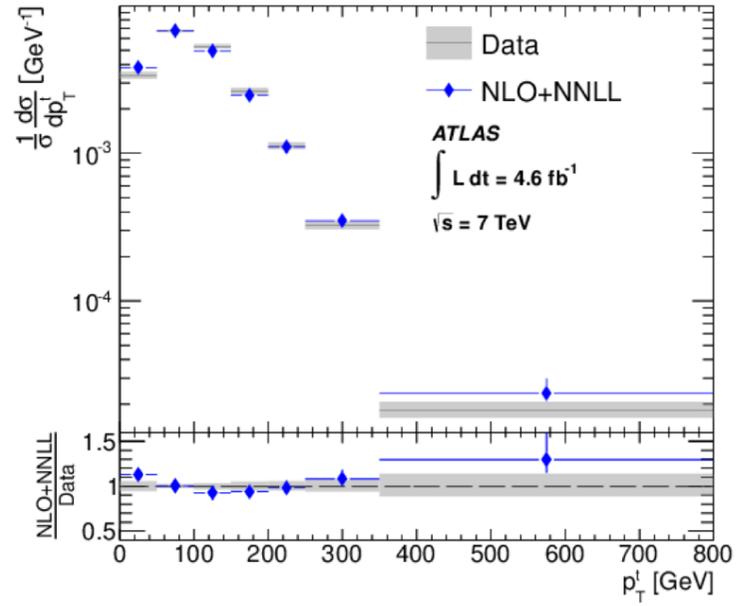
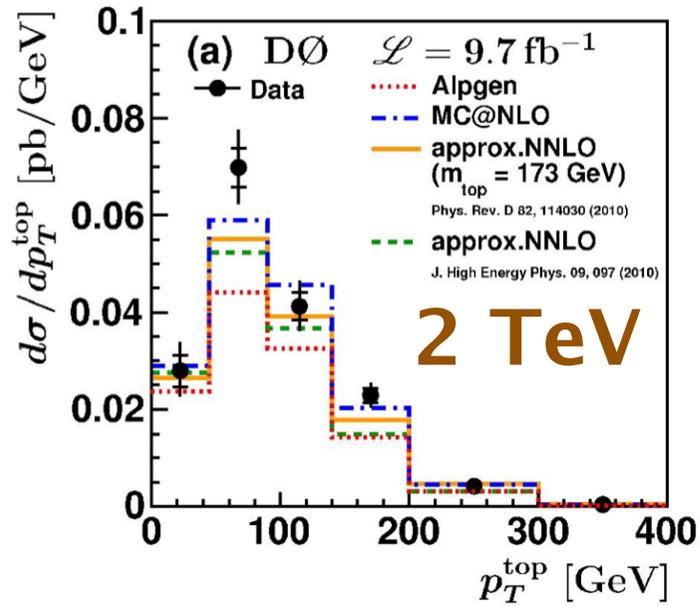
→ agreement with SM prediction

# “Revolution” in Phenomenology

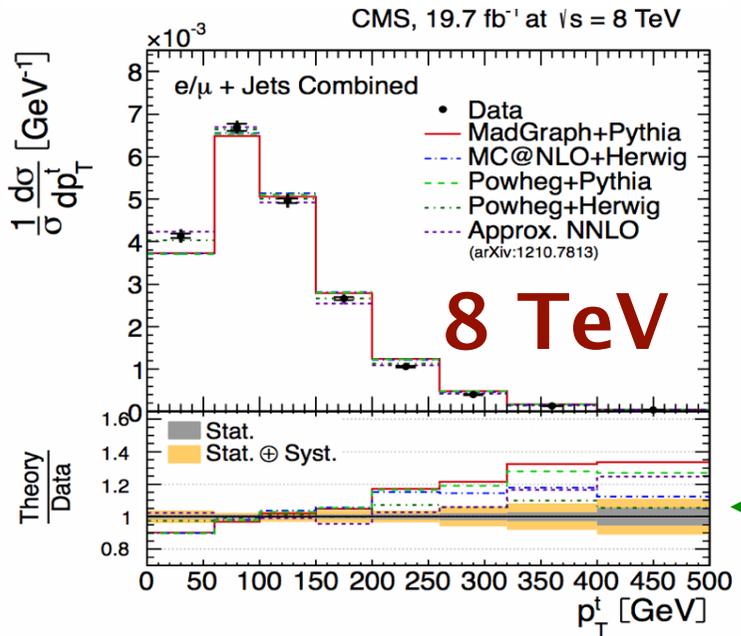
- LO QCD+parton shower generators  
Pythia, Herwig, ...
- LO multileg generators matched with parton shower  
Alpgen+Pythia, Alpgen+Herwig, ...
- NLO+parton shower generators  
Powheg+Pythia, MC@NLO+Herwig, ...
- NLO+LO multileg generators matched with parton showers
- NNLO QCD calculations



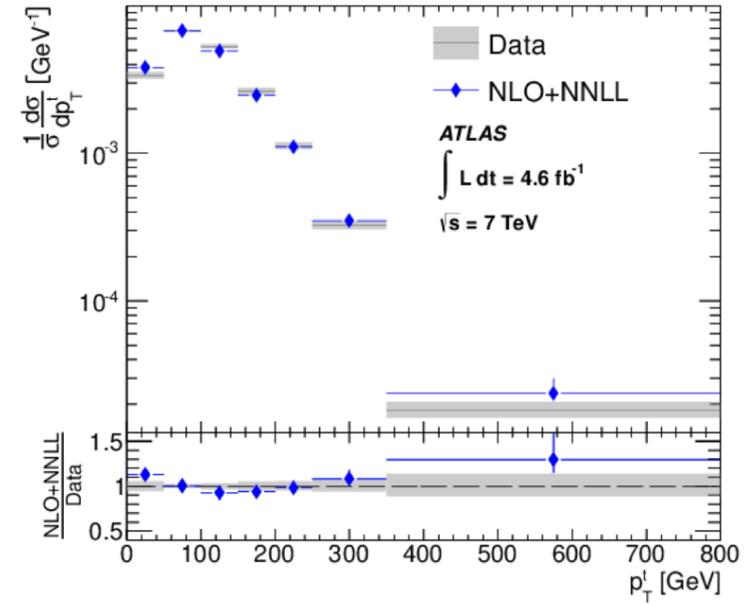
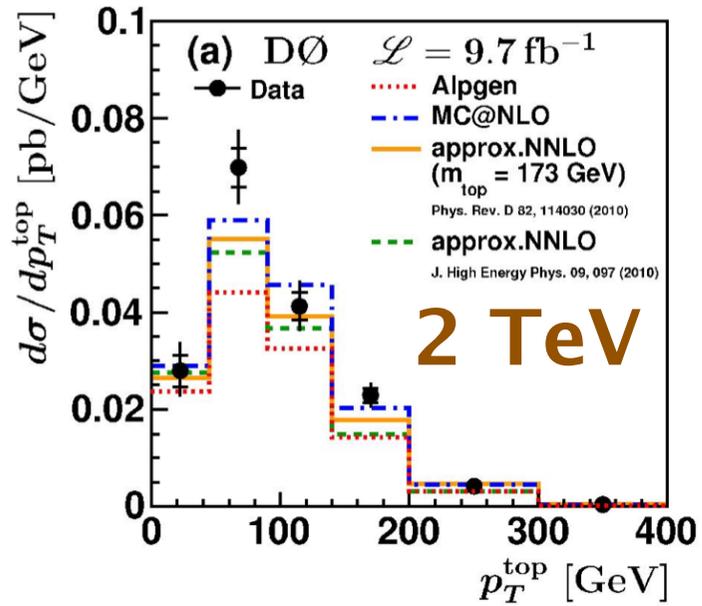
# Differential, unfolded: top $p_T$



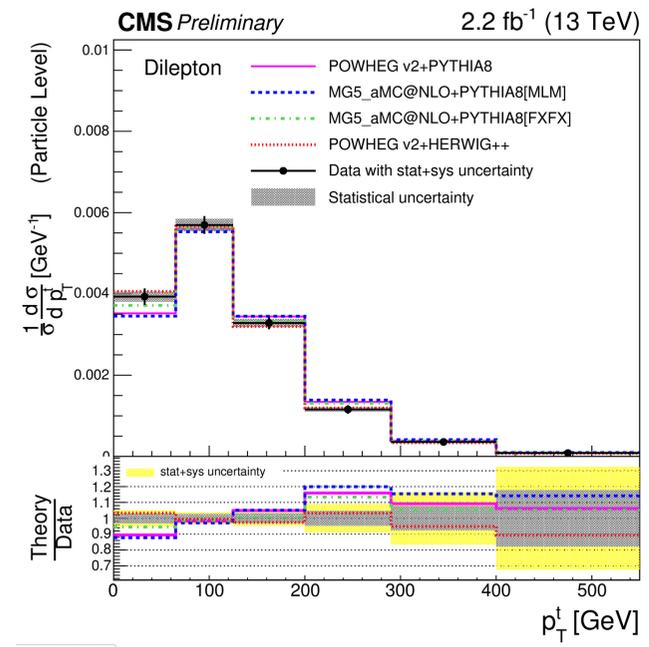
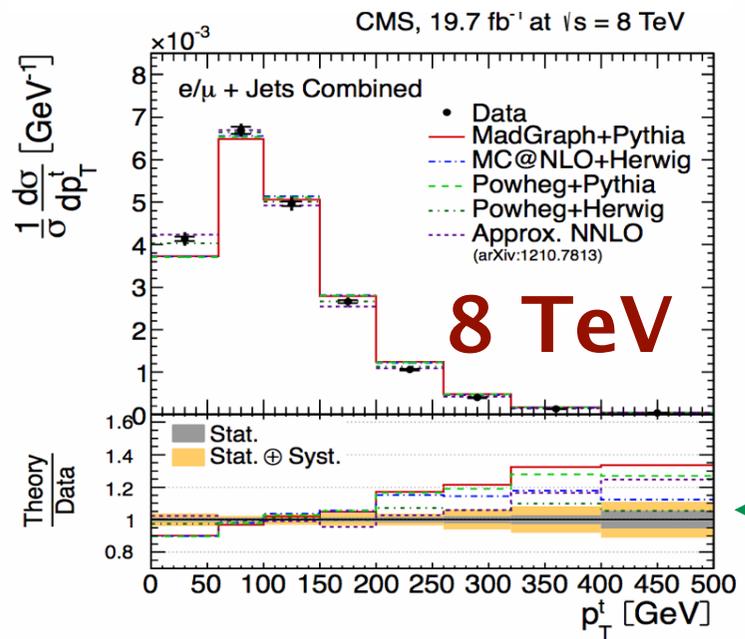
**7 TeV**



# Differential, unfolded: top $p_T$



**7 TeV**

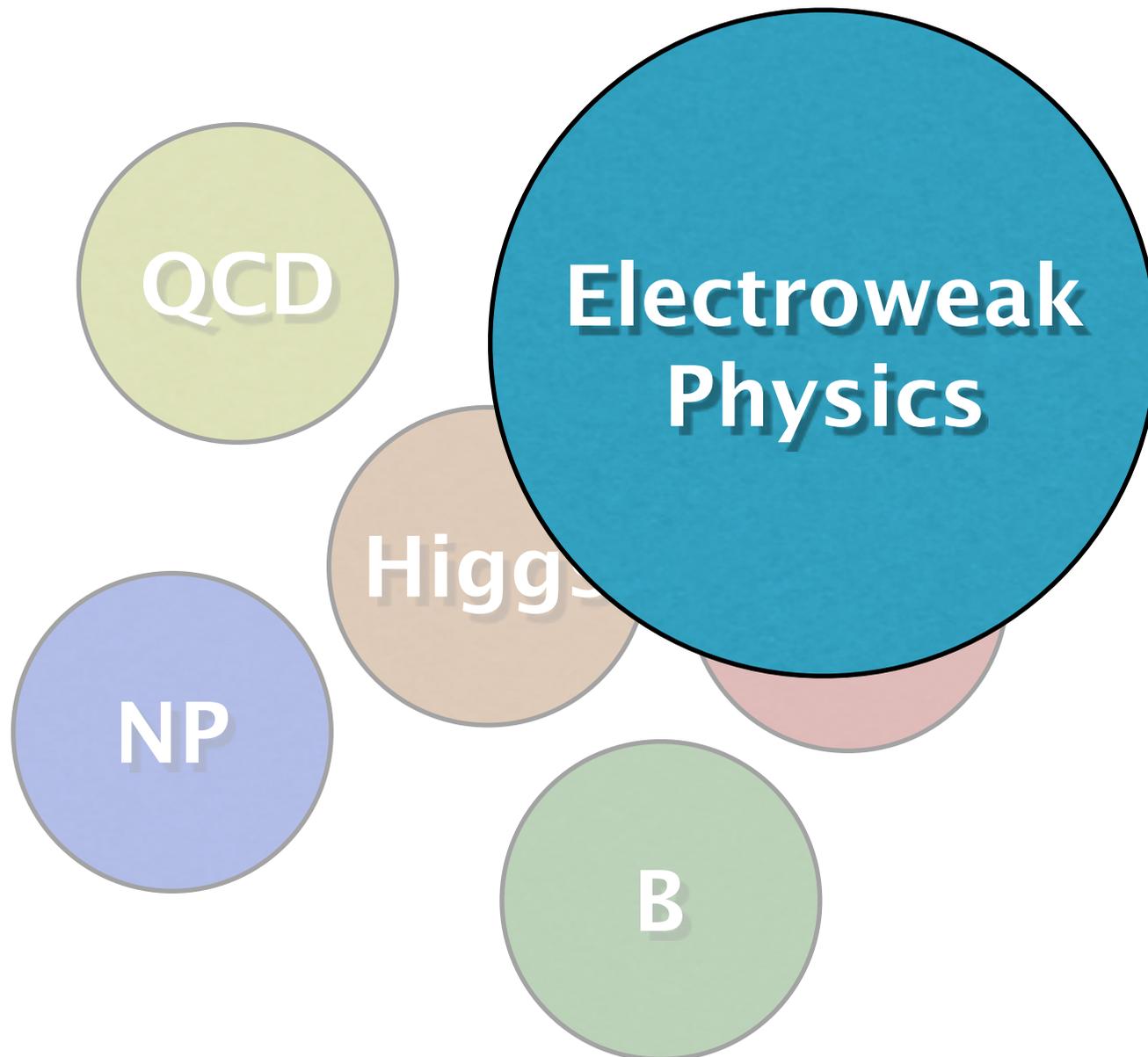


**13 TeV**

**looks better**



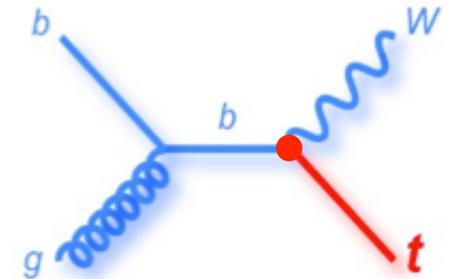
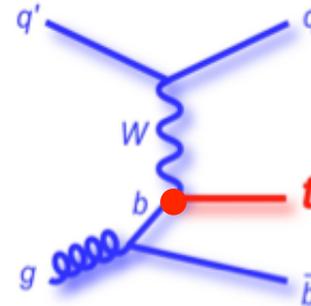
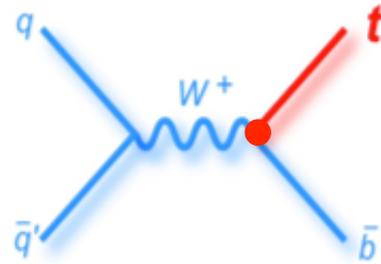
# Top Quark Physics Topics



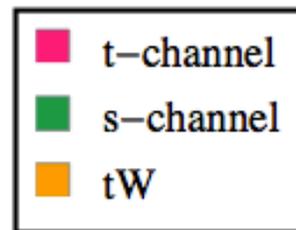
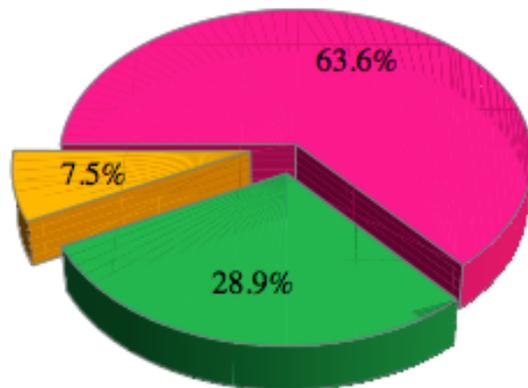
# Single Top Quark Production

## direct measurement of $|V_{tb}|$

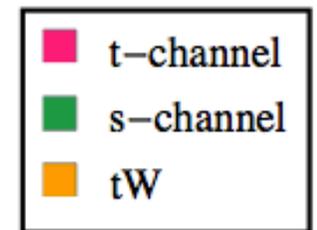
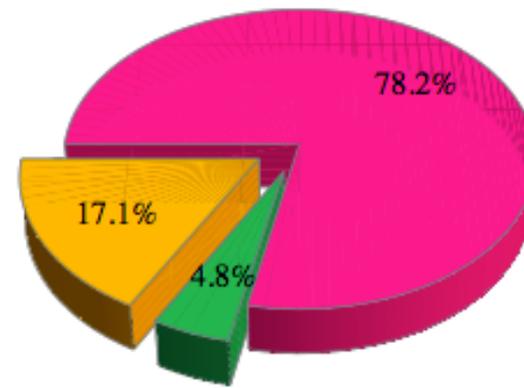
$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & \mathbf{V_{tb}} \end{pmatrix}$$



Tevatron



LHC (7 TeV)



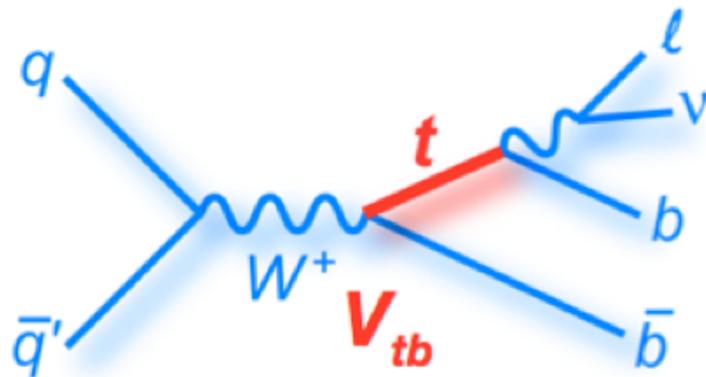
⇒ important to measure all channels separately to search for new physics

**BUT:** do not separate  $Wt$  in higher orders –an unphysical question!

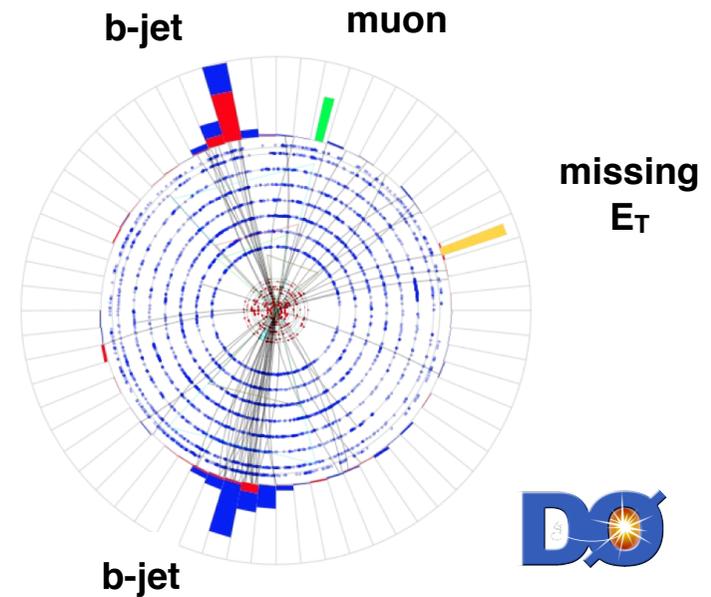
# Single Top Quark Selection

## s-channel:

$\sigma_s = 1.05 \pm 0.06 \text{ pb}$   
 NLO+NNLL,  $m_t = 172.5 \text{ GeV}$   
 Kidonakis, PRD 81, 054028 (2010)

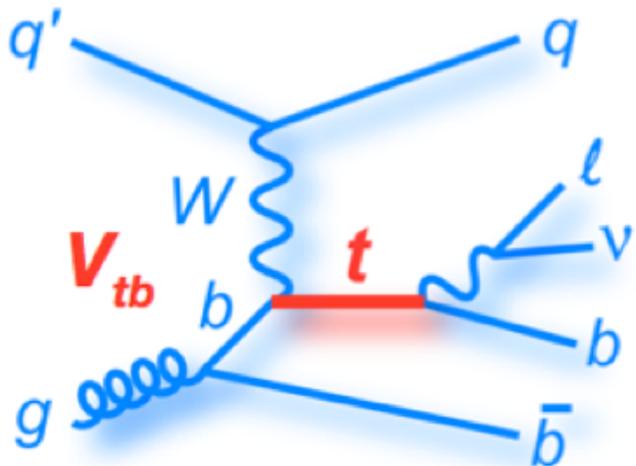


- lepton
- missing  $E_T$
- b-jets

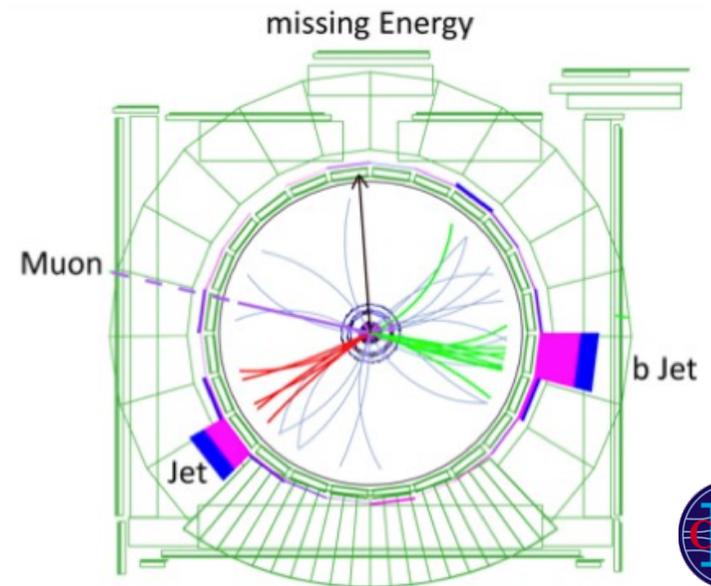


## t-channel:

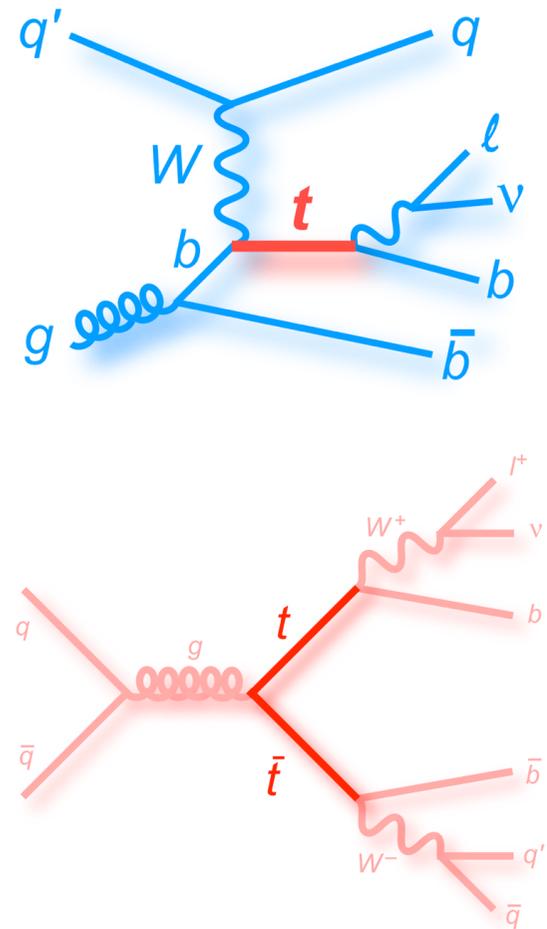
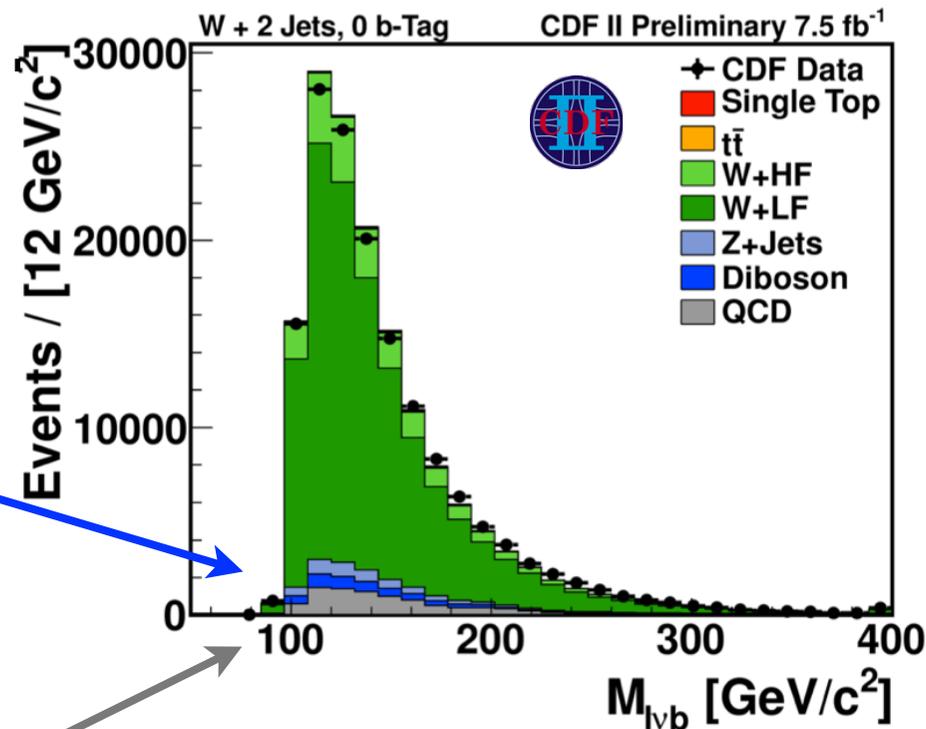
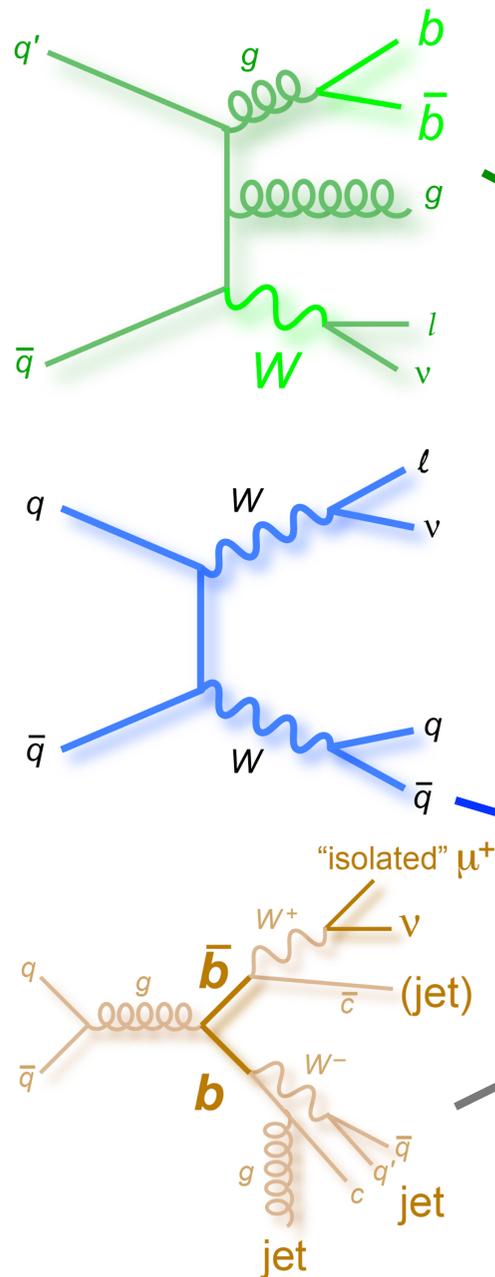
$\sigma_t = 2.12 \pm 0.16 \text{ pb}$   
 NLO+NNLL,  $m_t = 172.5 \text{ GeV}$   
 Kidonakis, PRD 83, 091503 (2011)



- jets
- lepton
- missing  $E_T$
- b-jets

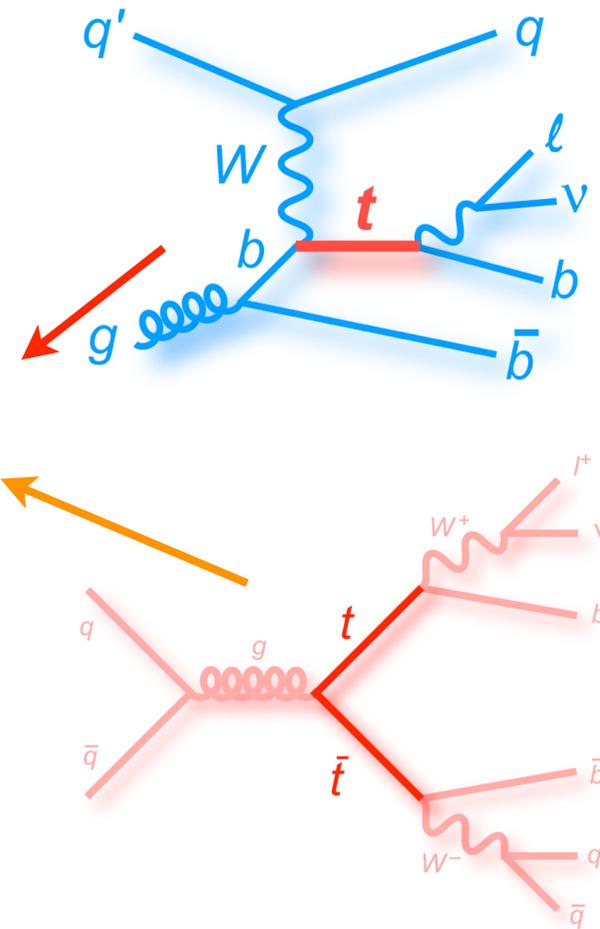
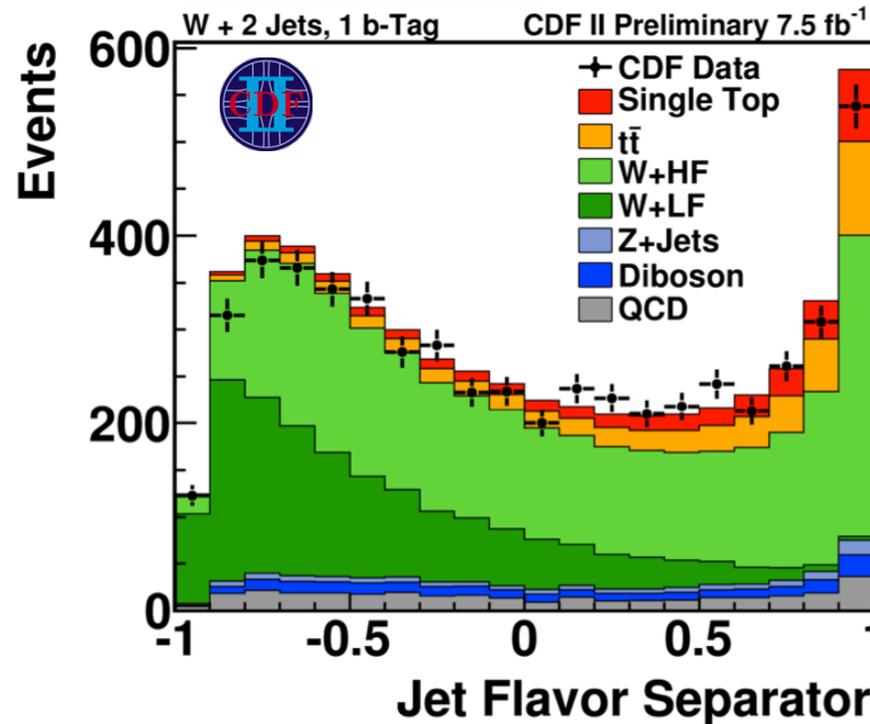
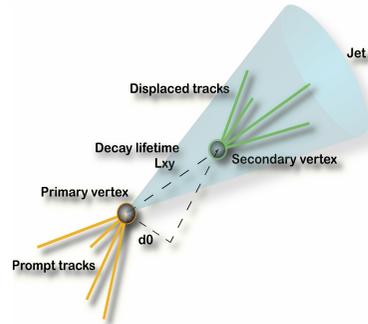
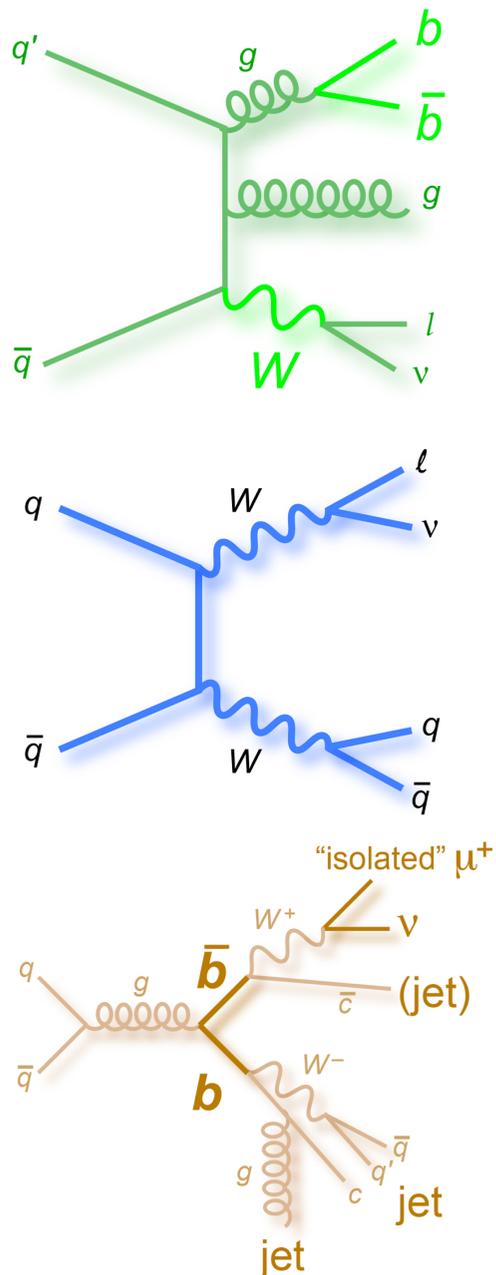


# Single Top Quark Yields: pretag



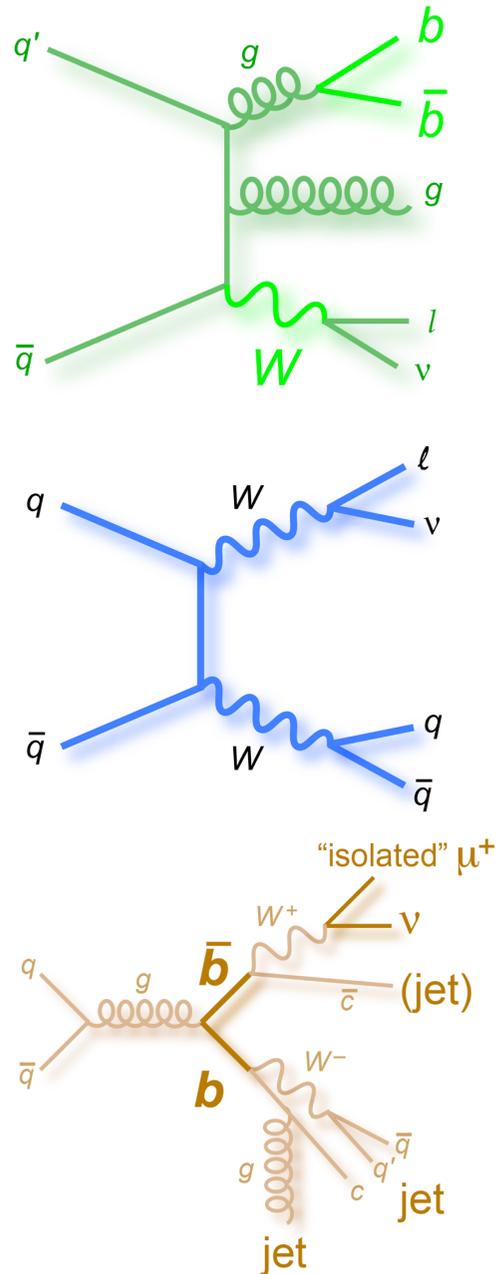
● best s/b:  $\sim 1/200$  before b-tagging

# Single Top Quark Yields: b-tagged

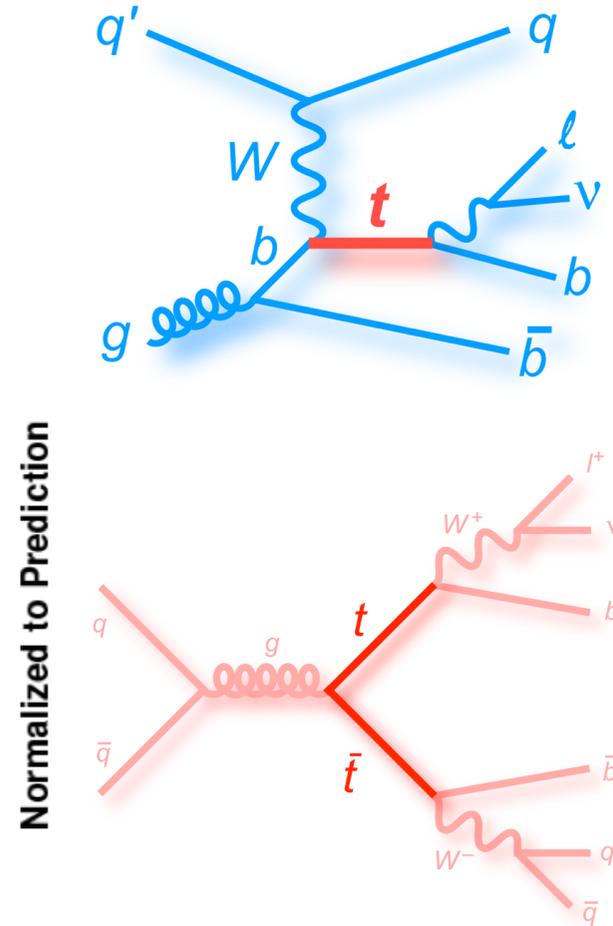
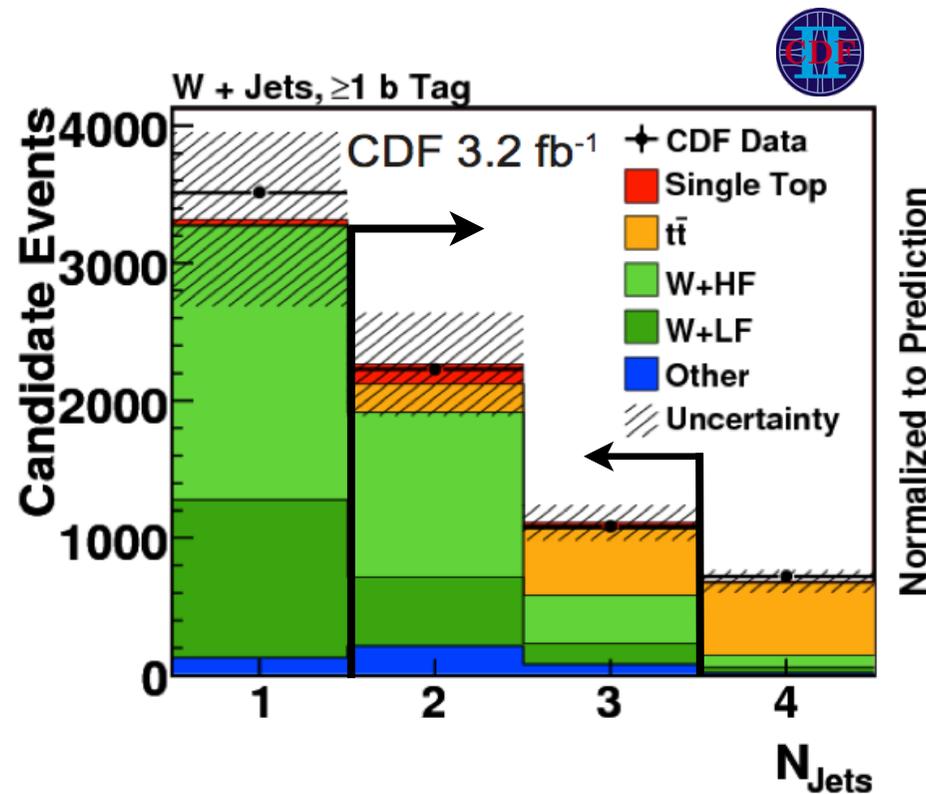


- best s/b:  $\sim 1/200$  before b-tagging
- best s/b:  $\sim 1/10$  after b-tagging

# Single Top Quark Yields: b-tagged



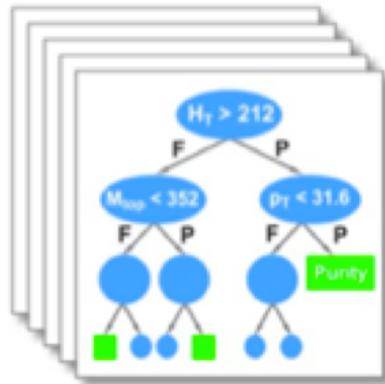
- number of jets and number of b tags to define samples



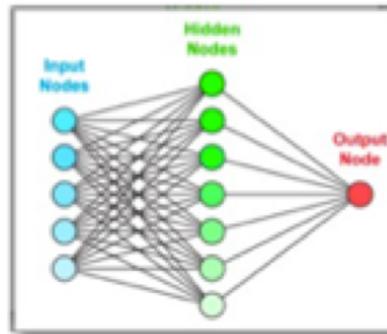
- best s/b:  $\sim 1/200$  before b-tagging
- best s/b:  $\sim 1/10$  after b-tagging

# Multivariate Analyses

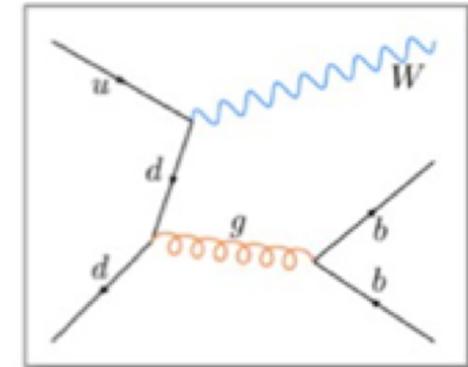
## Boosted Decision Trees



## Neural Networks

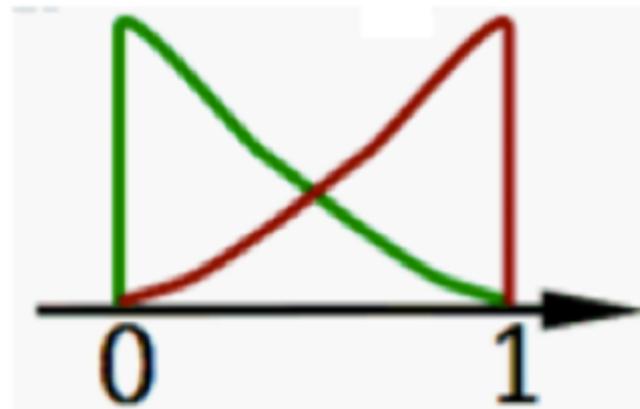


## Matrix Elements

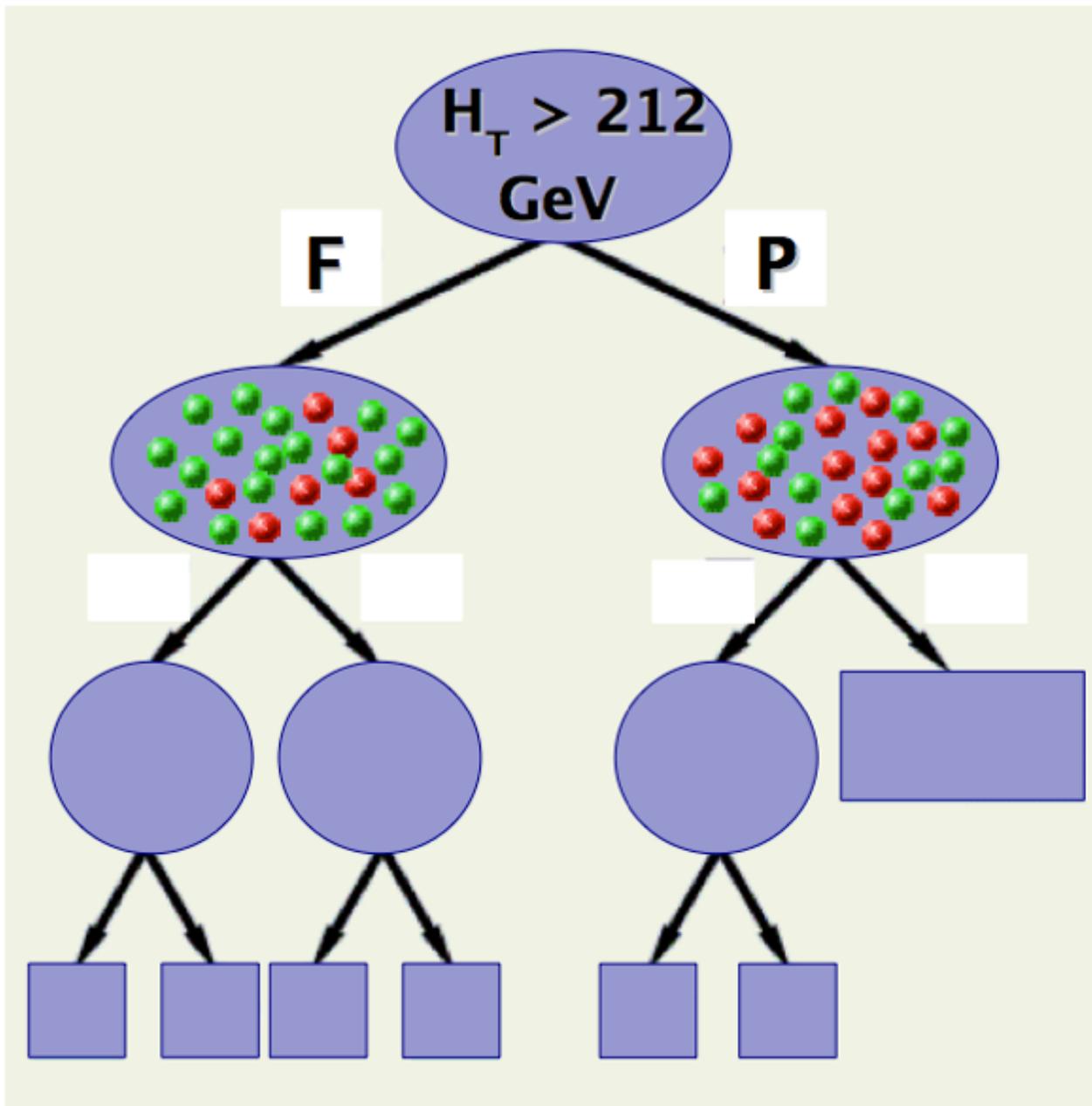


background

signal

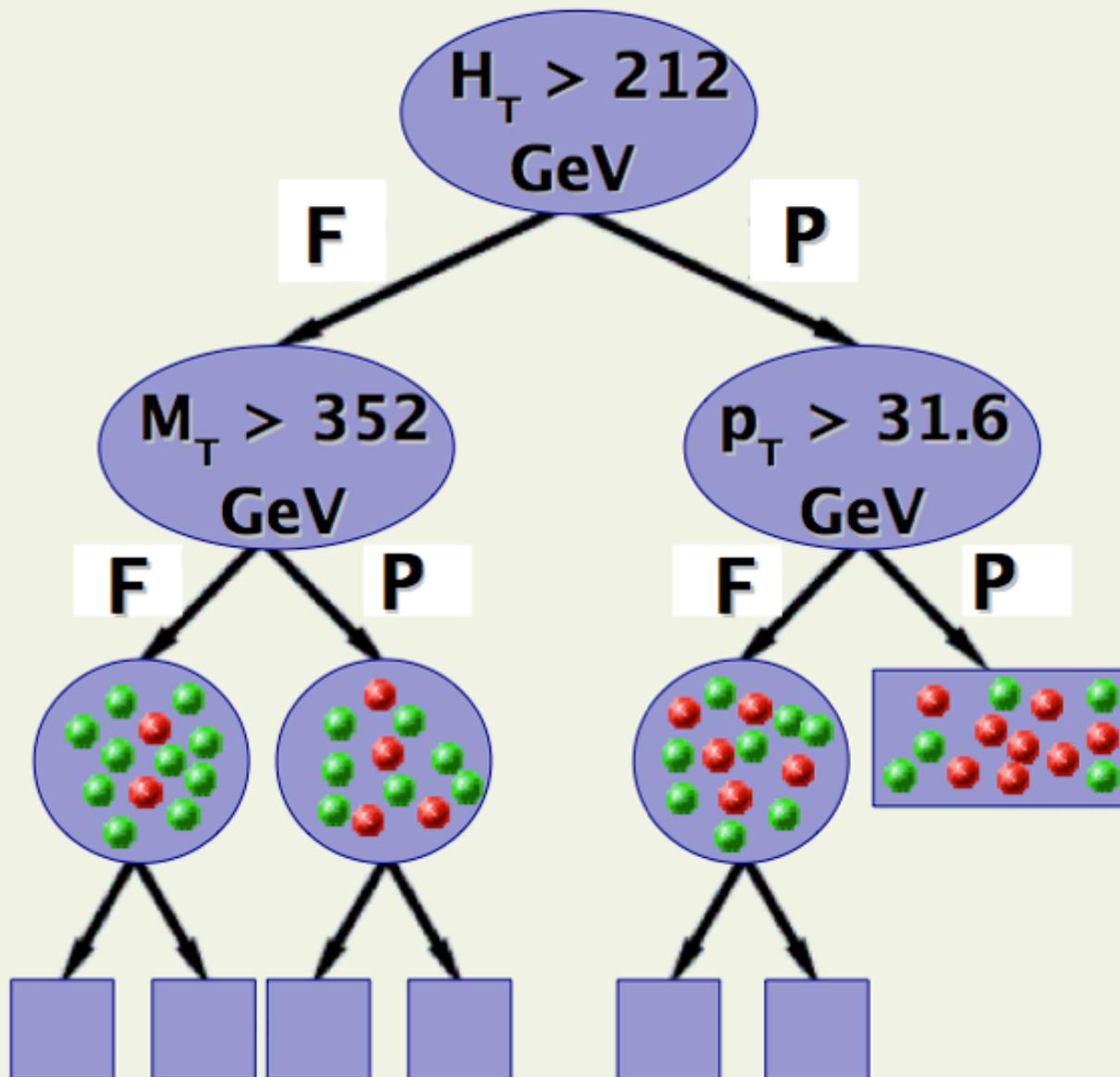


# Boosted Decision Trees



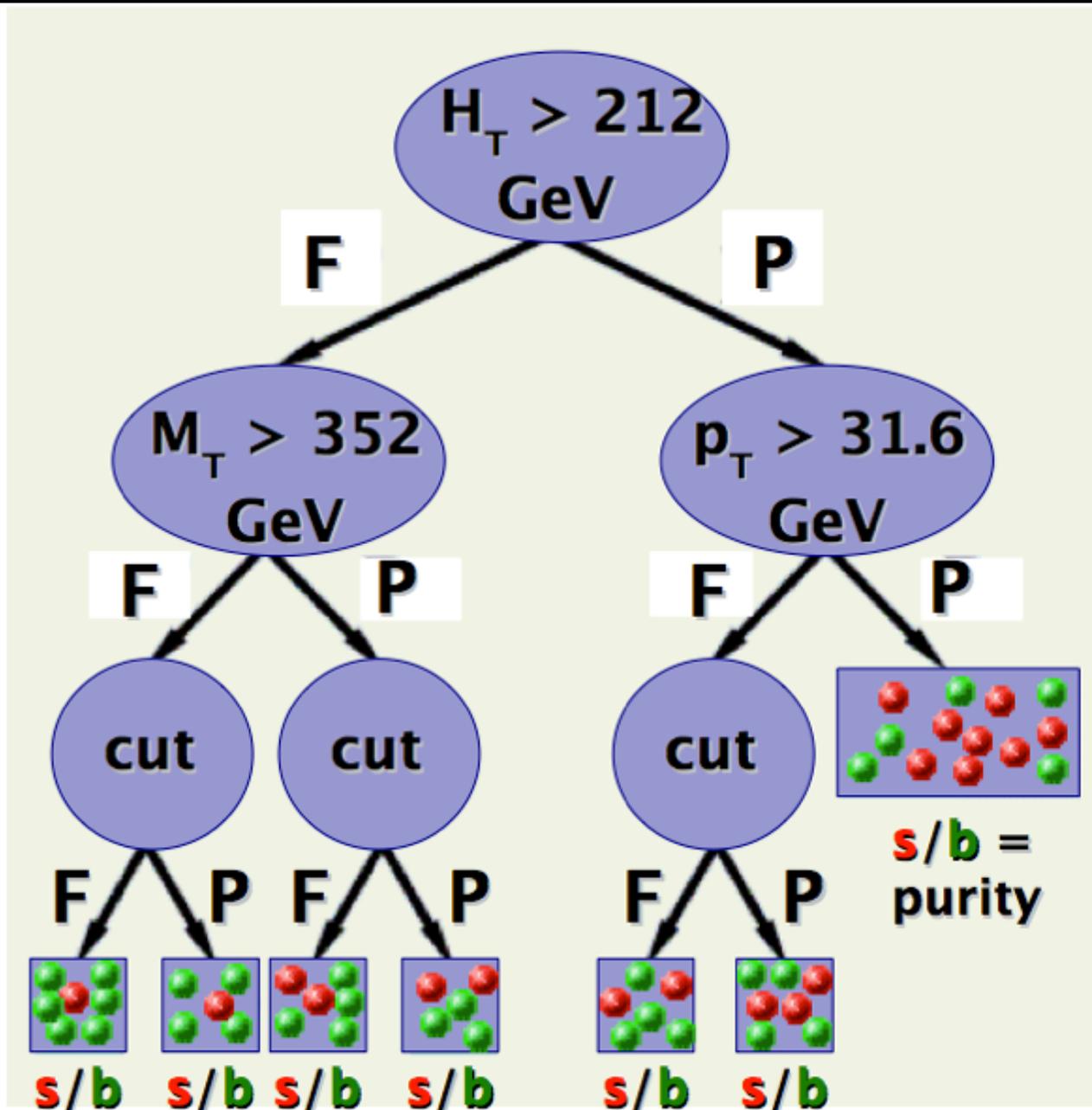
- **IDEA:** recover events that fail criteria in cut-based analyses

# Boosted Decision Trees



- **IDEA:** recover events that fail criteria in cut-based analyses

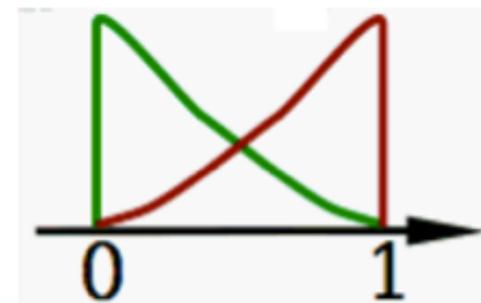
# Boosted Decision Trees



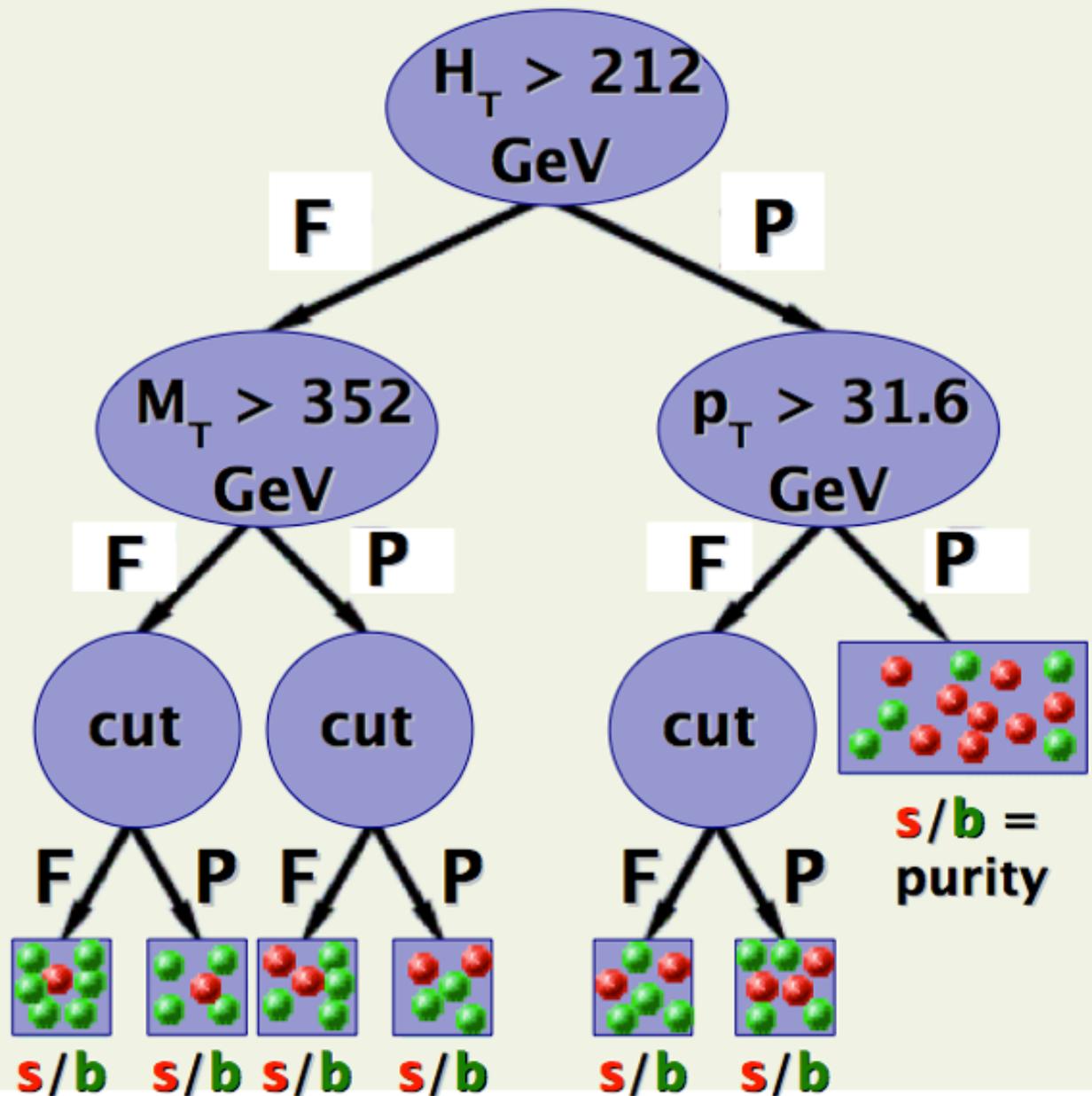
- **IDEA:** recover events that fail criteria in cut-based analyses

- **result:** weight for every event

background      signal



# Boosted Decision Trees

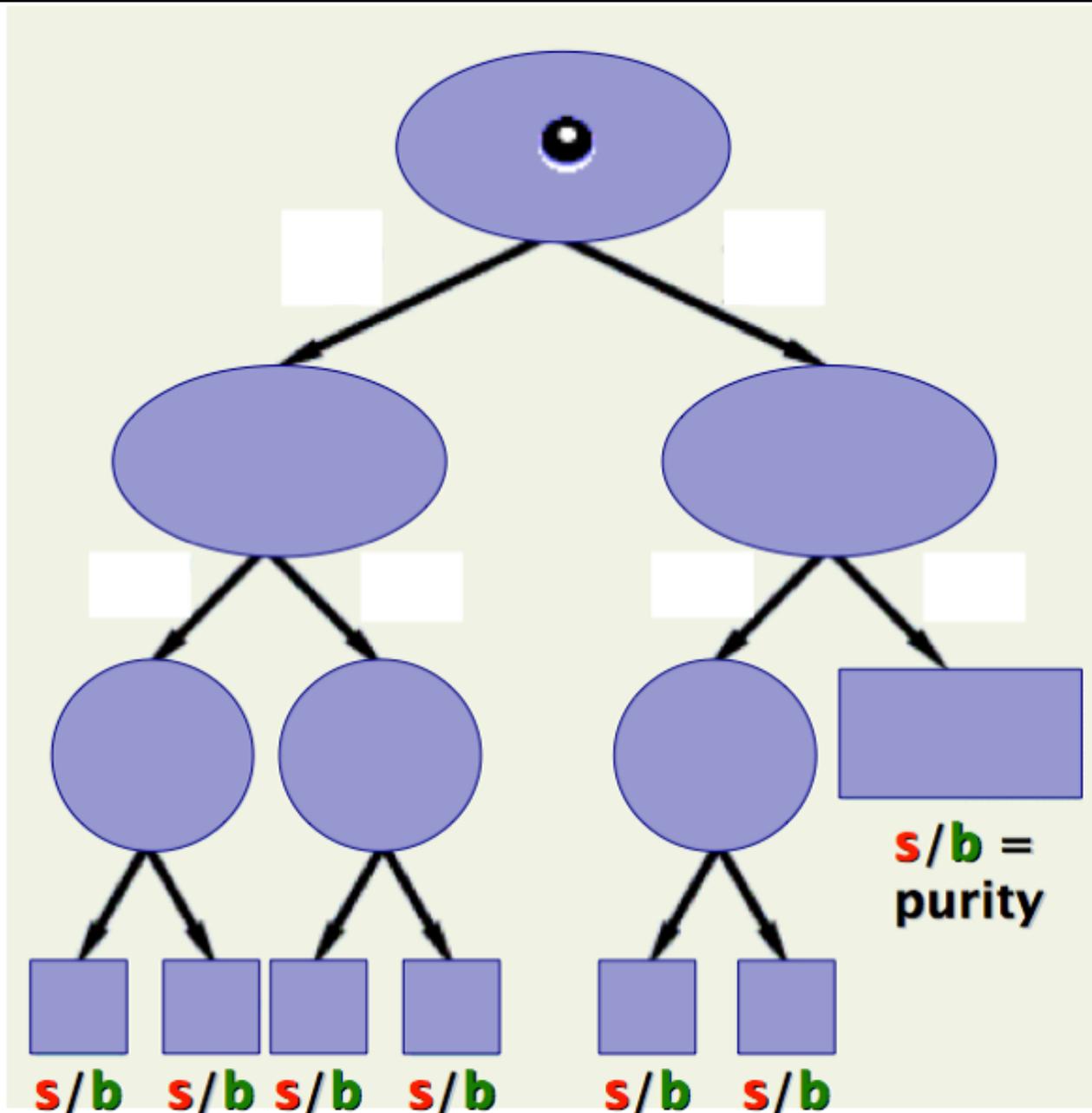


- **IDEA:** recover events that fail criteria in cut-based analyses

## boosting:

- train tree:  $T_k$
- derive weight:  $\alpha_k$
- retrain tree:  $T_{k+1}$  to minimize error
- average:  $T = \sum \alpha_i T_i$

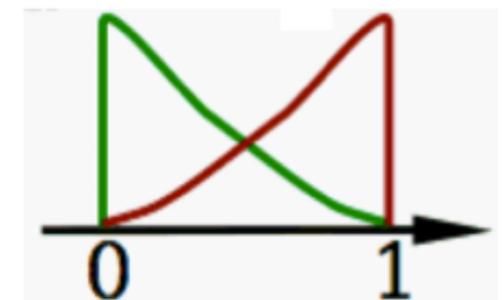
# Boosted Decision Trees



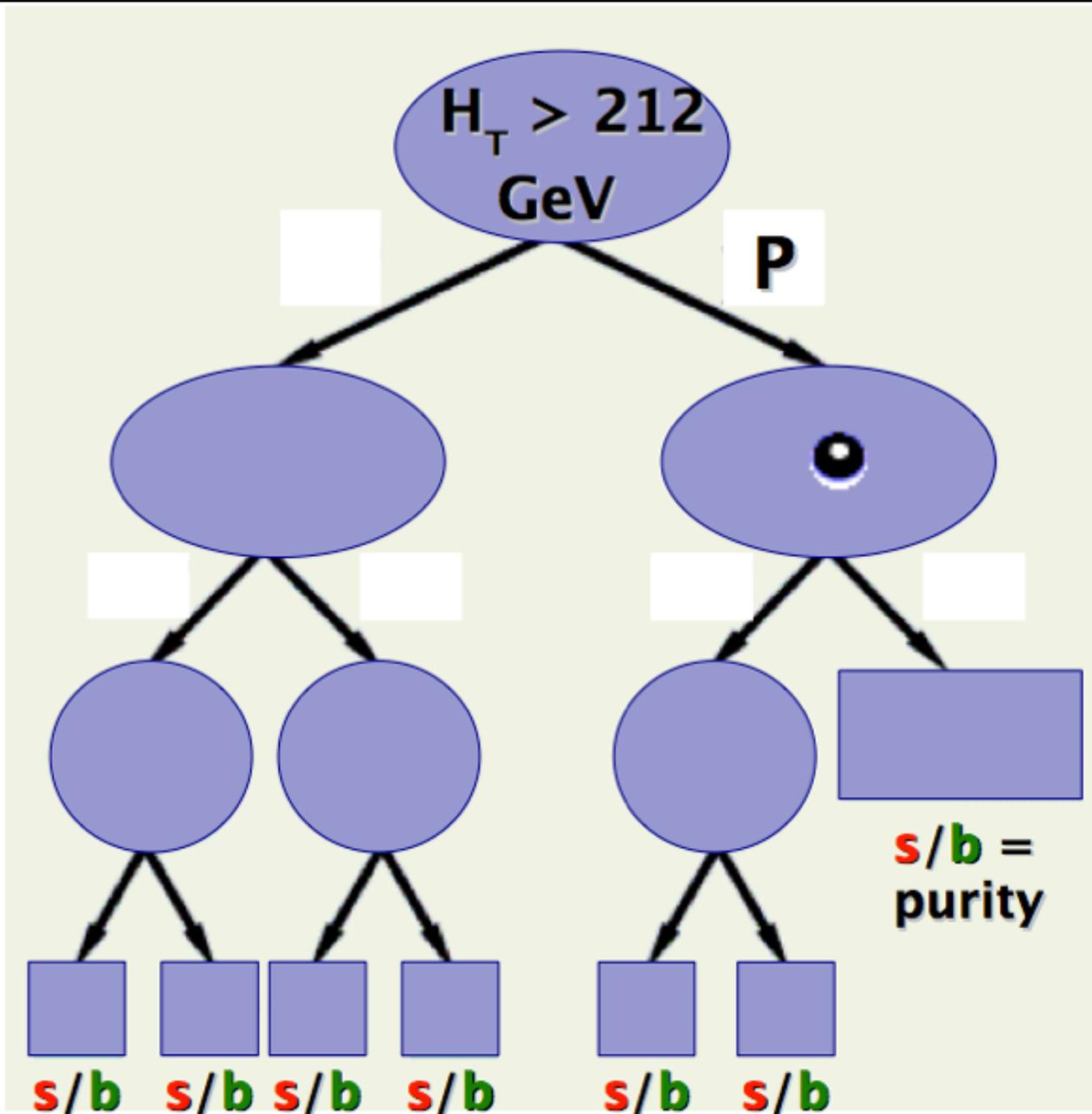
- **IDEA:** recover events that fail criteria in cut-based analyses

- **result:** weight for every event

**background** **signal**

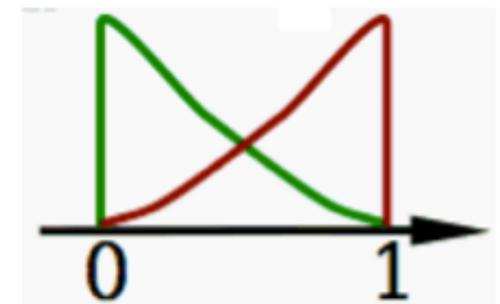


# Boosted Decision Trees

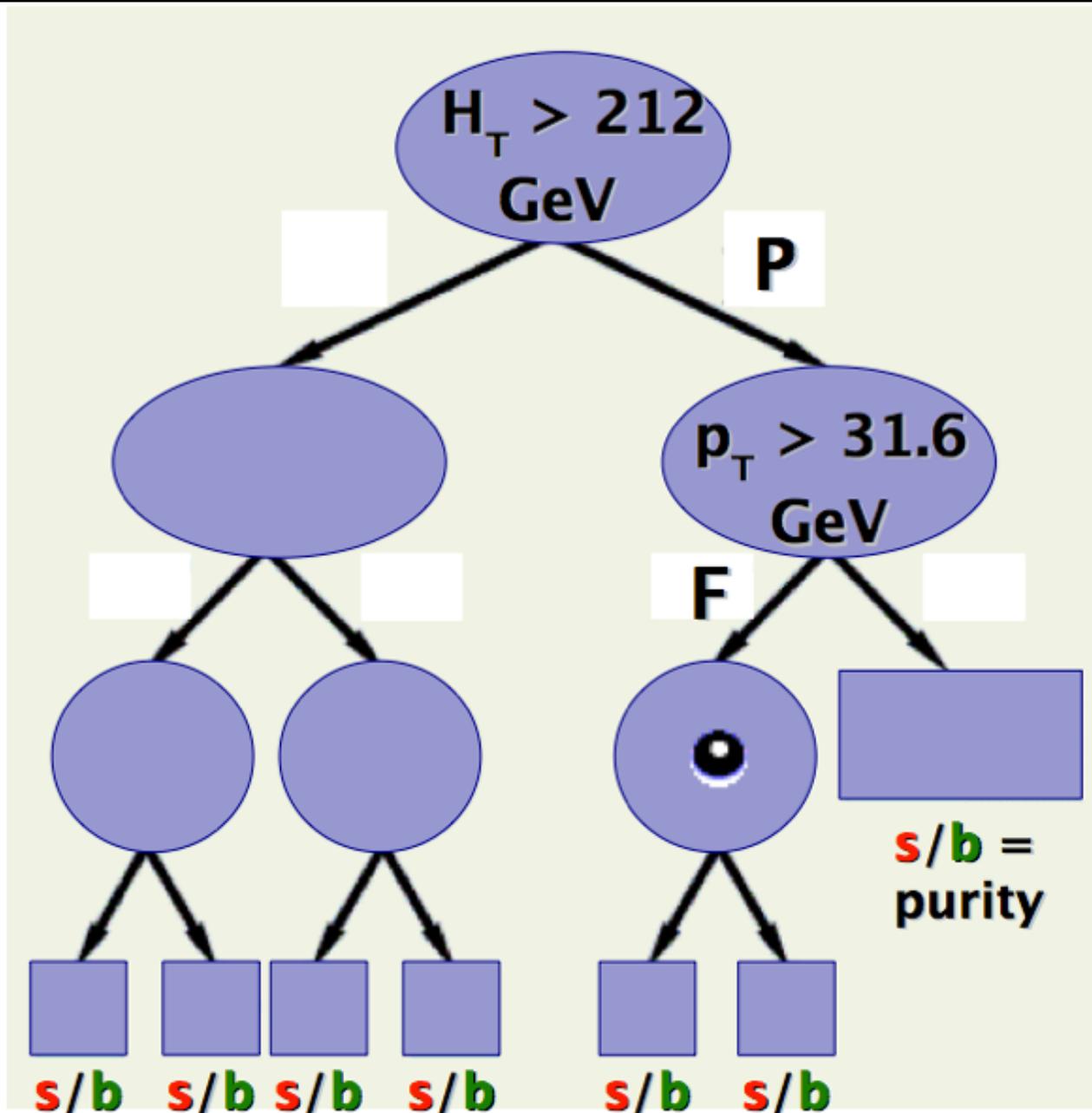


- **IDEA:** recover events that fail criteria in cut-based analyses

- **result:** weight for every event
- background      signal

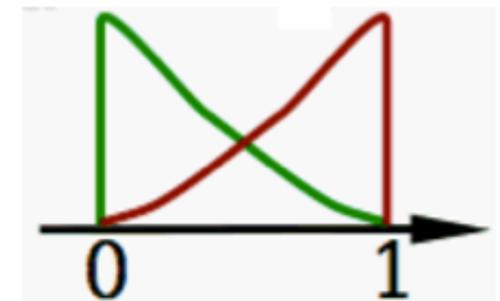


# Boosted Decision Trees

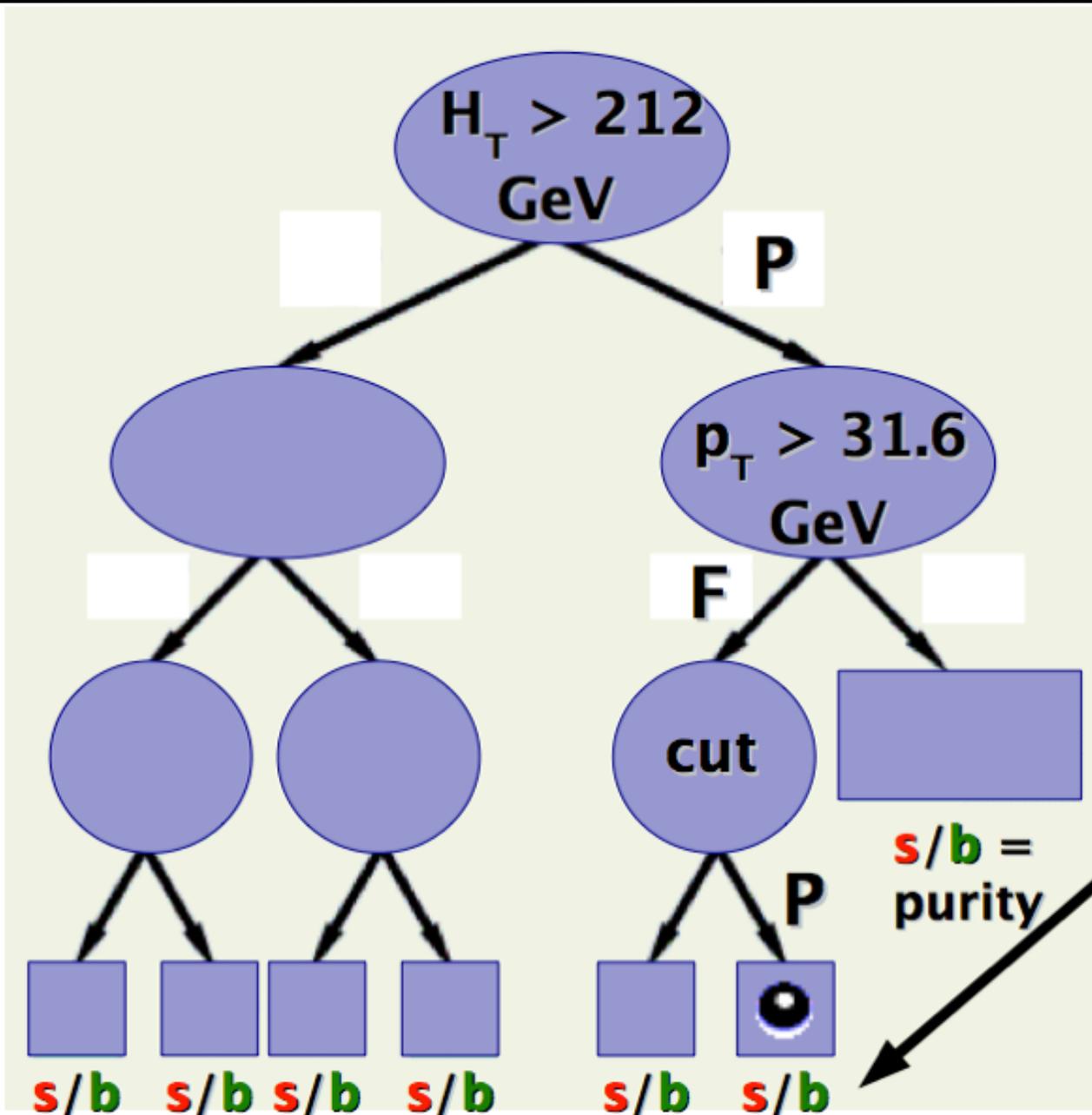


- **IDEA:** recover events that fail criteria in cut-based analyses

- **result:** weight for every event
- background      signal

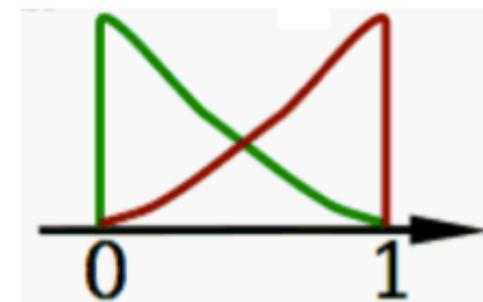


# Boosted Decision Trees



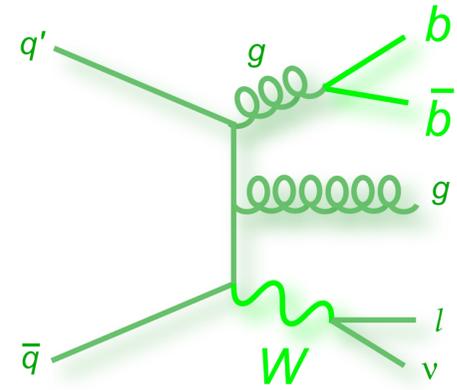
- **IDEA:** recover events that fail criteria in cut-based analyses

- **result:** weight for every event
- background signal

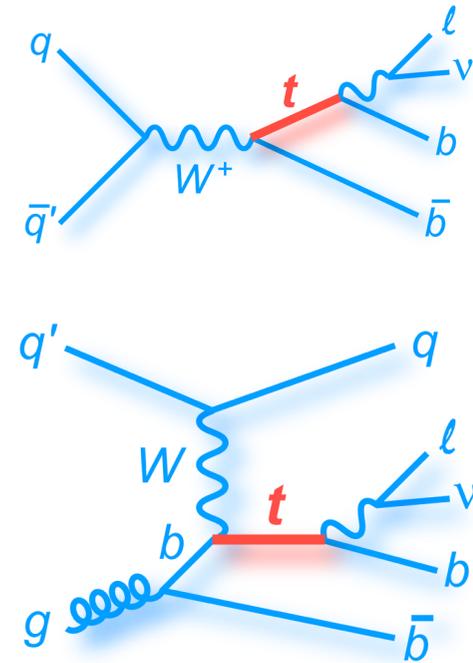
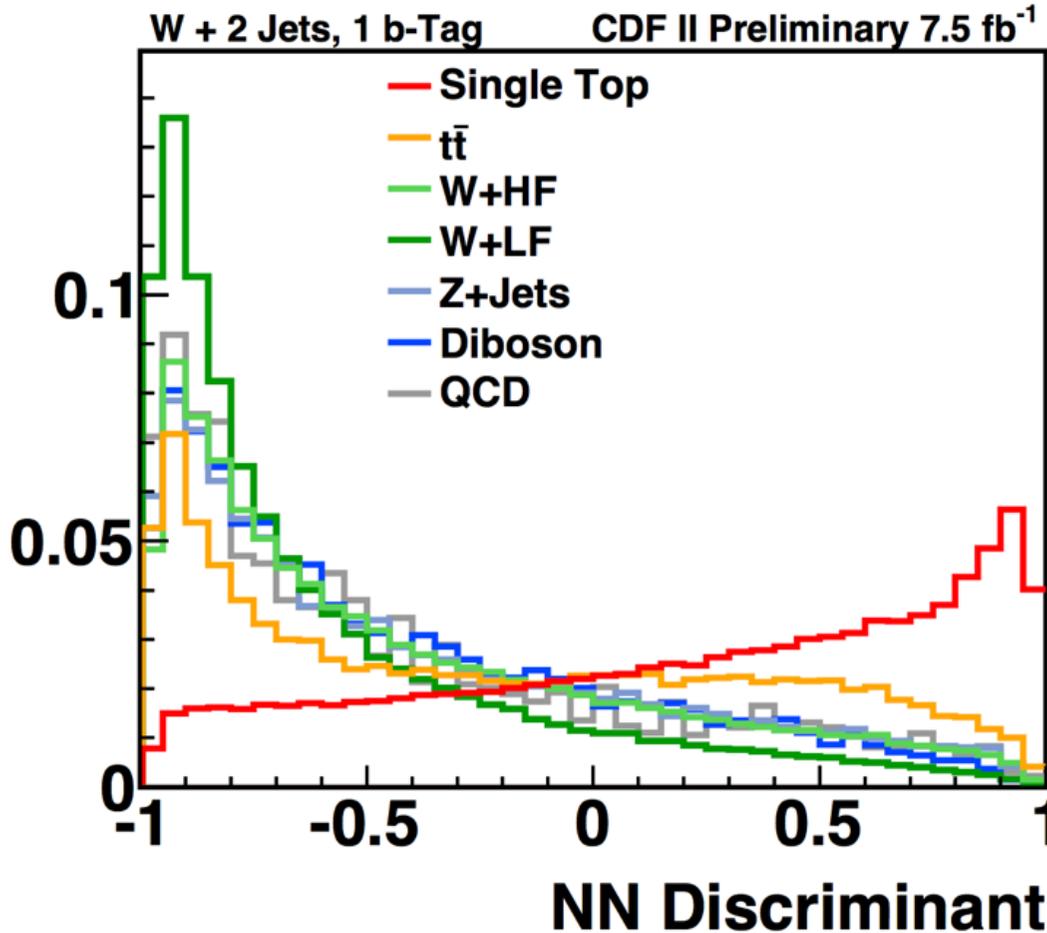


# Output Discriminant for s+t channel

CDF-CONF 10793

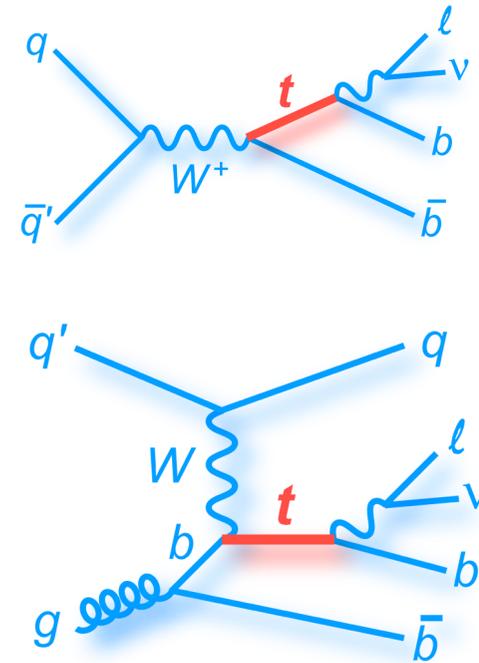
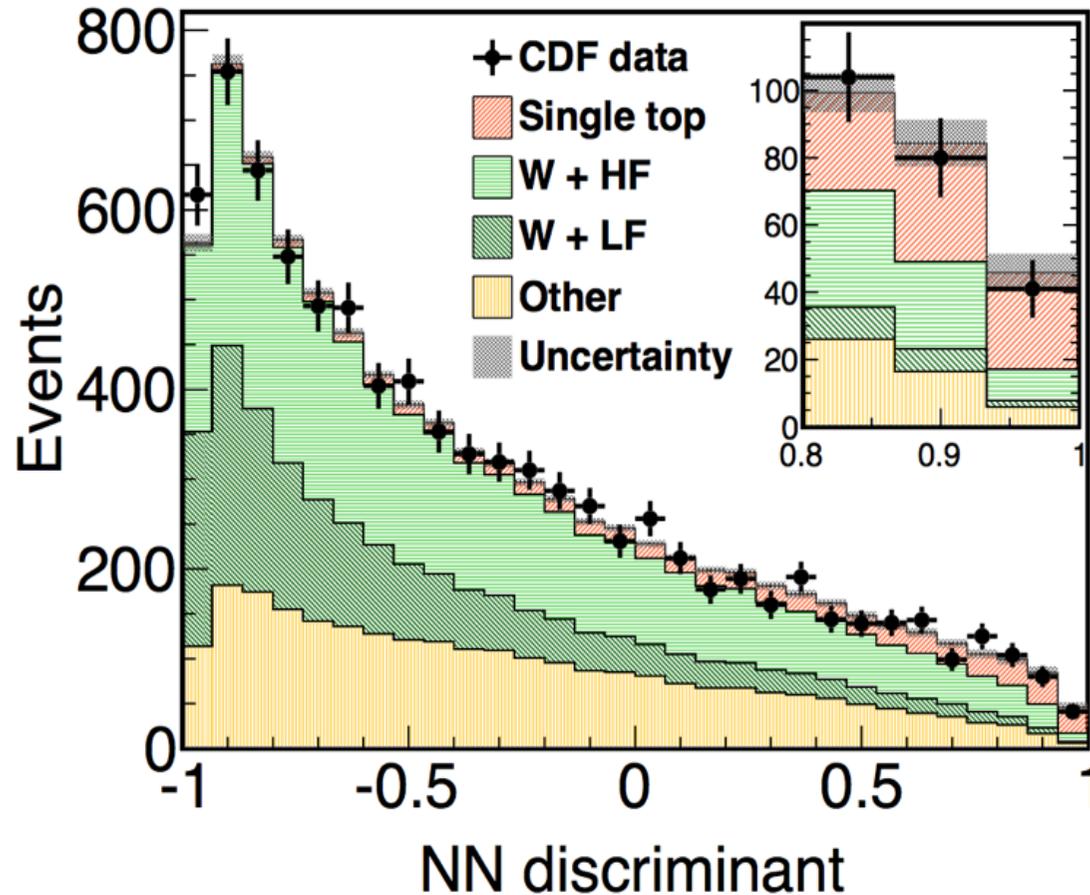
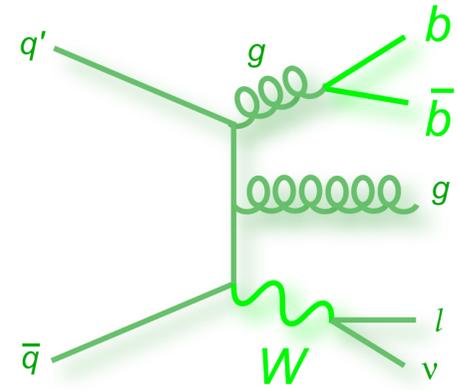


Normalized Event Fraction



# Output Discriminant for s+t channel

Phys. Rev. Lett. 113, 261804 (2014)



→ s+t channel observed by CDF and D0 in 2009

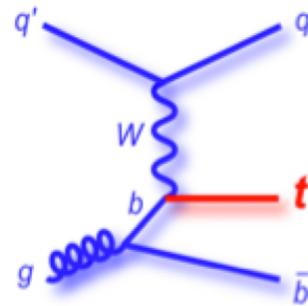
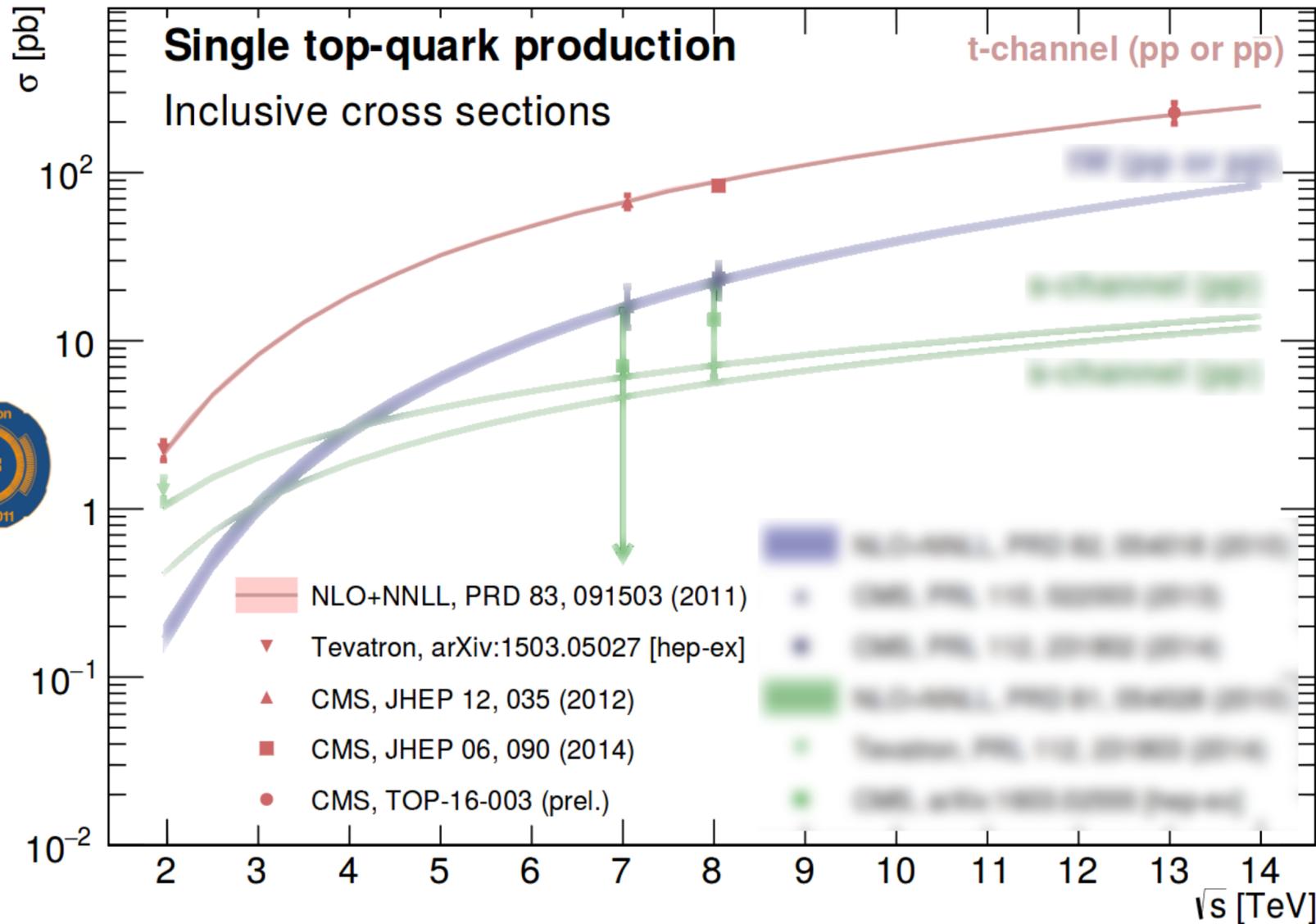


# Single Top Quark Observation

2009

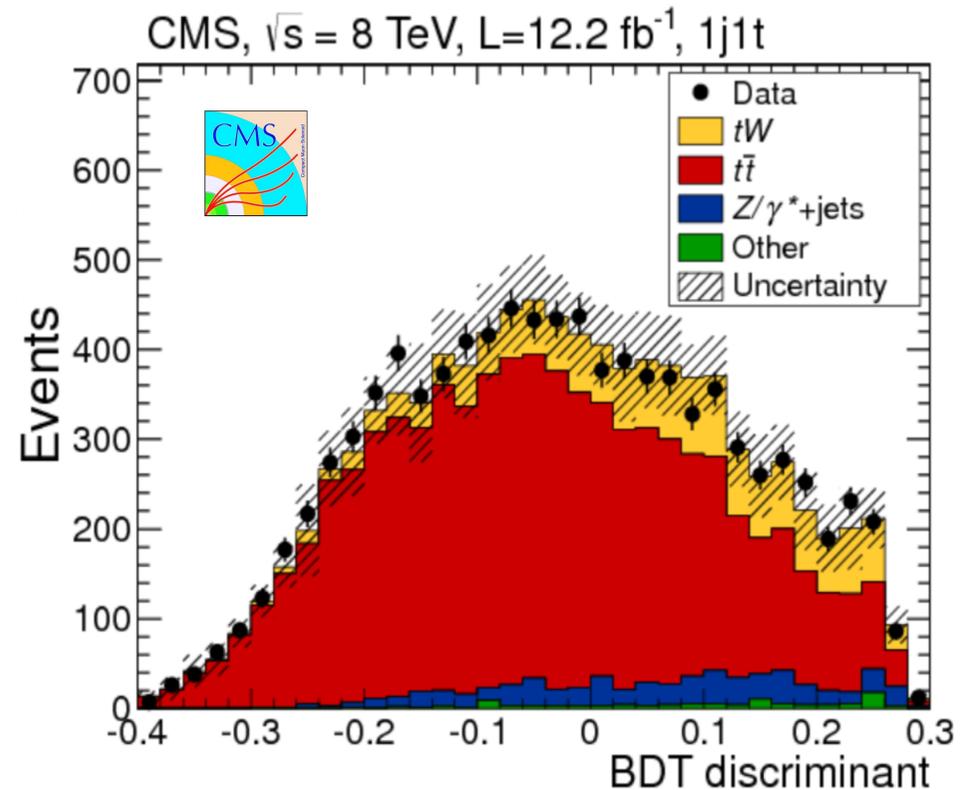
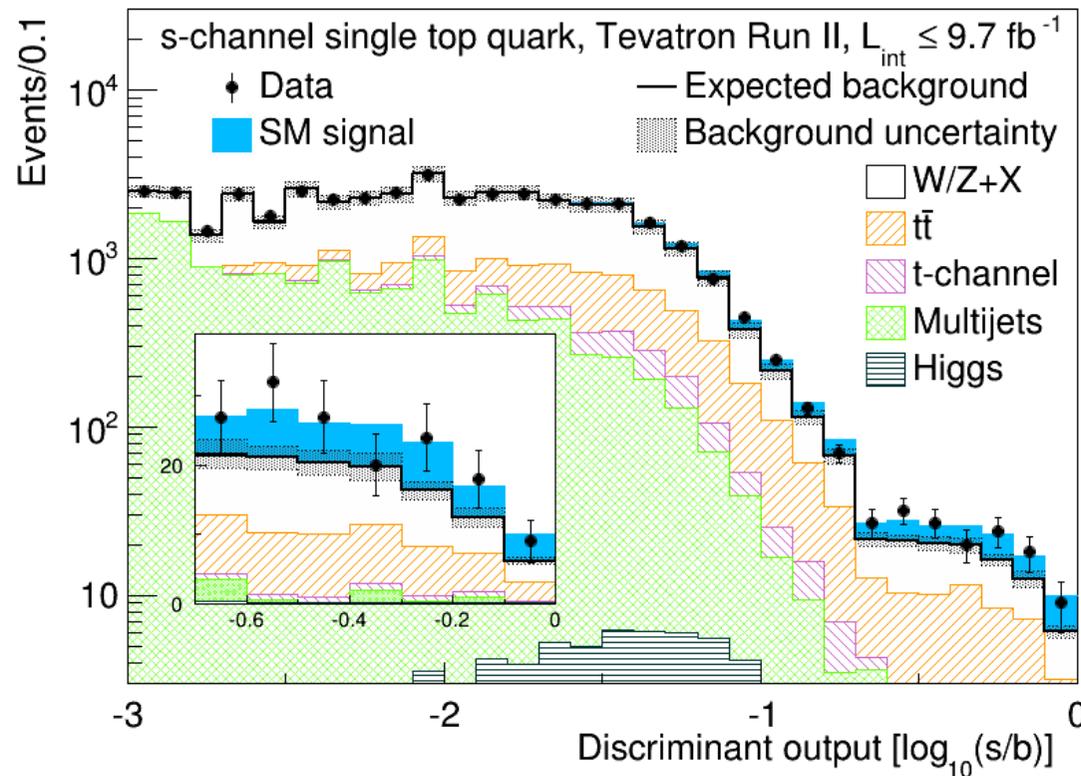
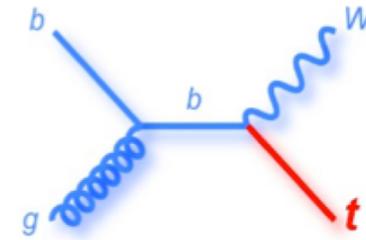
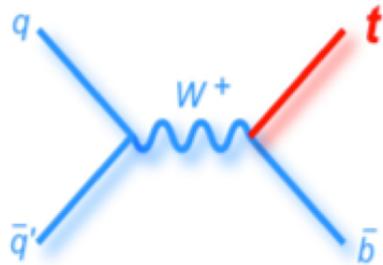


# Single top t-channel cross section



→ agreement with SM predictions!

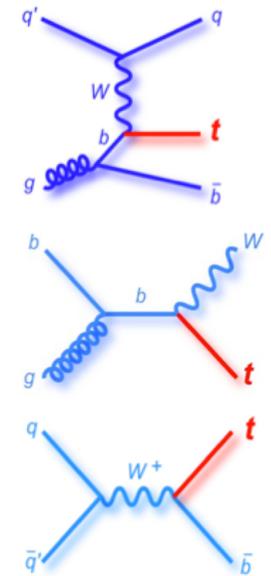
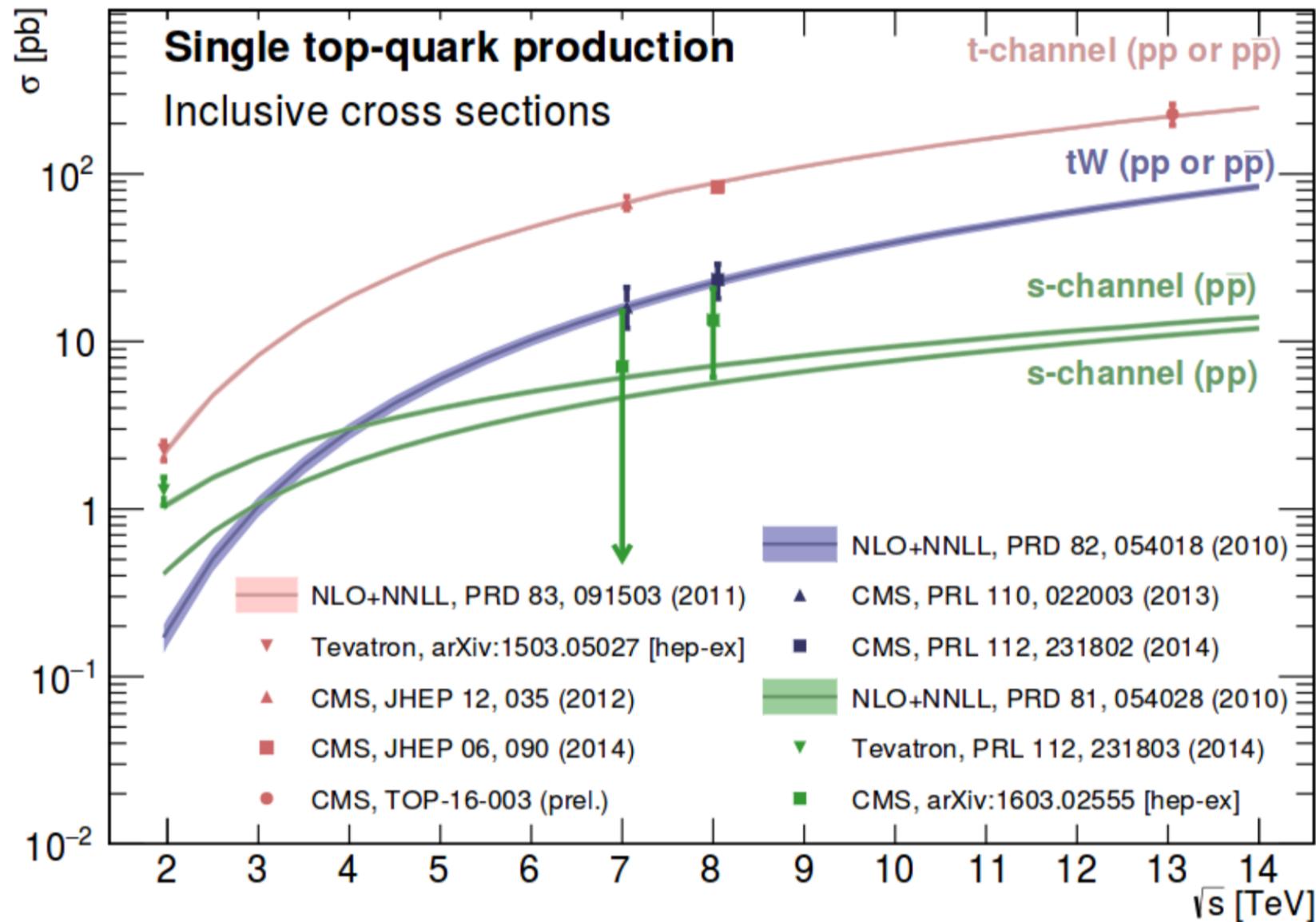
# s- and Wt-channel Production



→ observation: 6.3 s.d.

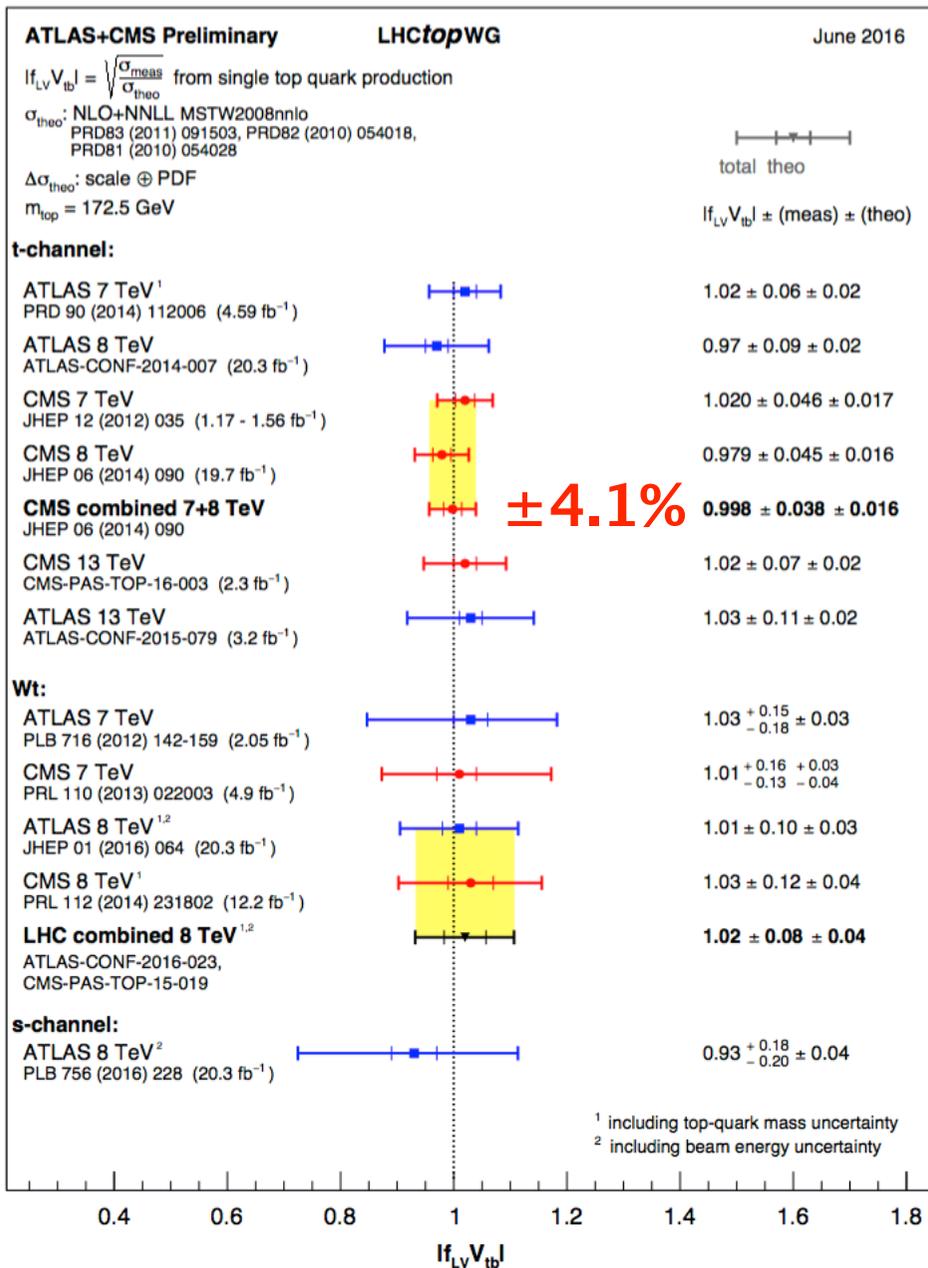
→ observation: 6.1 s.d.

# Single channel cross sections

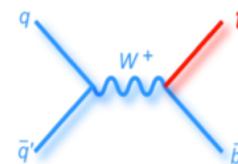
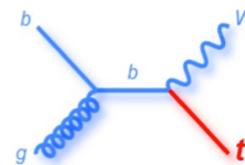
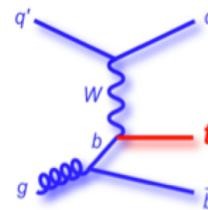


→ all production modes observed!

# Direct measurement of $|V_{tb}|$



$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & \mathbf{V_{tb}} \end{pmatrix}$$



→ agrees with SM